Solid-State Signal Generation and Detection at mm-Waves & THz

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This Presentation

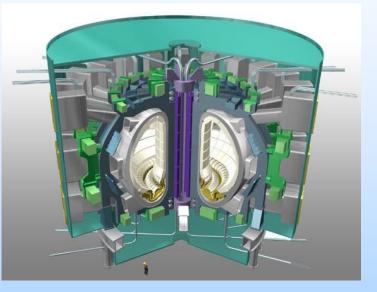
- Introduction
 - THz Applications
 - Schottky Diode Technology
- Schottky-Based THz Heterodyne Transceiver Components
 - THz Signal Generation
 - Waveguide Interfaces
 - THz Signal Detection
 - Generation of Wideband Modulated Signals at THz
- THz Transceivers
 - Chirped Transform Spectroscopy
- Solid-State Sources & DNP
- Conclusions

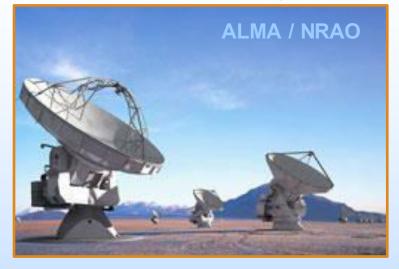


Applications Above 100GHz

Radio Astronomy

Fusion Plasma (e.g. ITER)





- Basic Science the primary driver
 - Astronomy, Physics, Chemistry, Fusion Plasma, ...



ALMA Chajnantor Site (5000m)

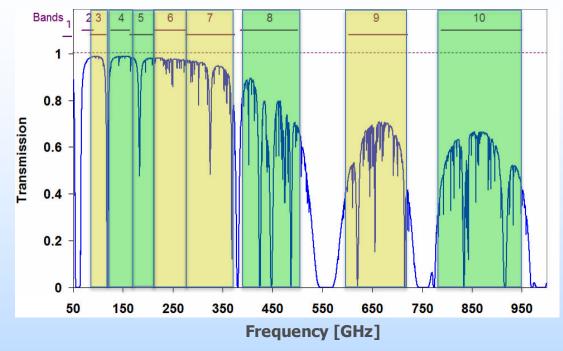




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ALMA Development (~1998-2007)



VD

- 66 Antennas
 - 12 m & 7 m diameter dishes
- 2 Receivers for each band
- 12 VDI THz multiplier chains in each antenna

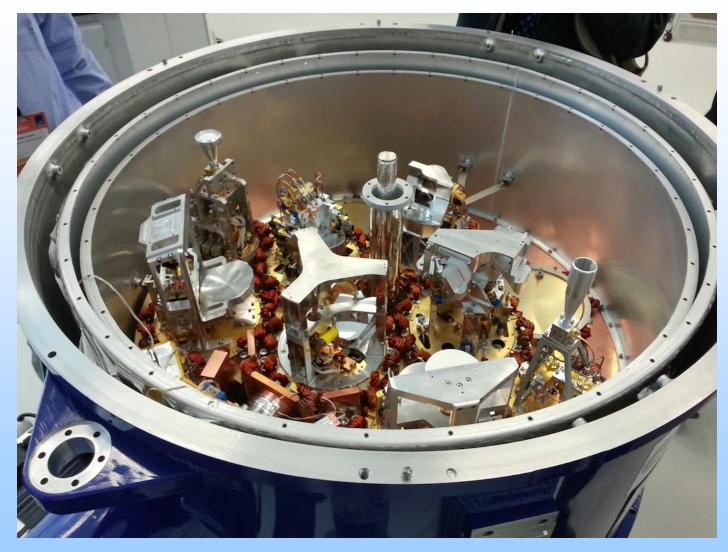
	Band	from - to (GHz)	f_0 (GHz)	Δf (GHz)	$\frac{\Delta f}{f_0}$
	3	84 - 116	100	32	32.0%
)I -	4	125 - 163	144	38	26.4%
	5	163 - 211	187	48	25.7%
	6	211 - 275	243	64	26.3%
	7	275 - 370	322.5	9 5	29.5%
	8	385 - 500	442.5	115	26.0%
	9	602 - 720	661	118	17.9%
	10	787 - 950	868.5	163	18.8%



ALMA Chajnantor Site (5000m)



ALMA Front End Cryostat





www.vadiodes.com



1/16/2020

ALMA Front End

Warm Cartridge Assembly with LO YIG Tuned Oscillator and Driver Stage (up to ~120 GHz)

Driver contains integrated multi-chip modules taking ~12-24 GHz YTO output to 65-122 GHz output



Fig. 3. Photograph of the receiver cartridge for ALMA Band 6. The warm cartridge assembly is on the left, while the cryogenic portion is on the right. Cryogenic Front End

4K Stage with SIS Mixers

VDI Multipliers on 77K Stage



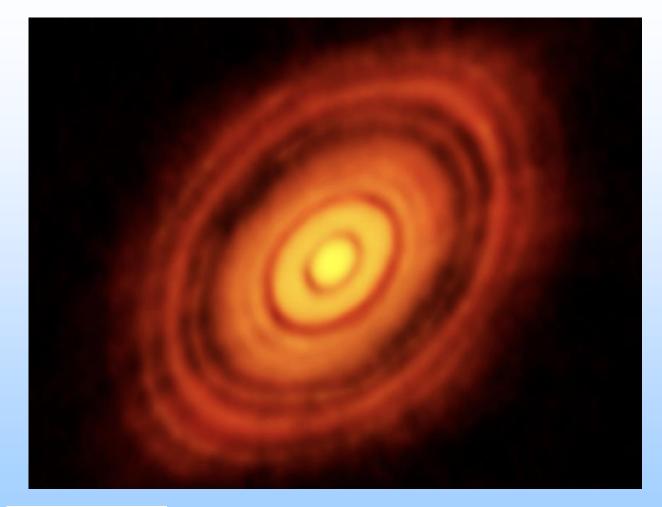


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ALMA Science



ALMA image of the young star HL Tau and its protoplanetary disk. This best image ever of planet formation reveals multiple rings and gaps that herald the presence of emerging planets as they sweep their orbits clear of dust and gas. Credit: ALMA (NRAO/ESO/NAOJ); C. Brogan, B. Saxton (NRAO/AUI/NSF)

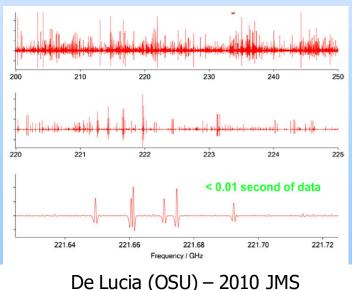
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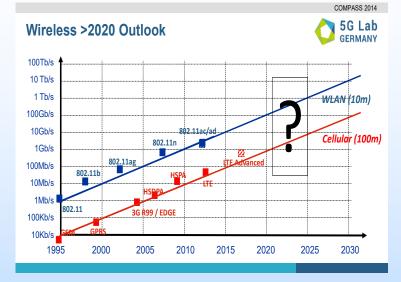
Applications Above 100 GHz

- Field moving from Basic Science to Applications
 - Concealed Weapons Detection
 - Collision Avoidance Radar
 - Detection of Chemical/Biological Hazards
 - Wideband & Secure Communications
 - Medical Diagnostics
- Also a strong interest in basic Test & Measurement capabilities at THz

Chem/Bio Detection



Communications



Concealed Weapons Detection



Thruvision – Knife Detection





https://www.gov.uk/government/news/new-technology-todetect-knives-in-public-places



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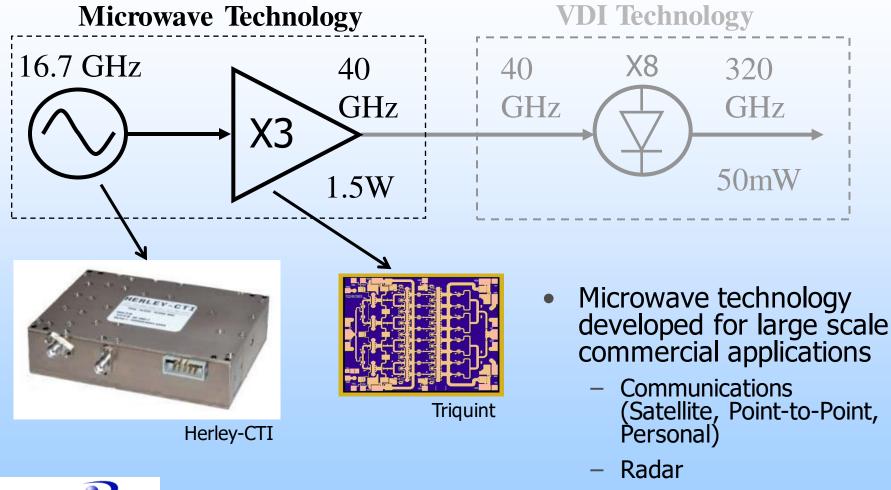
Virginia Diodes Inc.

- VDI is a small, high technology company focused on the emerging field of Terahertz Technology
 - Advanced scientific base, emerging new applications, and THz Test & Measurement...

Look at the core technology behind VDI



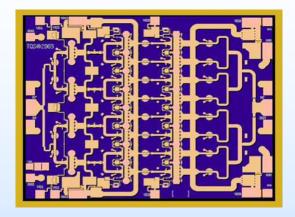
VDI Core Technology: Use nonlinear devices to extend the frequency range of traditional microwave electronics

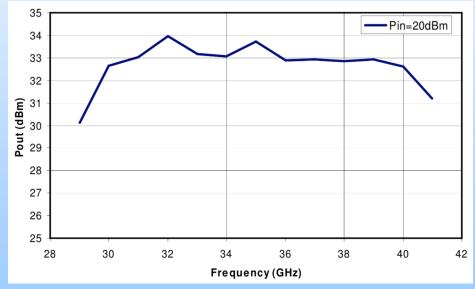




Example: mmWave Base Technology

- Qorvo 2W 30-40 GHz Amp
- Chip developed for Radar and Satellite Communications
 - Chip size 2.5x3 mm
- The same chip can be used to drive THz multiplier chains
 - 2 W at 30-40 GHz
 - 0.75 W at 70 GHz
 - 200 mW at 140 GHz
 - etc...

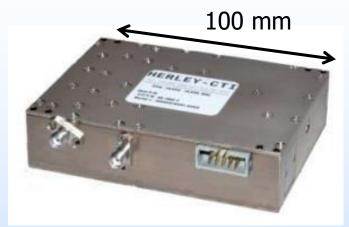




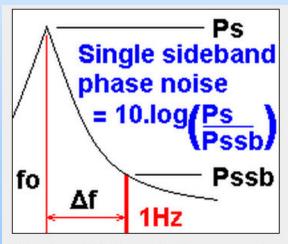


Example: Herley-CTI Synthesizer

- Fast-switching synthesizers
 - Very narrow linewidths
 - Hertz widths are possible even at THz
 - Allow narrowband filtering to reduce noise
 - 14 GHz → Phase noise -107 dBc/Hz @ 1 kHz offset
 - Compact and ruggedized
- THz multipliers can extend synthesizers to > 3 THz
 - Phase noise rises upon frequency multiplication by 20*log(N)
 - Can achieve excellent THz phase noise
 - e.g. 1 THz \rightarrow -70 dBc/Hz @ 1 kHz offset



www.aspen-electronics.com/files/CTI/XS.pdf



Single sideband phase noise

www.telestrian.co.uk/phasenoise.html



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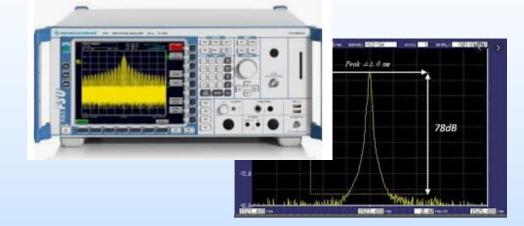
Microwave Test & Measurement

Signal Generator

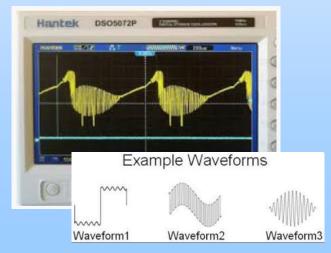


Highspeed Oscilloscopes

Spectrum Analyzer



Arbitrary Waveform Generator





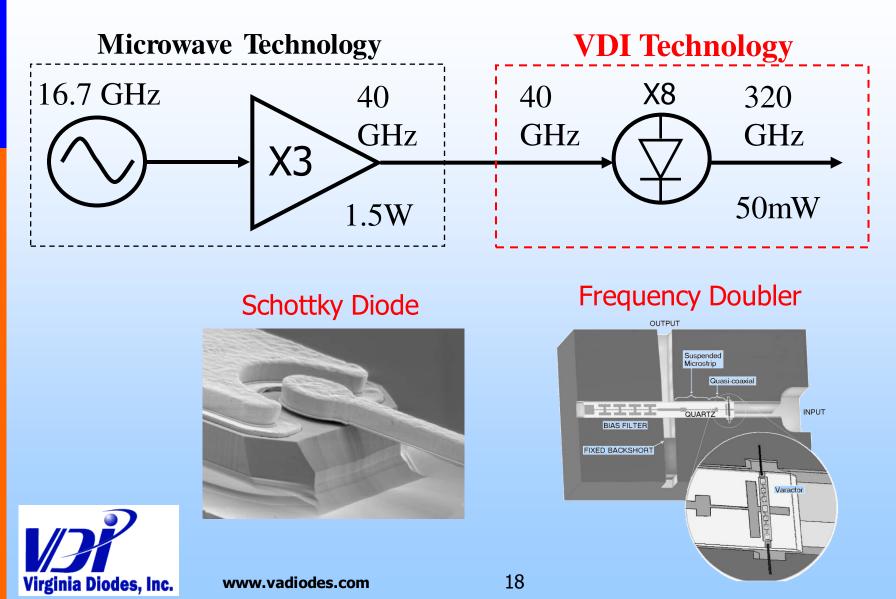
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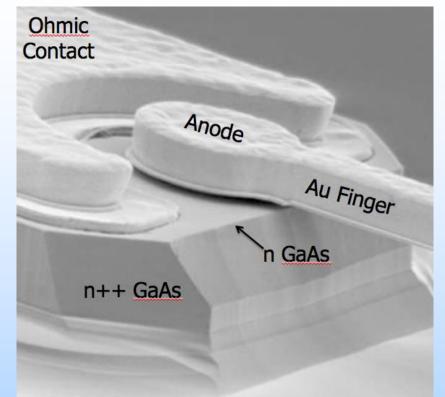


VDI Core Technology: Use nonlinear devices to extend the frequency range of traditional microwave electronics



Schottky Diodes

- Metal-semiconductor junction
 - Majority carrier device
 - Cutoff frequencies well into the THz
 - Room temperature operation
 - Improves with cooling
- Diode is well modeled by relatively simple quasi-static I-V and C-V equations
- Well-developed fabrication technology
 - Air-bridge used to reduce capacitance
 - Low capacitance is key for THz



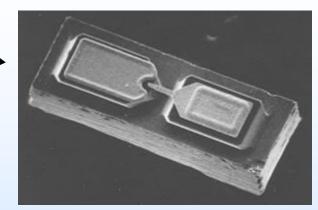
$$I_{d} = I_{SAT} \left(e^{\left(\frac{V_{j} - I_{d}R_{s}}{V_{0}}\right)} - 1 \right) \xrightarrow{r_{j}(V)} R_{s}$$

$$C_{j} = \frac{C_{j0}}{\sqrt{1 - V_{j}/V_{bi}}} \xrightarrow{r_{j}(V)} V_{j}$$

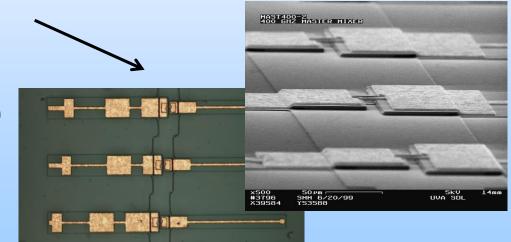


VDI Planar Diode Fabrication Technology

- Planar Schottky Diodes
 - Mechanically rugged
 - Photolithographic reproducibility
- Integration of Diode with Coupling Circuitry
 - Operation to higher frequencies (>3 THz)
 - More repeatable assembly



Flip-chip Planar Diode

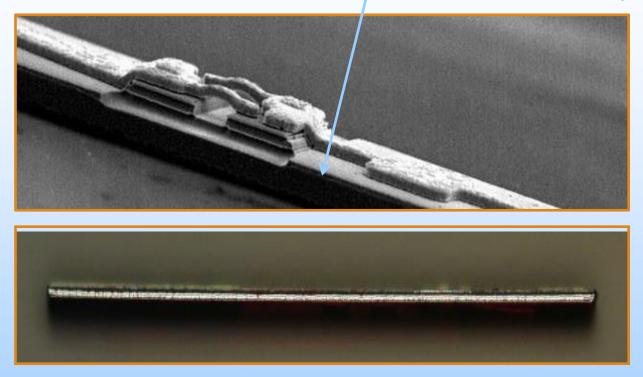


Integrated Planar Diodes



THz Diode IC

Thickness ~5 um (for size scale, red blood cells 5-10 um!)



THz circuits are very small, but surprisingly robust!



This Presentation

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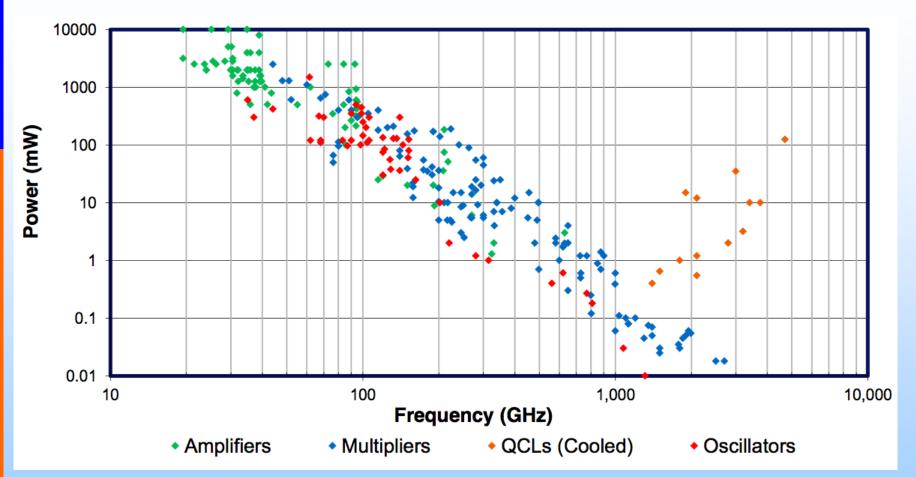
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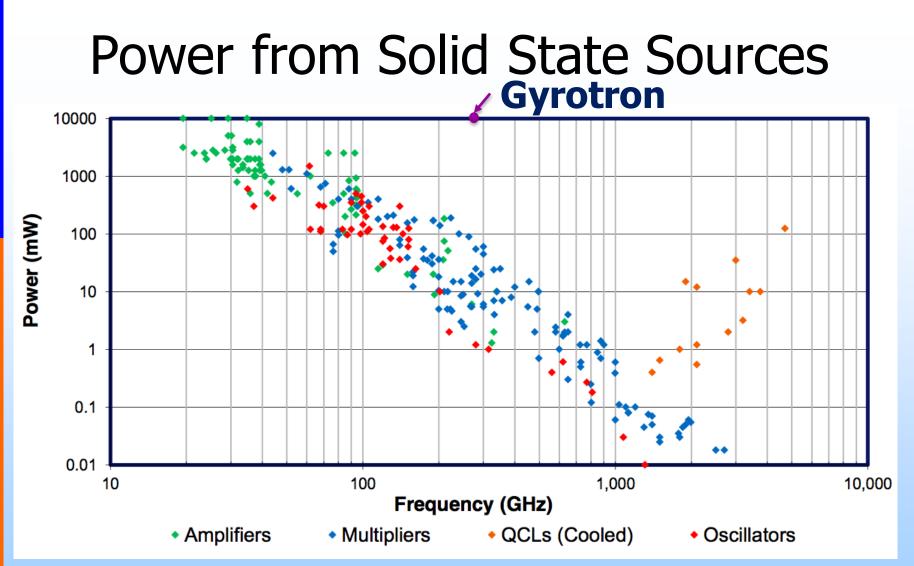


Power from Solid State Sources



http://www.vadiodes.com/images/AppNotes/ApplicationNote-SummaryofSolid-StateSources.pdf

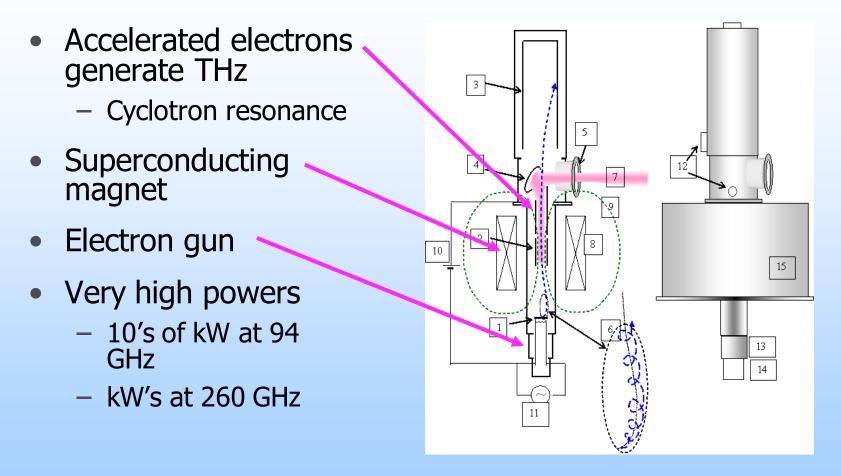




http://www.vadiodes.com/images/AppNotes/ApplicationNote-SummaryofSolid-StateSources.pdf



Gyrotron Basic Operation





Solid-State DNP Spectrometer

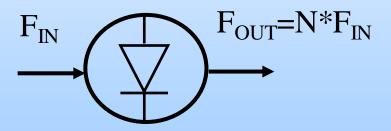




40. NMR-Benutzertagung, Karlsruhe, November 9, 2016

Signal Generation Using Schottky Diodes

- Use the nonlinearity of the Schottky diode to generate harmonics of a lower frequency signal
 - Use either nonlinear variable capacitance or resistance





Schottky Diode Frequency Multipliers

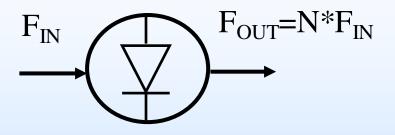
- Careful choice of circuit configuration
 - Anti-series diode configuration
 - Balanced design allows for broad bandwidth and high efficiency
 - Spatial mode filtering between harmonics
- Multiple diodes for increased power handling



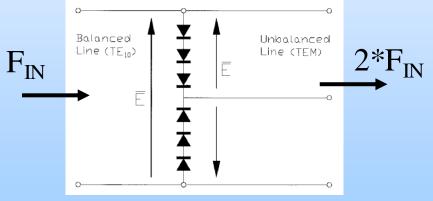


www.vadiodes.com

Diode Multiplier



Balanced Circuit Topology



Porterfield et al (MTT, 1999)

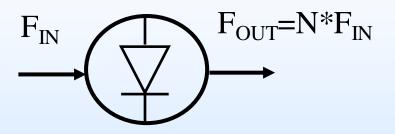
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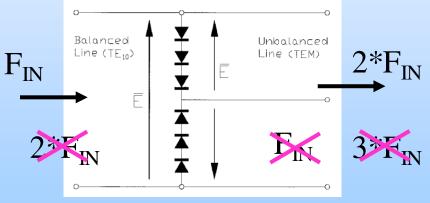




Diode Multiplier

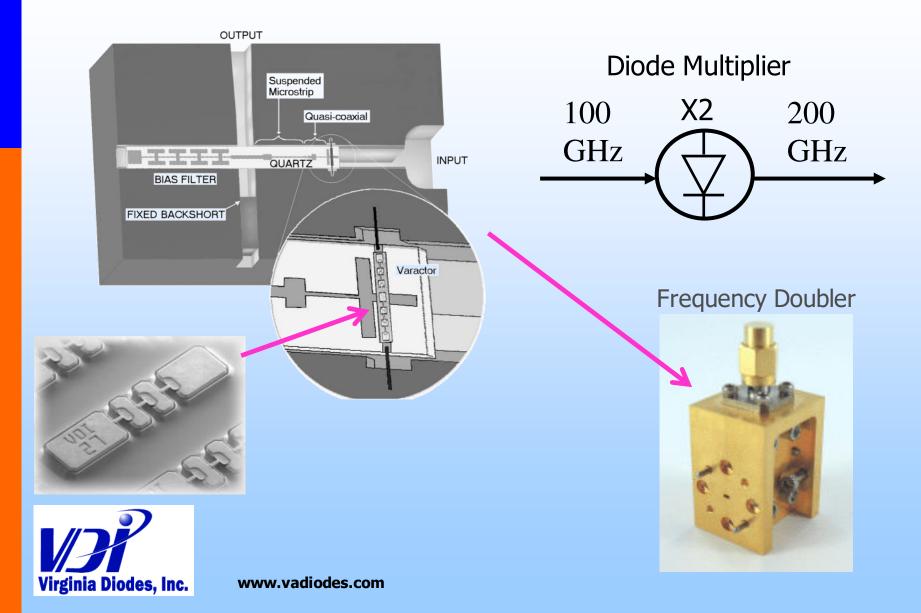


Balanced Circuit Topology



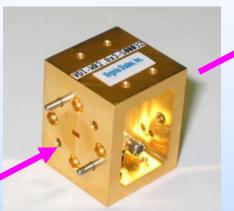
Porterfield et al (MTT, 1999)

Signal Generation Using Schottky Diodes



Broadband Frequency Multipliers

WR-2.8X3 (265-400 GHz)



Tunerless

- Ambient operation
- Balanced design \rightarrow reduction of unwanted harmonics

365

Input 88-133 GHz





265

315

Frequency (GHz)

Output

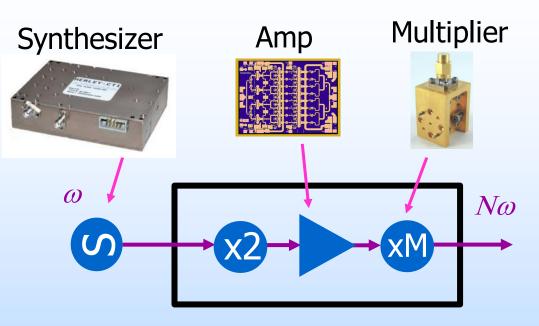
265-400 GHz

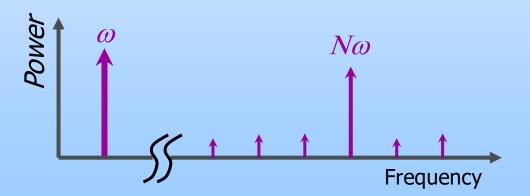
Efficiency (%)

0

Amplified Multiplier Chains

- Combination of amplifiers and multipliers
- Nearly all the power in a single tone
 - Spectral purity achieved using filtering and balanced designs



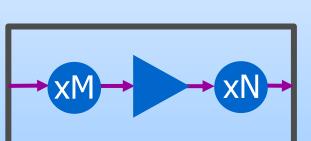


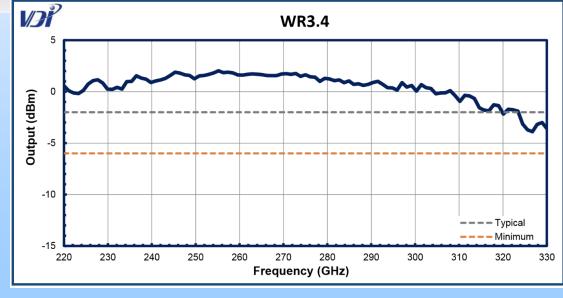


THz Signal Generator Extenders (SGX)



- Synthesizer Extender to THz
 - Turn-key Source
 - Tunerless, instantaneous sweeping over > 40% bandwidth
- State-of-the-art Output Power
 - Standard units to 1.1 THz



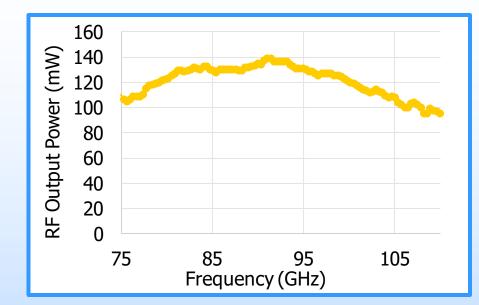


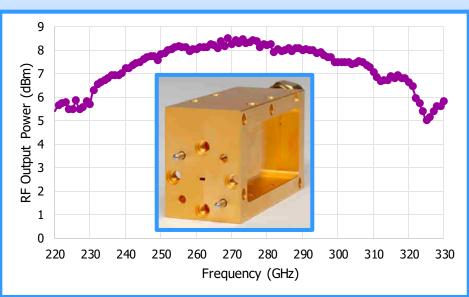


New mm-Wave Amplifers

- Amplifiers are moving into the mm-Wave and THz
 - e.g. WR-10 amp with > 100 mW
- This newly available drive power enables dramatic improvements in broadband THz power generation
 - e.g. using WR-3.4 tripler we achieve ~7 dB improvement in output power
- More results on the way, with similar improvements to beyond 1 THz







High Power Applications

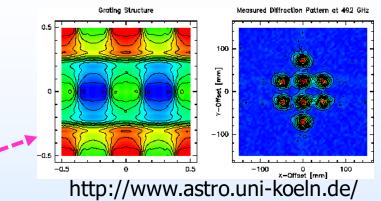
- Steady need for highpowered THz sources
- Radio Astronomy
 - Focal Plane Array LO Sources
- Spectroscopy

- ITER

- Electron Spin Resonance
- Dynamic Nuclear Polarization
- Plasma Diagnostics

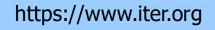


www.vadiodes.com

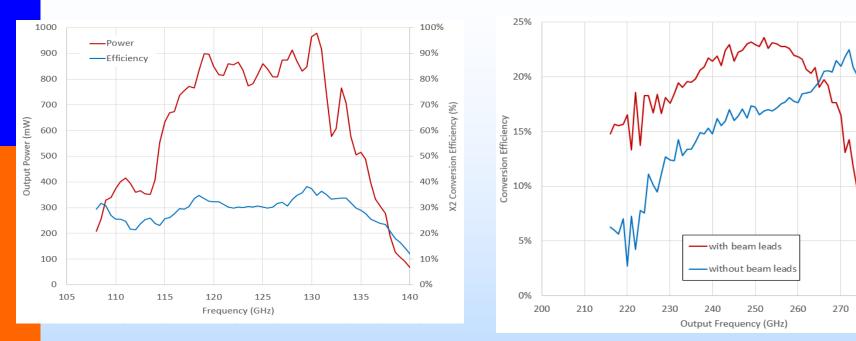




https://www.bruker.com

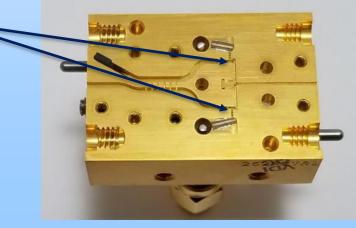


130 GHz and 260 GHz Frequency Doublers





- Diode arrays
- Integrated beam leads
- Diamond embedding circuits
- Power combining



280

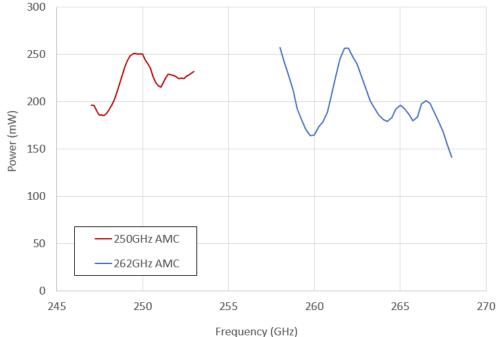
290



250 GHz and 262 GHz Sources

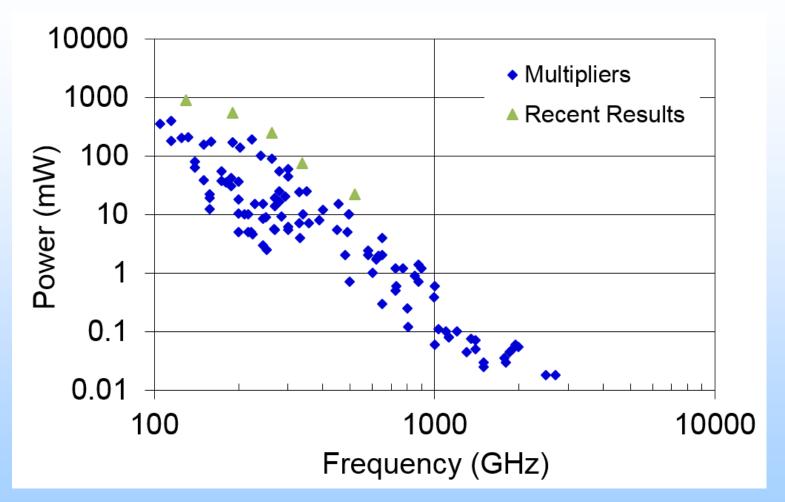








mm-Wave Sources Based on Diode Multipliers





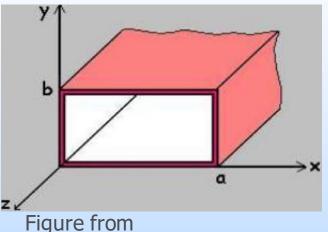
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Rectangular Waveguide

- Why rectangular guide?
 - Low loss guiding structure at THz
 - Microstrip ~ 1 dB/mm @ 600 GHz
 - Waveguide ~0.08 dB/mm @ 600 GHz
 - High power handling
 - Many techniques for integration of device with guide

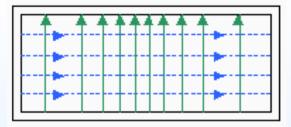


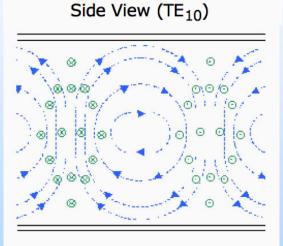
www.ee.bilkent.edu.tr



Rectangular Waveguide – TE10 Mode

- Single-mode Operation
 - High pass filter
 - Blocks lower harmonics
 - Operate with only TE10 mode propagating
 - TE20 mode is next highest mode
 - Turns on at 2 times the TE10 cutoff frequency
 - Operating range approx. 1.25 to 1.9 times the TE10 cutoff frequency
 - To reduce the effect of dispersion on performance







____ Electric field lines _ _ _ Magnetic field lines

Figure from www.rfcafe.com



Waveguide Sizes and Frequency Ranges

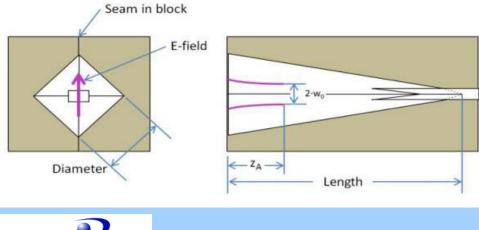
VDI Designation	Internal Dimensions (µm)		Cut-off frequency	Suggested min.	Suggested max.	Calculated Loss (dB/cm) for Au *		Alternate	
	Width	Height	(GHz)	frequency (GHz)	frequency (GHz)	At min. frequency	At max. frequency	Designations	
WR-15	3759	1880	39.9	50	75	0.022	0.015	V	-
WR-12	3099	1549	48.4	60	90	0.030	0.020	Е	-
WR-10	2540	1270	59.01	75	110	0.039	0.027	W	-
WR-8.0	2032	1016	73.77	90	140	0.059	0.038	F	WR-8
WR-6.5	1651	825.5	90.79	110	170	0.081	0.052	D	WR-6
WR-5.1	1295	647.5	115.75	140	220	0.12	0.074	G	WR-5
WR-4.3	1092	546	137.27	170	260	0.14	0.1	-	WR-4
WR-3.4	864	432	173.49	220	330	0.2	0.14	-	WR-3
WM-710 (WR-2.8)	710	355	211.12	260	400	0.28	0.18	-	-
WM-570 (WR-2.2)	570	285	262.98	330	500	0.37	0.25	-	-
WM-470 (WR-1.9)	470	235	318.93	400	600	0.5	0.34	-	-
WM-380 (WR-1.5)	380	190	394.46	500	750	0.67	0.47	-	-
WM-310 (WR-1.2)	310	155	483.54	600	900	0.95	0.64	-	-
WM-250 (WR-1.0)	250	125	599.58	750	1100	1.3	0.88	-	-
WM-200 (WR-0.8)	200	100	749.48	900	1400	2	1.2	-	-
WM-164 (WR-0.65)	164	82	914	1100	1700	2.6	1.7	-	-
WM-130 (WR-0.51)	130	65	1153	1400	2200	3.7	2.3	-	-
WM-106 (WR-0.43)	106	53	1414.1	1700	2600	5.1	3.2	-	-
WM-86 (WR-0.34)	86	43	1743	2200	3300	6.3	4.3	-	-

* Waveguide loss calculated according to IEEE P1785.1



Interfaces for Waveguide-based THz Components

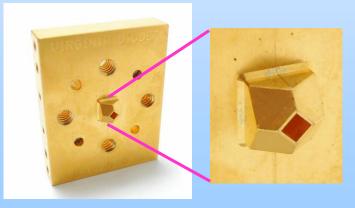
- VDI has sources and detectors to 3 THz
- Waveguide interface has been typically used up to 1.1 THz
- Above 1.1 THz have used horn integrated into block
 - Usually a diagonal horn
- However, there are reasons to extend interfaces higher...
 - Greater flexibility
 - Direct connection of components without quasi-optics
 - Allows more general test & measurement of THz components







1.1-1.7 THz Tripler





IEEE P1785 Workgroup

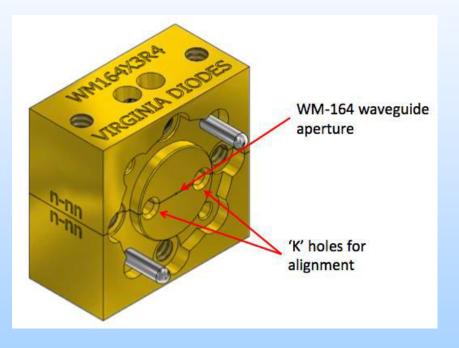
- P1785 "Rectangular Metallic Waveguides and Their Interfaces for Frequencies of 110 GHz and Above"
 - Website: grouper.ieee.org/groups/1785
- Three parts to the standard
 - P1785.1: Define waveguide dimensions and associated frequency bands
 - P1785.2: Define waveguide interfaces (i.e. flanges)
 - P1785.3: Recommendations for Interface Performance and Uncertainty Specifications
- Goals: to develop a standard to allow compatibility between manufacturers & improve performance



IEEE P1785.2 Interface

- Workgroup converged on one main interface topology
 - Can be connected using 4 different methods
 - Outer dowels, inner dowels, ring, boss/socket
 - One drawing for all variants
 - Except for socket version
 - Backwards compatible
 - Improved performance

P1785.2 Interface shown for WM-164 Tripler





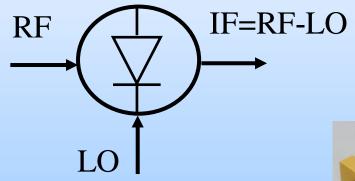
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Signal Detection Using Schottky Diodes

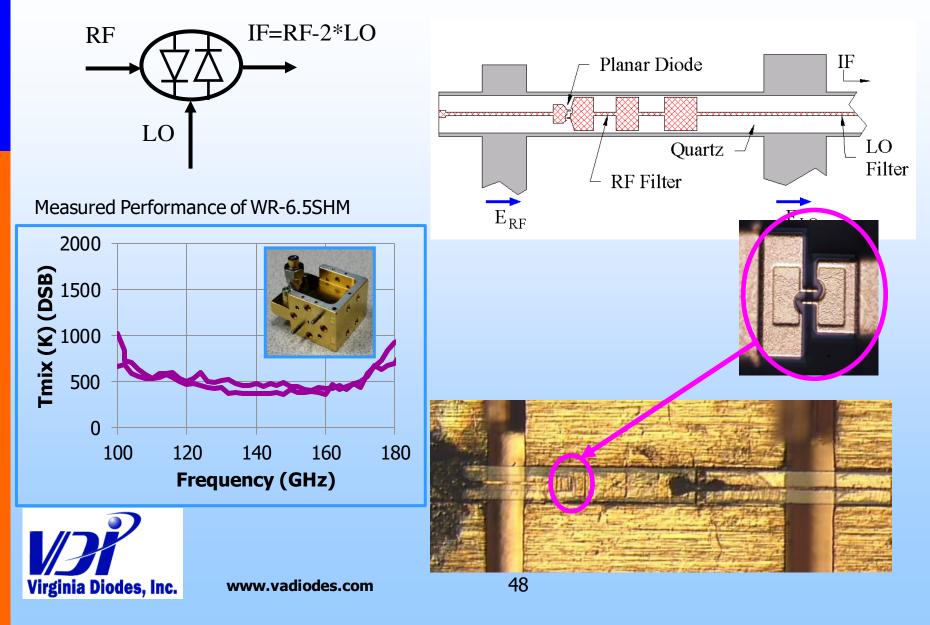
 Use the nonlinearity of the Schottky diode to mix a local oscillator signal with a THz signal



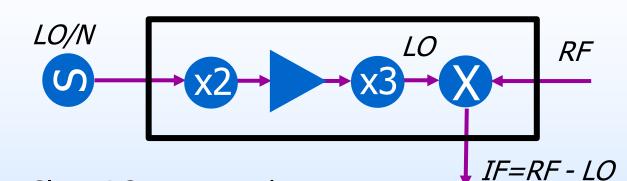




Signal Detection Using Schottky Diodes

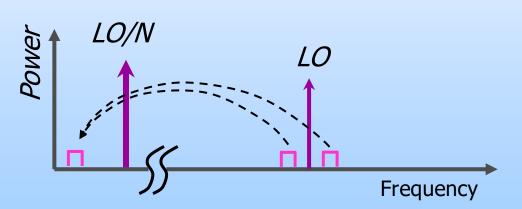


THz Spectrum Analyzer Extenders





- Clean LO generated to drive mixer – single tone
 - Filtering and balanced designs
- Mixing between high frequency LO and RF
 IF = RF +/- LO





THz Spectrum Analyzer Extenders

- Sophisticated instrument to analyze microwave signals
 - Spectral purity
 - Phase noise
 - Communication Signal Demodulation
- A core microwave test capability
 - Along with sources and vector network analyzers
- Can be extended to THz using the Schottky technology



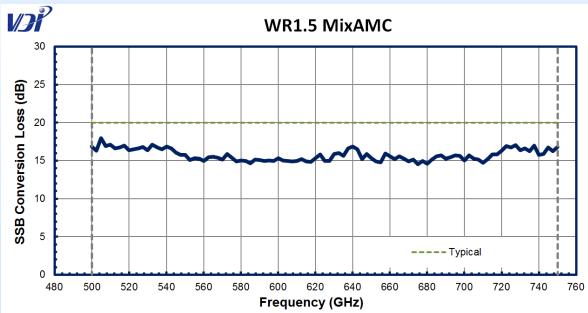


VDI Spectrum Analyzer Extenders (SAX's)



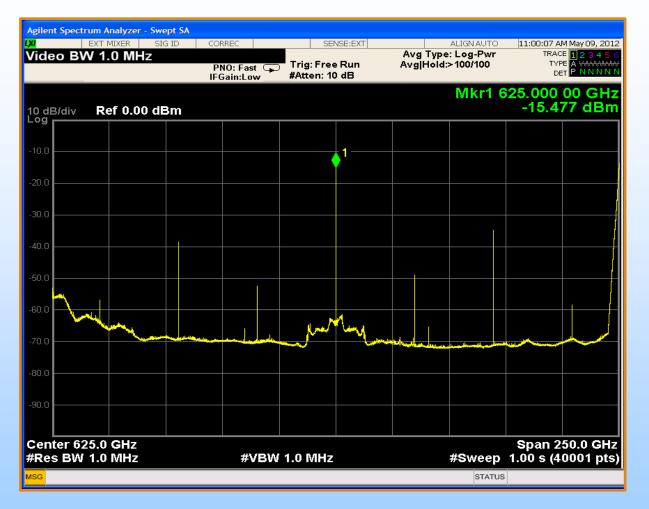
- Fullband down-conversion and frequency extension of microwave spectrum analyzers into the THz range
 - Banded coverage from 75GHz-1,100GHz
 - IF Bandwidth up to 40 GHz
 - DANL 150 dBm/Hz to 750 GHz
 - 135 dBm/Hz to 1.1 THz







625 GHz Spectral Measurement



Virginia Diodes, Inc.

Phase Noise Measurement at 700 GHz



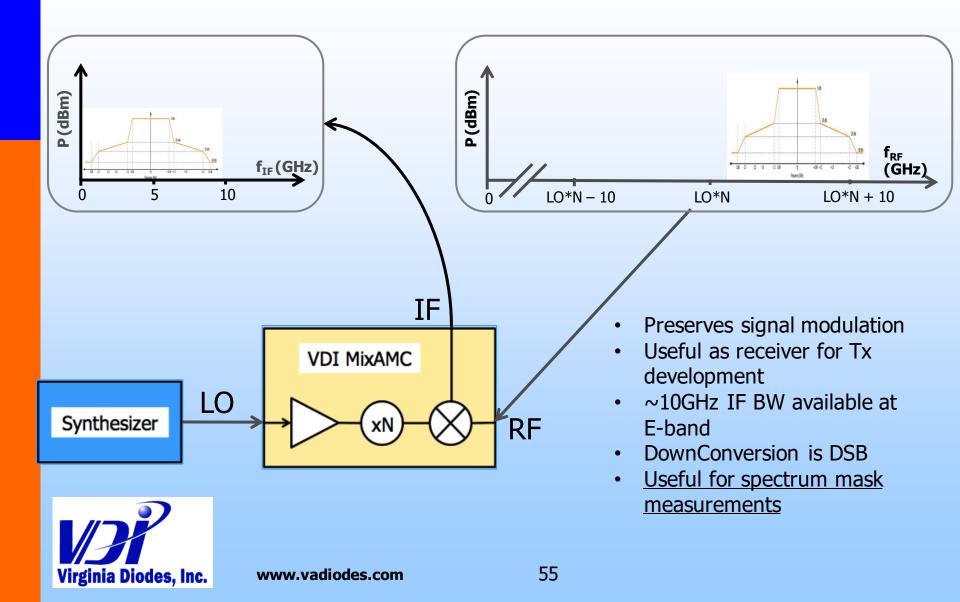


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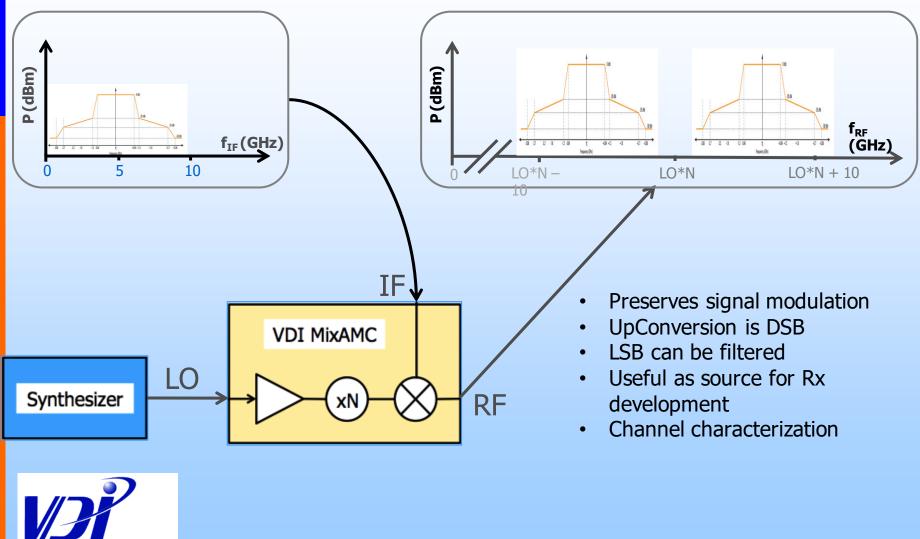
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Block Downconversion



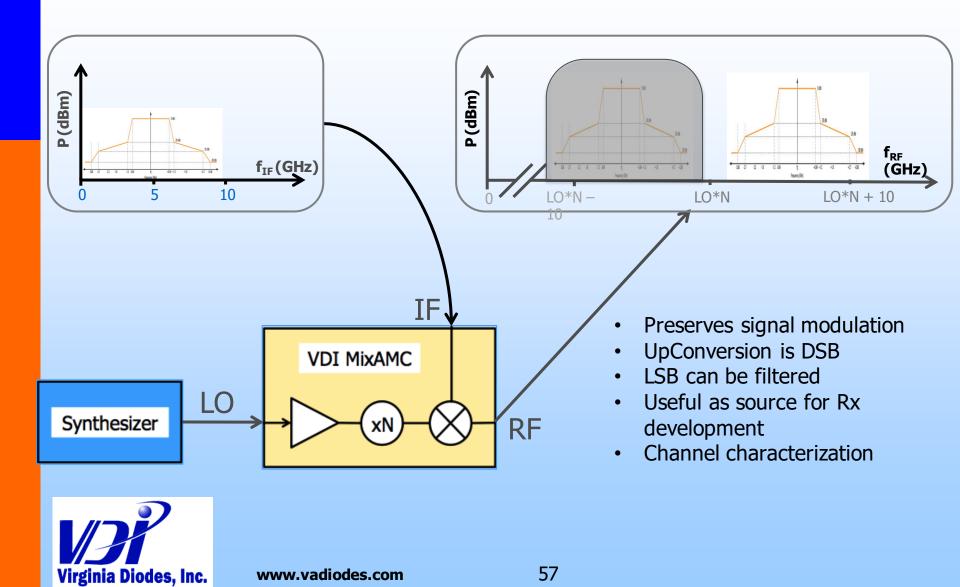
Block Upconversion

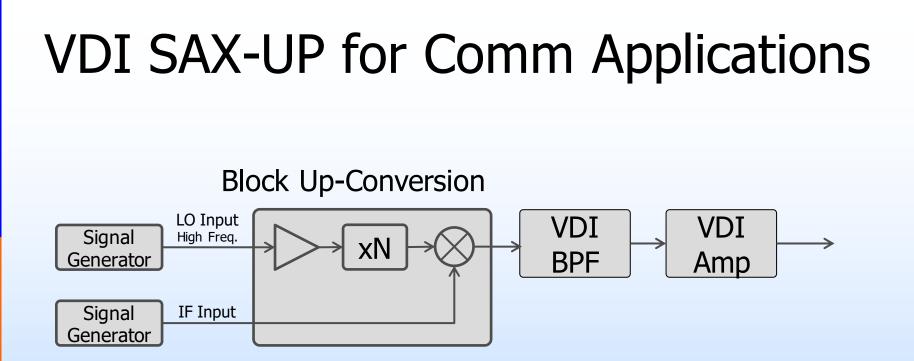


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Block Upconversion





- Can be used to upconvert or downconvert wide band modulated signals
- The double side band nature of the device makes filtering necessary
- VDI has developed a set of waveguide filters and amplifiers to be used for these applications

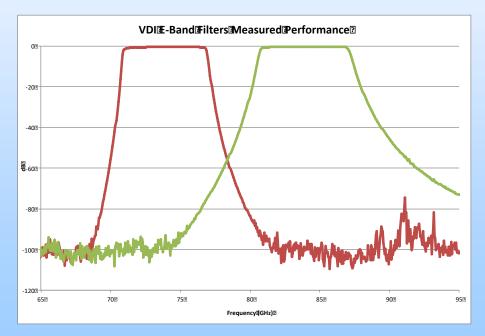


Bandpass Filters for Comm Links

P/N Waveguide Size		Pass Band (GHz)	-40dB Points (GHz)	
WR12BPF59.5-61.6	WR12	59.5-61.5	59.1 & 62.8	
WR12BPF71-76	WR12	71-76	70.3 & 77.7	
WR12BPF81-86	WR12	81-86	79.2 & 89.3	
WR6.5BPF152-162	WR6.5	152-162	147.5 & 166.75	

- Low pass band insertion loss (<1dB)
- High out of band rejection (~100dB)
- Sharp band edges
- Custom filters can be produced



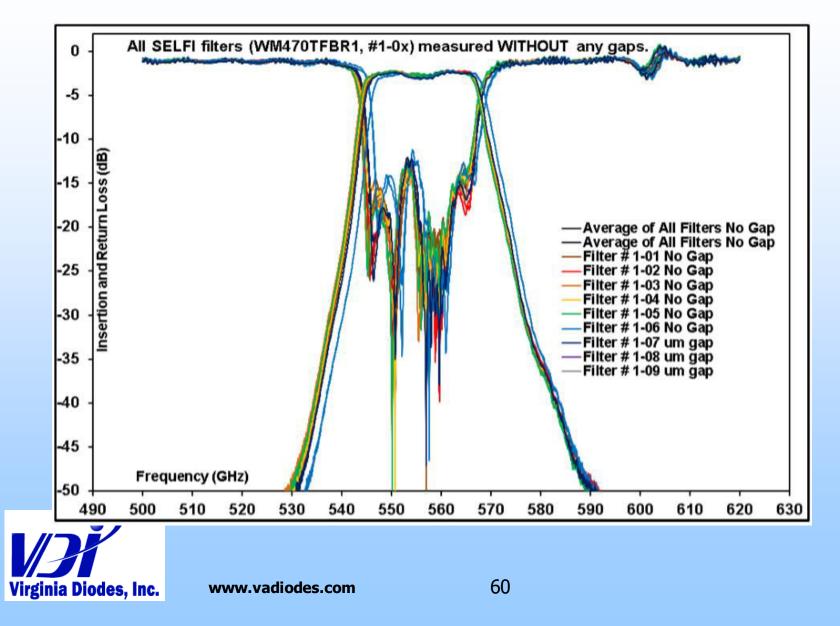




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560 GHz Bandpass Filter



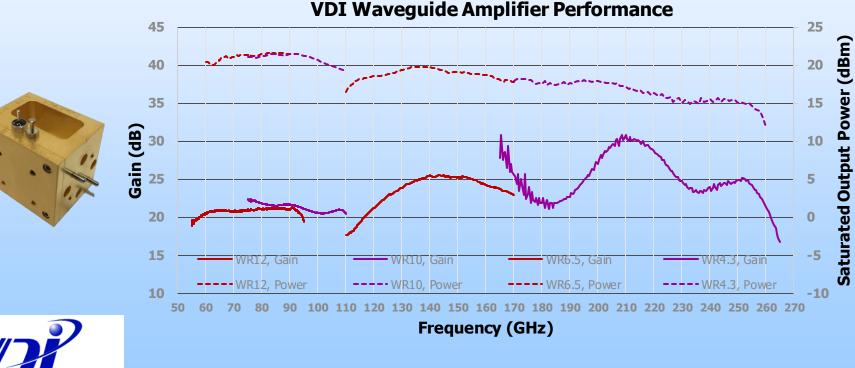
Medium Power Waveguide Amplifiers



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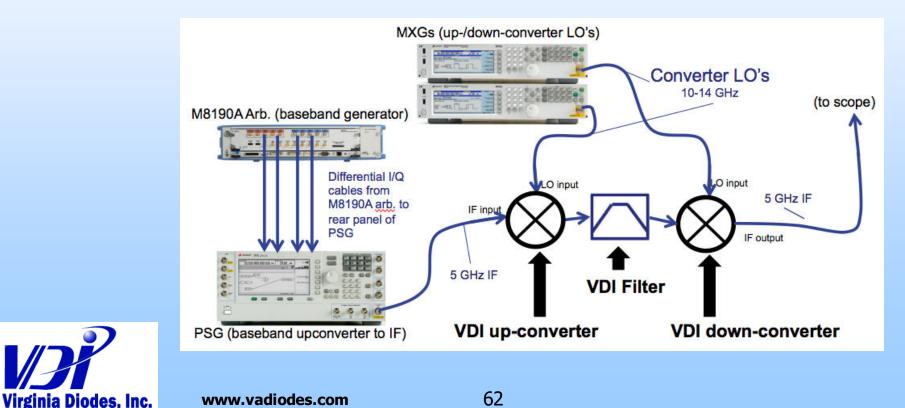
Recent Development at VDI

- WR12 (60-90 GHz) to WR4.3 (170-260 GHz) with WR15 (50-75 GHz) under development.
- High gain and saturated output power.
- Full waveguide band coverage.
- Single voltage bias.



SAX: Communications Example

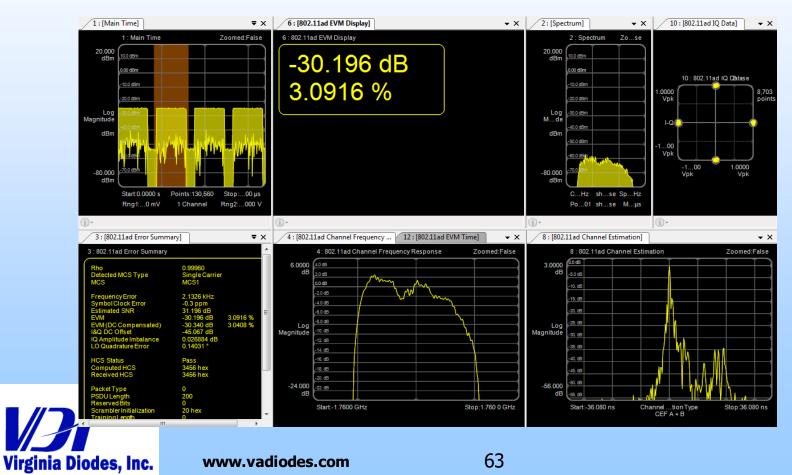
- Simulated radio for signal with 2 GHz modulation bandwidth
 - Mixer IF centered at 5 GHz
 - Allows separation between upper and lower sidebands for filtering



5 GHz Modulation Width: Filtered

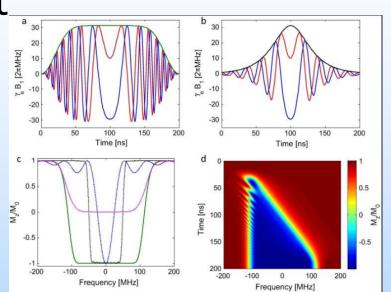
Demodulation of filtered signal, measured at down-converter output:

>802.11ad MCS1 signal
 2 GHz BW at 5 GHz IF carrier, π/2 shifted-BPSK modulation
 >Up-converter LO: 12.1667 GHz (**73 GHz** after mixing) at 2 dBm
 >Down-converter LO: 11.5833 GHz (**69.5 GHz** after mixing) at 2 dBm
 >Optimizations performed (including equalizer)



Shaped pulses for ESR/DNP

- Use these methods to generate shaped pulses at mm-Wave
 - Using a mixing based upconversion
 - Multipliers will distort the signals
- Optimal control based pulses can be used to improve sensitivity and accuracy



Spindler - JMR 2017



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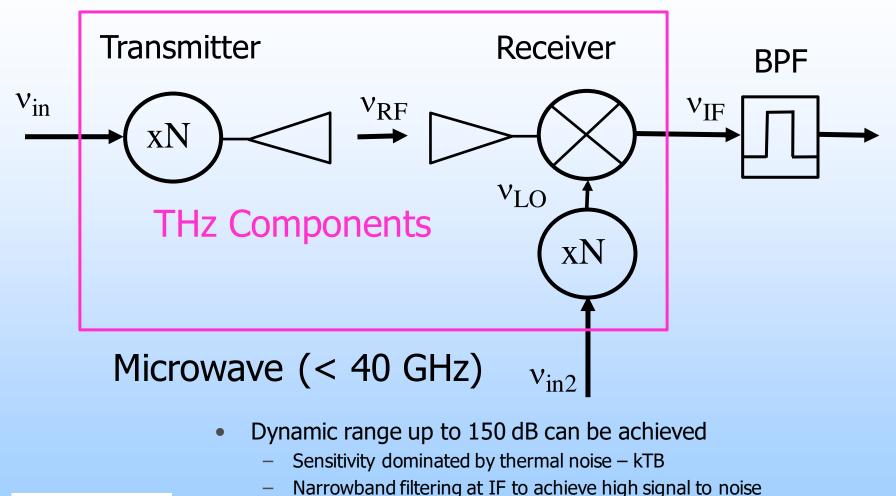
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THz Heterodyne Transceivers





• Both amplitude and phase can be measured

ESR System Examples – St. Andrews

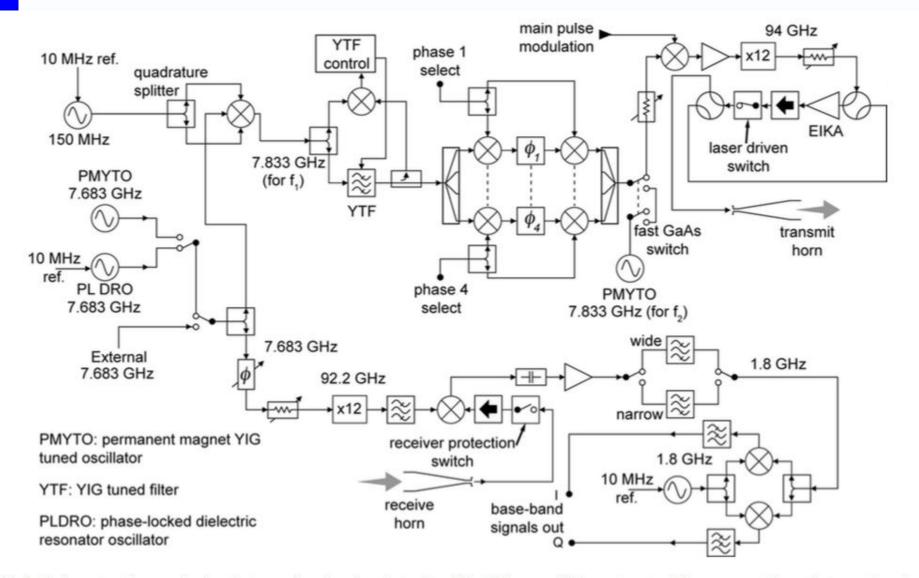


FIG. 2. Basic system diagram showing the transmit and receive electronics of the high-power EPR spectrometer. The upper part shows the transmit section and the lower part shows the receive section. The two parts are coupled through a high-performance quasioptical system.

ESR System Examples – St. Andrews Drive Source Oscillators main pulse 94 GHz YTF modulation phase 1 10 MHz ref. control select quadrature splitter k EIKA 150 MHz laser driven 7.833 GHz switch PMYTO (for f,) 0-7.683 GHz YTF fast GaAs transmit switch horn 10 MHz ref. PL DRO phase 4 PMYTO 7.683 GHz select 7.833 GHz (for f,) wide Receiver 7.683 GHz External 1.8 GHz 7.683 GHz 92.2 GHz narrow PMYTO: permanent magnet YIG receiver protection tuned oscillator 1.8 GHz switch 10 MHz YTF: YIG tuned filter receive base-band PLDRO: phase-locked dielectric horn signals out resonator oscillator

FIG. 2. Basic system diagram showing the transmit and receive electronics of the high-power EPR spectrometer. The upper part shows the transmit section and the lower part shows the receive section. The two parts are coupled through a high-performance quasioptical system.

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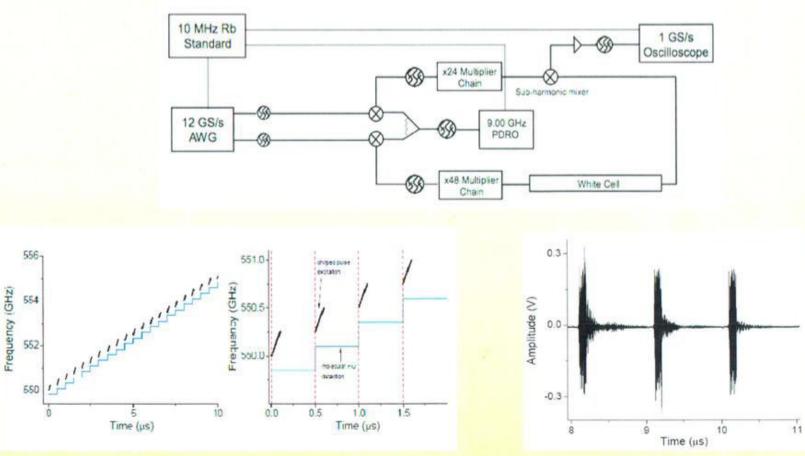


Segmented Chirped-Pulse Fourier Transform Spectroscopy: Unprecedented Speed and Sensitivity



CCU Collaboration: Tektronix, Virginia Diodes, UVa, NIST

Segmented CP-FT Measurement Concept

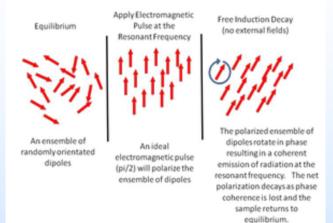


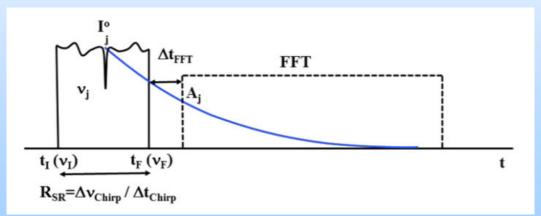


Acquire both the excitation pulse and the molecular FID



Chirped-Pulse Fourier Transform Spectroscopy





Gerecht – Optics Express 2011



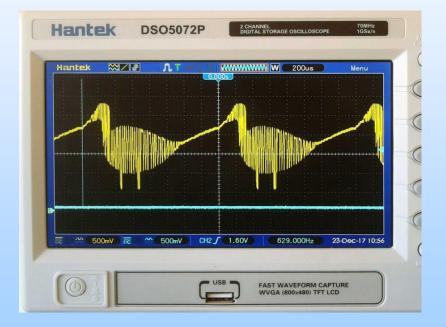
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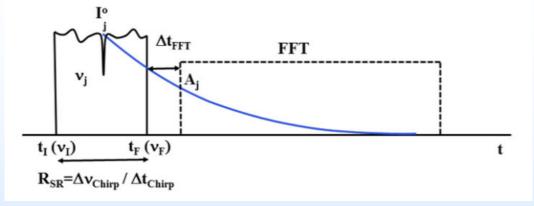
Arbitrary Waveform Generator

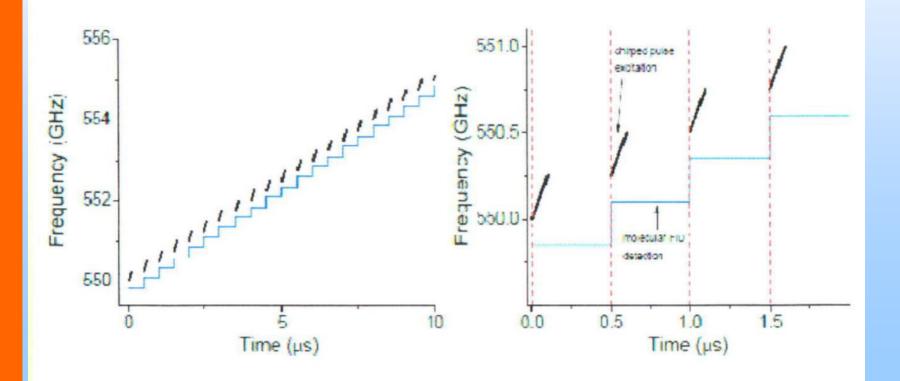
- Allows the generation of any signal shape at output
 - A fast Digital to Analog converter
 - Sample rates to 120 GSa/s



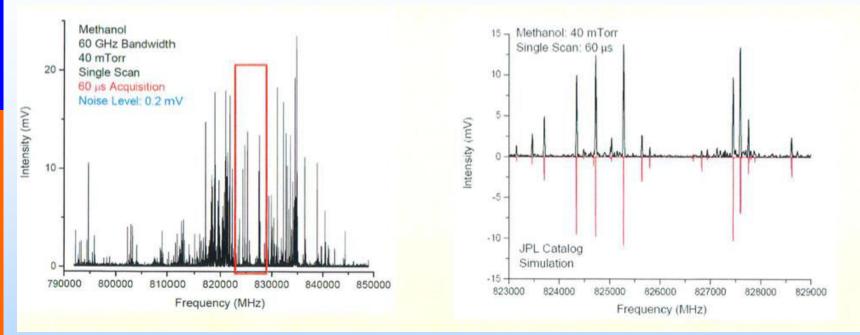


Chirped-Pulse Fourier Transform Spectroscopy





Chirped-Pulse Fourier Transform Spectroscopy



Neill 2013 Optics Express

- 67 GHz bandwidth spectrum of methanol (spanning from 792 to 859 GHz) acquired in 58 µs
- Speed advantage of many orders of magnitude over that of traditional spectroscopy methods



Virginia Diodes www.vadiodes.com

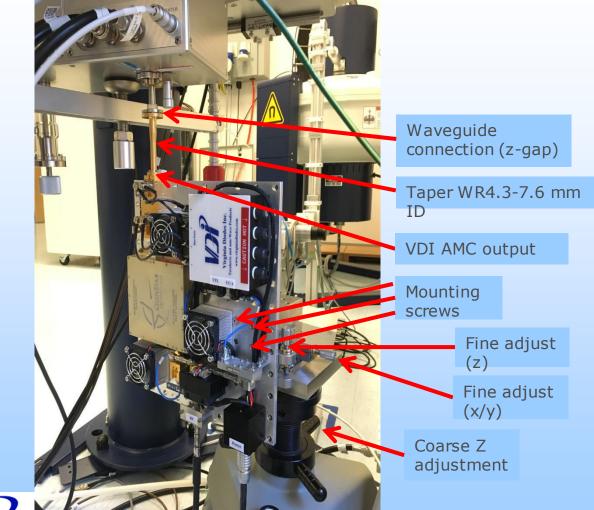
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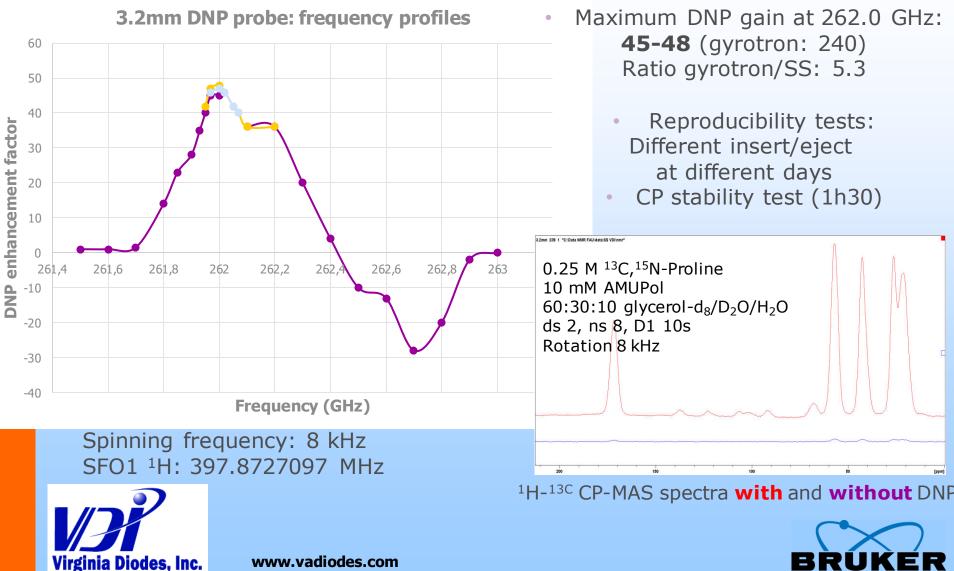
262 GHz Source Tested at Bruker



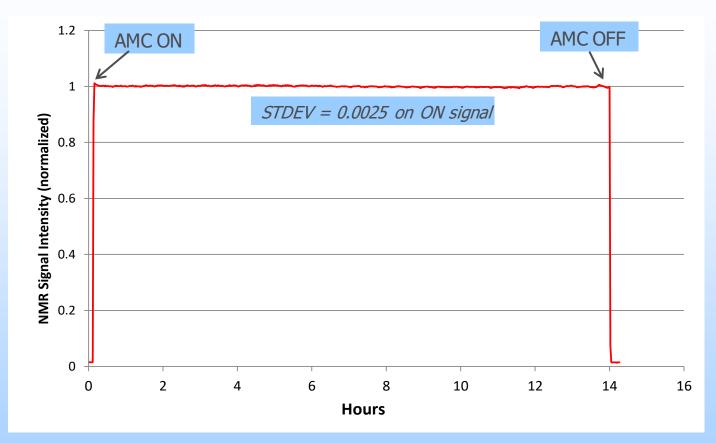




3.2mm DNP probe with 200+ mW VDI source Frequency profiles



262 GHz DNP with VDI Source Overnight Stability Measurement

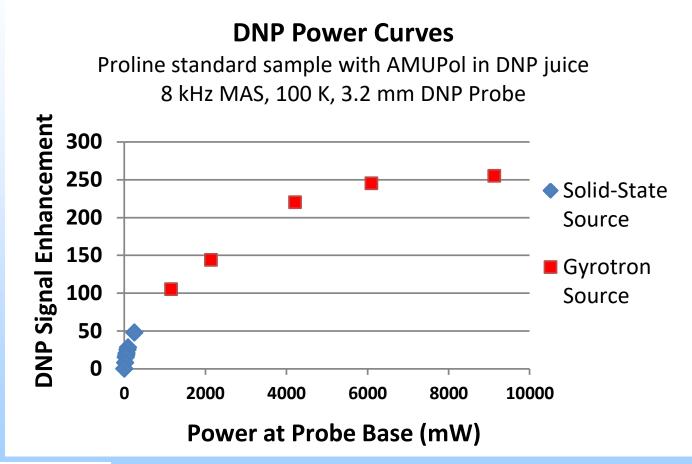


• Stability measurement on proline sample (in optimized rotor/optimized probe) with AMUPol in DNP juice, 8 kHz MAS, 100 K sample temperature. Measurement repeated every 80 seconds. Numerous stability measurements all indicate excellent stability.





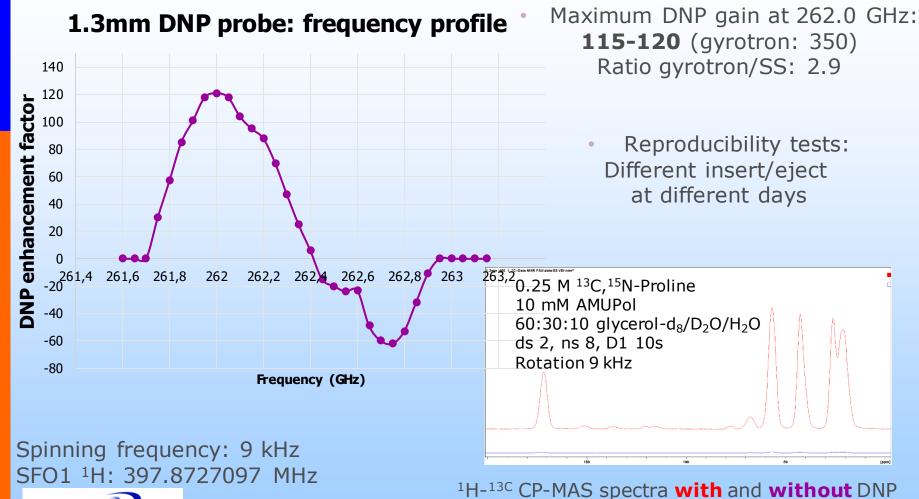
DNP Enhancement vs MM-wave Power







1.3mm DNP probe, VDI source Frequency profiles







DNP Measurements, VDI Source, 8 kHz MAS, 100 K All at maximum frequency match position

Probe	Regular Rotor 100 mW	Regular Rotor ~ 225 mW ^A	Optimized Rotor ~ 225 mW ^A
3.2 mm standard	28	50	42 ^B
3.2 mm optimized		48	58-65 ^C
1.3 mm optimized	90 ^B	115-120	

^A Source operates at maximum power only, ~ 225 mW assuming 2.5 dB insertion loss in directional coupler used as attenuator. Erickson power meter off scale at full power.

^B Single measurement. All other numbers from multiple measurements.

^c Variation between different insert/eject/sample freezing cycles on same packed rotor. Reproducibility on DNP-enhanced signal is excellent (\pm 1%) for multiple experiments on same sample maintained at 100 K.





Future Work

- Ruggedization and Lifetime Testing for 263 GHz Sources
- More power at 263 GHz (0.5-1.0W)
 - Using power amplifier at 130 GHz
 - 4-way combining of frequency doublers
 - Cryogenic cooling of final doublers and amplifier?
- 395 and 527 GHz sources (100mW and 50mW respectively)
- Pulsed operation (arbitrary amplitude and phase)
 - Using IQ mixer at 130 GHz before final amplifier



500mW source at 240 GHz



Summary

- Terahertz technology is an emerging field with many established applications in basic science, as well as a host of commercial applications that are now under development.
- A primary need is fast, convenient and accurate Test & Measurement tools.
 - "If you can measure it, you can improve it"
- Full waveguide band frequency extenders are now available for signal generators and signal analyzers up to 1.1 THz
- Scientific Applications are driving development to 3 THz and beyond!

