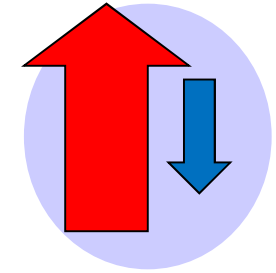


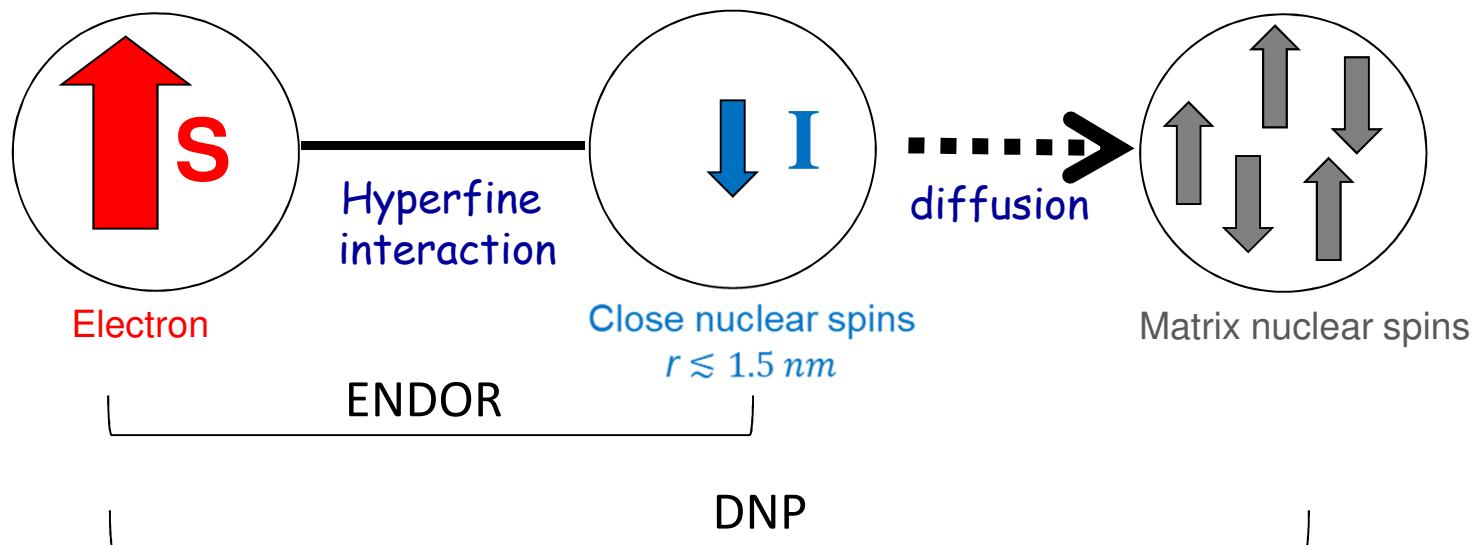
# Electron-Nuclear Double Resonance (ENDOR) & Dynamic Nuclear Polarization (DNP)



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EF-EPR School, Brno 2019



## Part I: ENDOR Spectroscopy

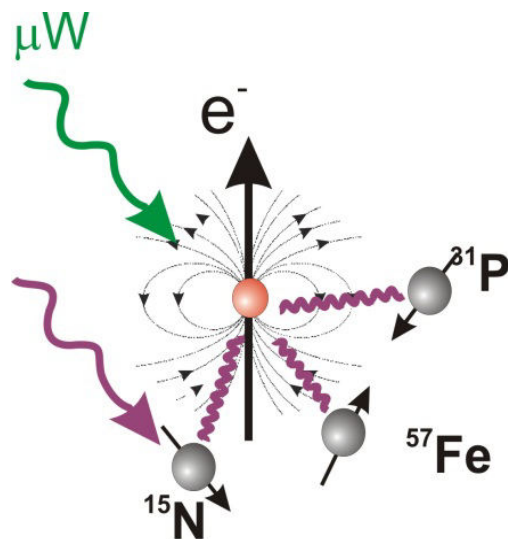
- Introduction
- Line shapes of ENDOR spectra
- Examples for applications
- Orientation selection
- Pulse sequences
  - Davies ENDOR ([Appendix: theoretical description](#))
    - effect of the preparation pulse
    - spectral hole and matrix peak
    - Rabi oscillation, RF pulse lengths and resolution
  - Mims ENDOR ([Appendix: theoretical description](#))
  - Triple ENDOR
- Relaxation effects in ENDOR
- New pulse sequences: cross-polarization

## Part II: Overview of DNP

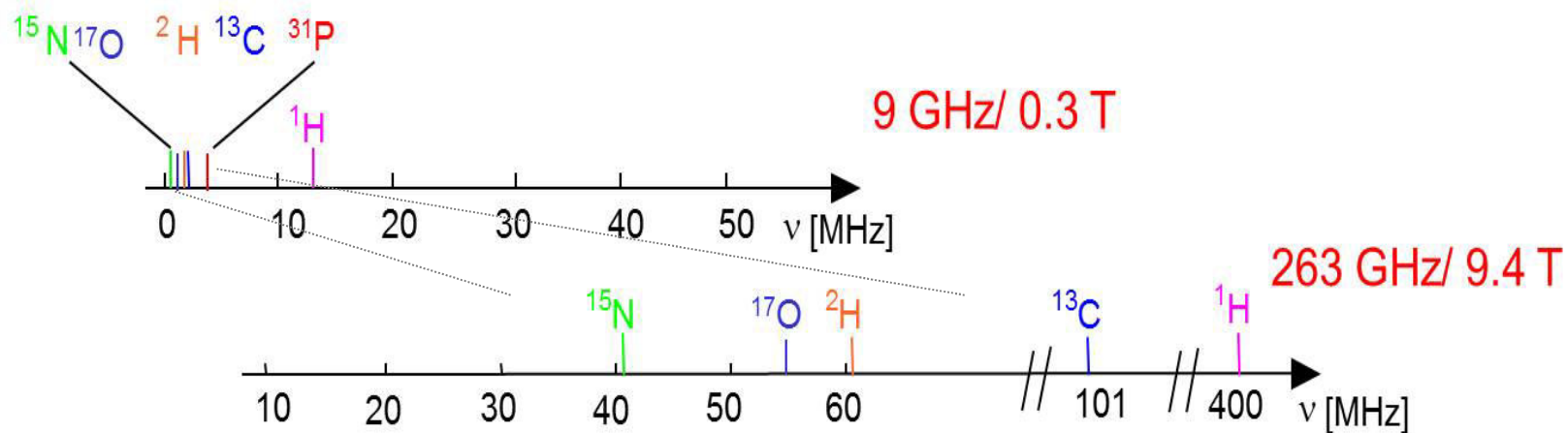
- Introduction
- Overhauser DNP in liquid NMR
- MAS DNP in solid-state NMR
- Dissolution DNP in MRI

# Part I: Introduction to ENDOR

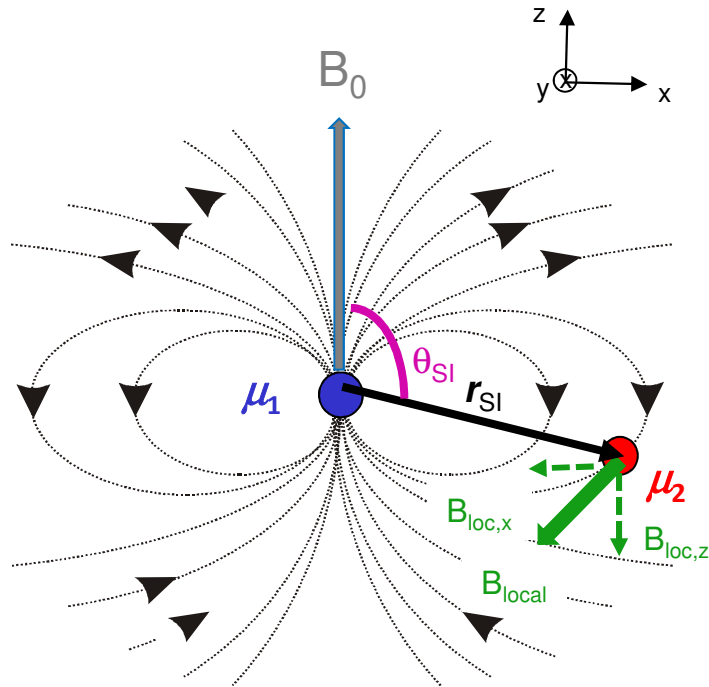
Electron-nuclear double resonance



At high fields/high EPR frequencies: increased resolution, 'first order' spectra, simpler analysis



# Reminder: Hyperfine Coupling, Basic Definitions



$$\hat{H}_{\text{hf}} = \hat{\mathbf{S}} \cdot \mathbf{A} \cdot \hat{\mathbf{I}} \quad \mathbf{A}_{\text{Diag}} = a_{\text{iso}} + \mathbf{T} = a_{\text{iso}} + \begin{pmatrix} T_{\perp} & & \\ & T_{\perp} & \\ & & T_{\parallel} \end{pmatrix}$$

$T_{\parallel} = -2T_{\perp}$   
 $\mathbf{T}$  is dipolar tensor

# Spin Hamiltonian and ENDOR Transitions

General spin Hamiltonian for  $k$  coupled nuclear spins

$$\hat{H} = \mu_B \mathbf{B} \cdot \mathbf{g} \cdot \hat{\mathbf{S}} + \sum_k (-\mu_N g_n^k \mathbf{B} \cdot \hat{\mathbf{I}}^k + \hat{\mathbf{S}} \cdot \mathbf{A}^k \cdot \hat{\mathbf{I}}^k)$$

Electron Zeeman

Nuclear Zeeman

Hyperfine Interaction

Consider only two  $1/2$  spins, approximation for electron: neglect  $S_x$  and  $S_y$

$$\hat{H} \approx \mu_B g_e B_0 \hat{S}_z - \mu_N g_n B_0 \hat{I}_z + A_{zz} \hat{S}_z \hat{I}_z + A_{zy} \hat{S}_z \hat{I}_y + A_{zx} \hat{S}_z \hat{I}_x$$

$$A_{\text{lab}} = R(\varphi, \theta, 0) \cdot A_{\text{diag}} \cdot R^{-1}(\varphi, \theta, 0)$$

$$m_S = 1/2 (\alpha_e) \text{ or } -1/2 (\beta_e); m_I = 1/2 (\alpha_n) \text{ or } -1/2 (\beta_n)$$

Hamiltonian in matrix form  
Note the order of elements, is not arbitrary

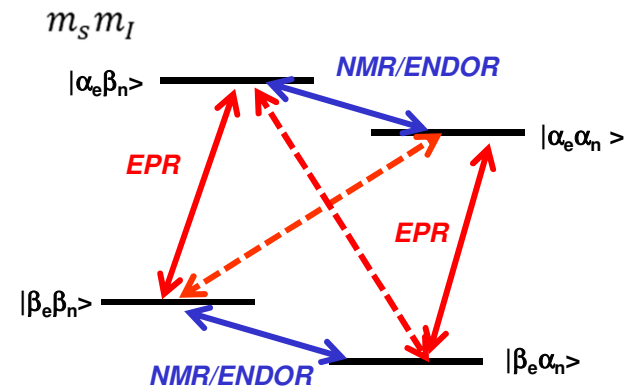
$$\begin{matrix} \langle \alpha_e \alpha_n | & \langle \alpha_e \beta_n | & \langle \beta_e \alpha_n | & \langle \beta_e \beta_n | \\ \left( \begin{array}{cccc} \frac{1}{2} \mu_B g_e B_0 - \frac{1}{2} \mu_N g_n B_0 + \frac{A_{zz}}{4} & \frac{1}{4} (A_{zx} - iA_{zy}) & 0 & 0 \\ \frac{1}{4} (A_{zx} + iA_{zy}) & \frac{1}{2} \mu_B g_e B_0 + \frac{1}{2} \mu_N g_n B_0 - \frac{A_{zz}}{4} & 0 & 0 \\ 0 & 0 & -\frac{1}{2} \mu_B g_e B_0 - \frac{1}{2} \mu_N g_n B_0 - \frac{A_{zz}}{4} & -\frac{1}{4} (A_{zx} - iA_{zy}) \\ 0 & 0 & -\frac{1}{4} (A_{zx} + iA_{zy}) & -\frac{1}{2} \mu_B g_e B_0 + \frac{1}{2} \mu_N g_n B_0 + \frac{A_{zz}}{4} \end{array} \right) \end{matrix}$$

$$S = 1/2, I = 1/2$$

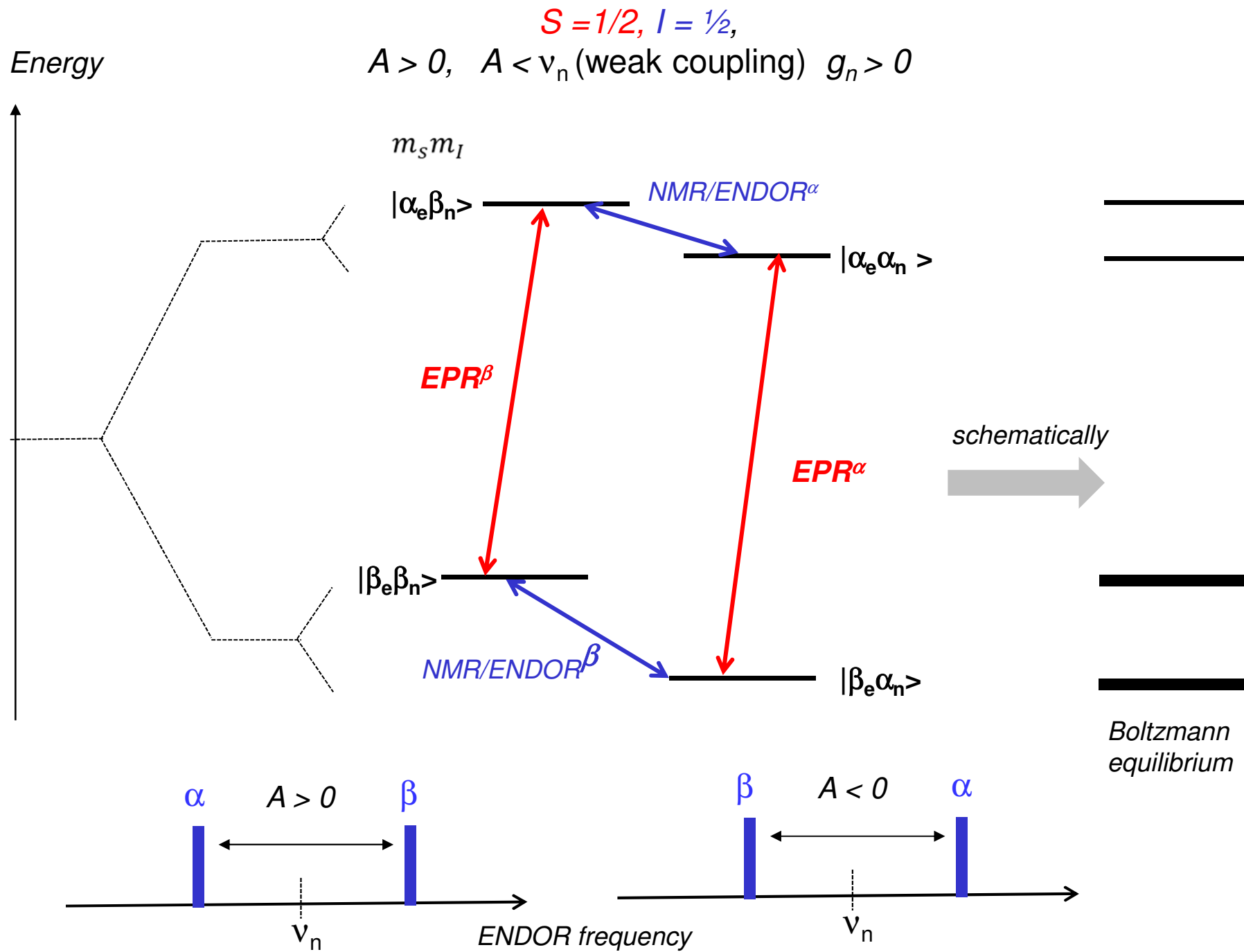
High field approximation (first order): neglect also  $I_x$  and  $I_y$  i.e.  $A_{zy}$  and  $A_{zx}$

$$\Delta E_{\text{EPR, allowed}} \approx \mu_B g_e B_0 \pm \frac{1}{2} A_{zz} \quad (\Delta m_S = 1)$$

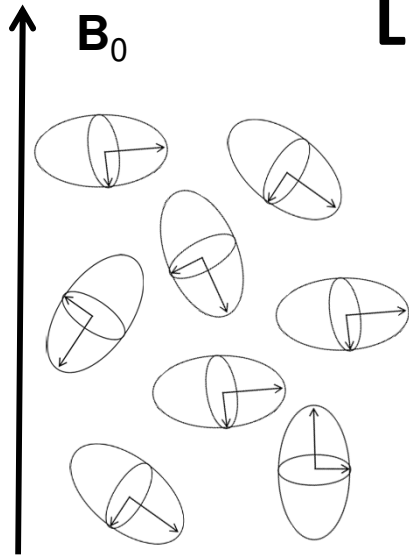
$$\Delta E_{\text{ENDOR}} \approx \mu_N g_n B_0 \pm \frac{1}{2} A_{zz} \quad (\Delta m_I = 1)$$



here  $g_n > 0, A > 0$



# Line Shape of ENDOR Spectra in Powders



All molecular orientations contribute with same probability

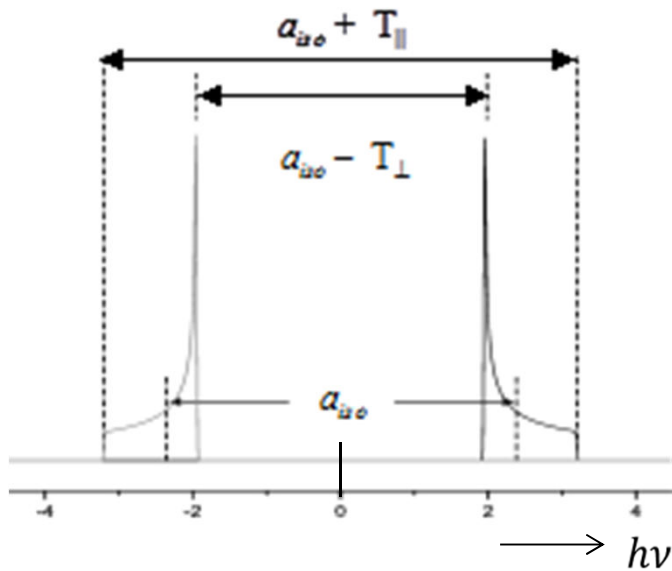
$$\Delta E_{\text{ENDOR}} \approx \mu_N g_n B_0 \pm \frac{1}{2} A_{zz}$$

$$A_{zz} = a_{iso} + (3\cos^2\theta - 1) \cdot T_{\perp}$$

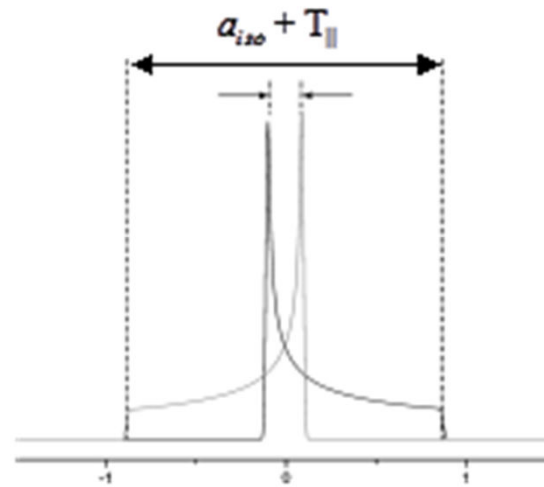
$$T_{\parallel} = -2T_{\perp}$$

**T** is dipolar tensor

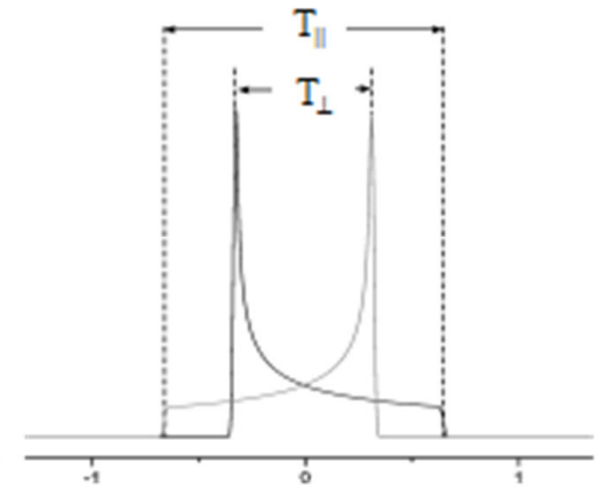
*Pake pattern*



$$a_{iso} \gg T_{\parallel}$$

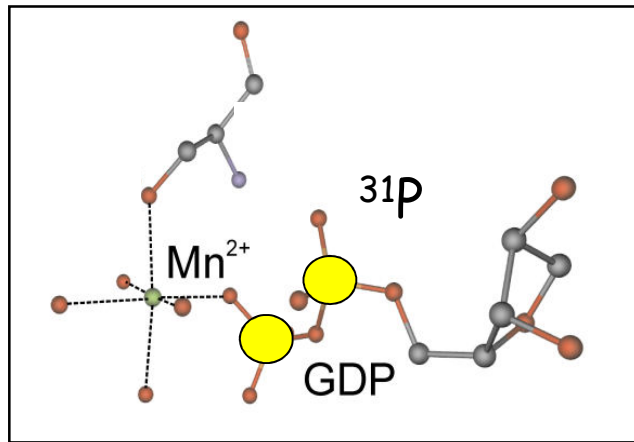


$$a_{iso} \ll T_{\parallel}$$

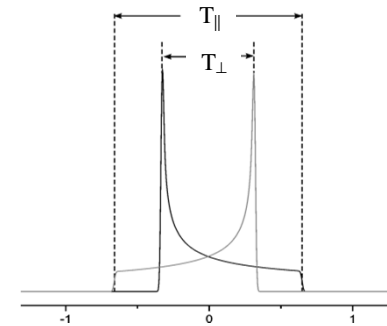
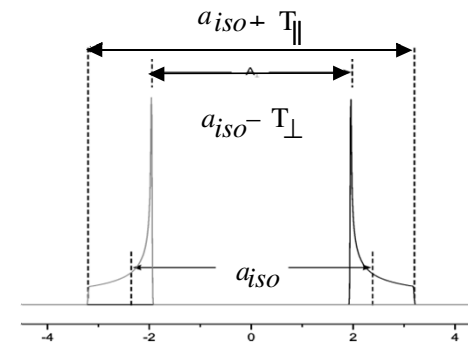
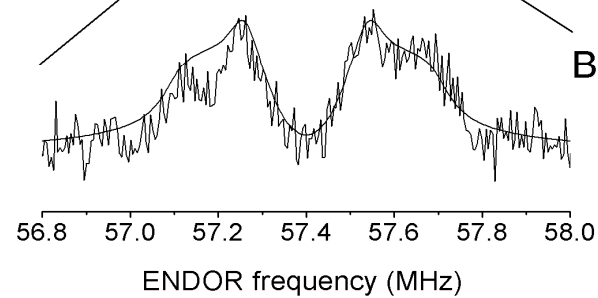
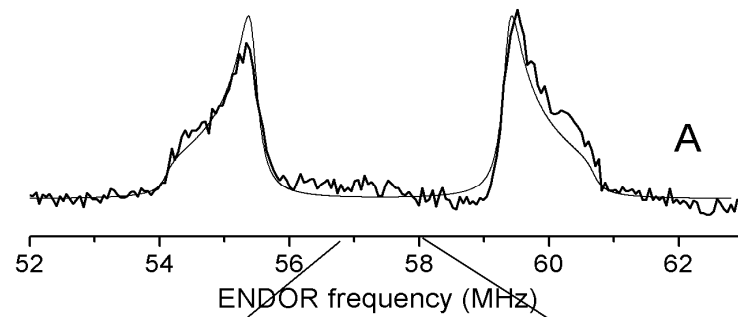


$$a_{iso} = 0$$

# $^{31}\text{P}$ ENDOR Spectra of GDP bound to $\text{Mn}^{2+}$ in Ras Protein



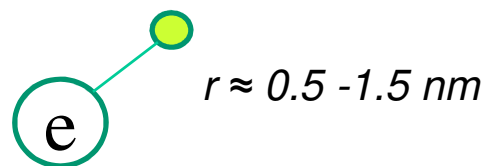
$^{31}\text{P}$   $I = \frac{1}{2}$ , 100 % abundance  
 $\text{Mg}^{2+} \rightarrow \text{Mn}^{2+}$   
 $\text{Mn}^{2+}$  ENDOR at W-band (94 GHz)  
Two  $^{31}\text{P}$  nuclei are visible in ENDOR





# Example: Distance Measurements with $^{19}\text{F}$ ENDOR

$^{19}\text{F}$   $I = 1/2$ , 100 %

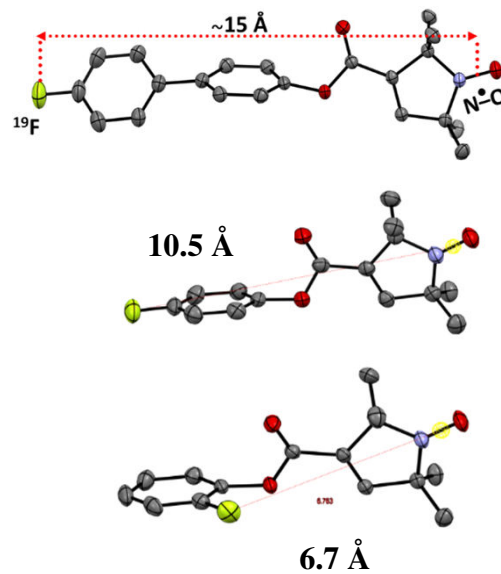


$\bullet = ^{19}\text{F}$

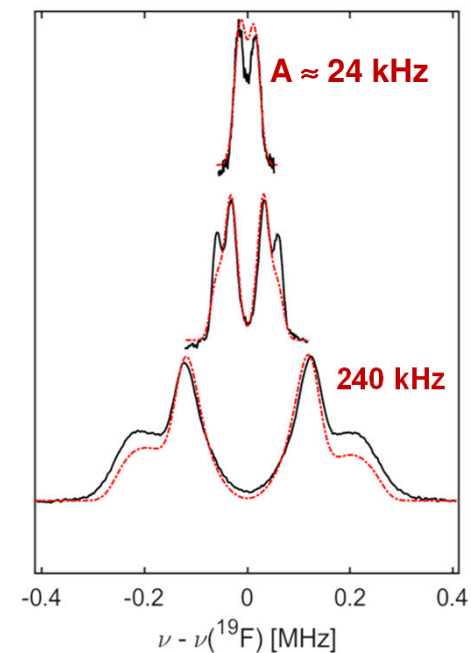
➤  $g_n(^{19}\text{F}) \approx g_n(^1\text{H})$

$\Delta\nu_L \approx 8 \text{ MHz}$  at 94 GHz

➤ scarcity in biology



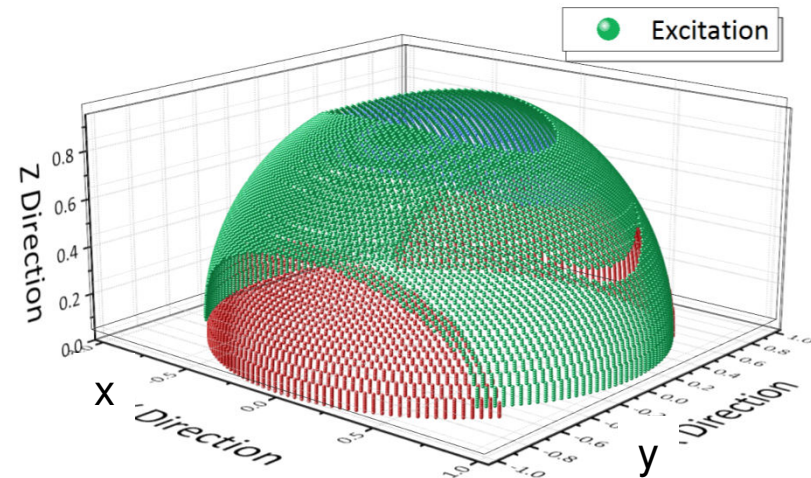
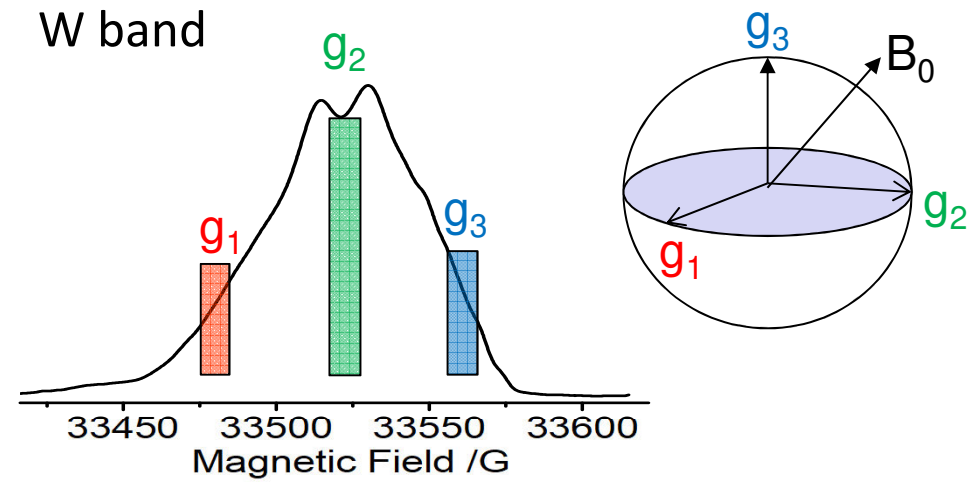
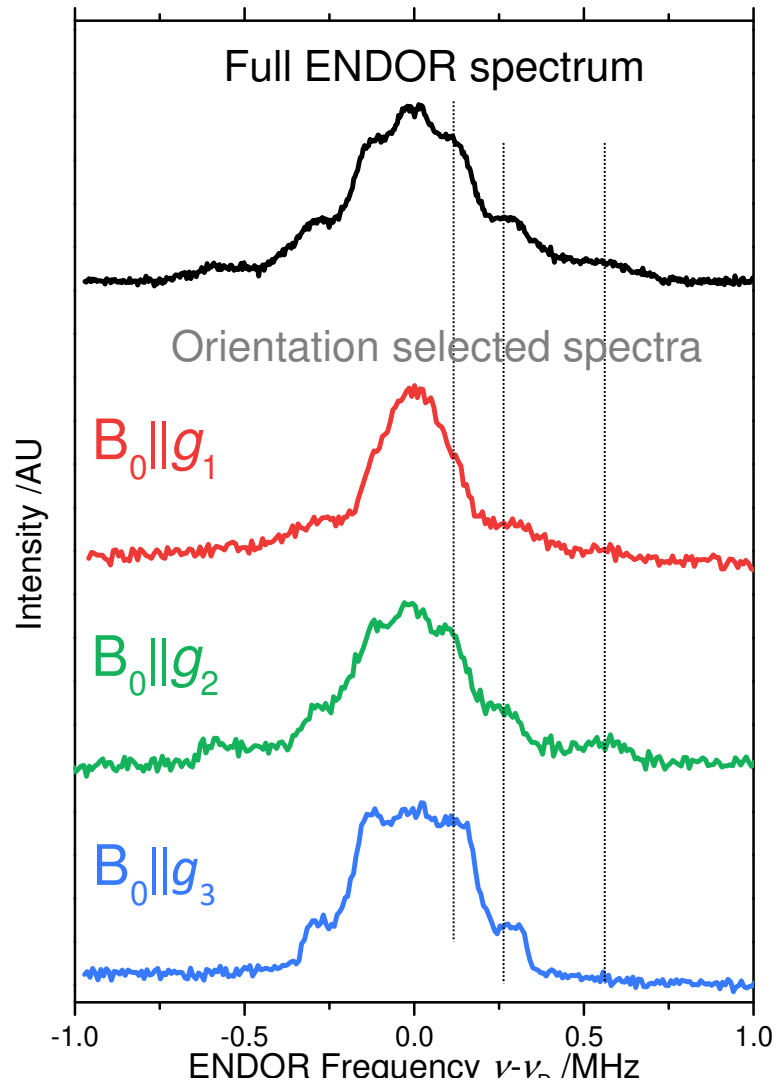
W-band ENDOR  
Only dipolar coupling



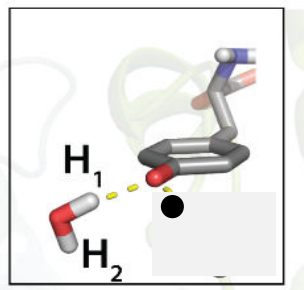
Meyer et al, Angewandte Chemie 2019, doi:10.1002/anie.201908584

# Orientation Selection

Only part of EPR spectrum is excited  $\rightarrow$  Incomplete powder ENDOR spectrum

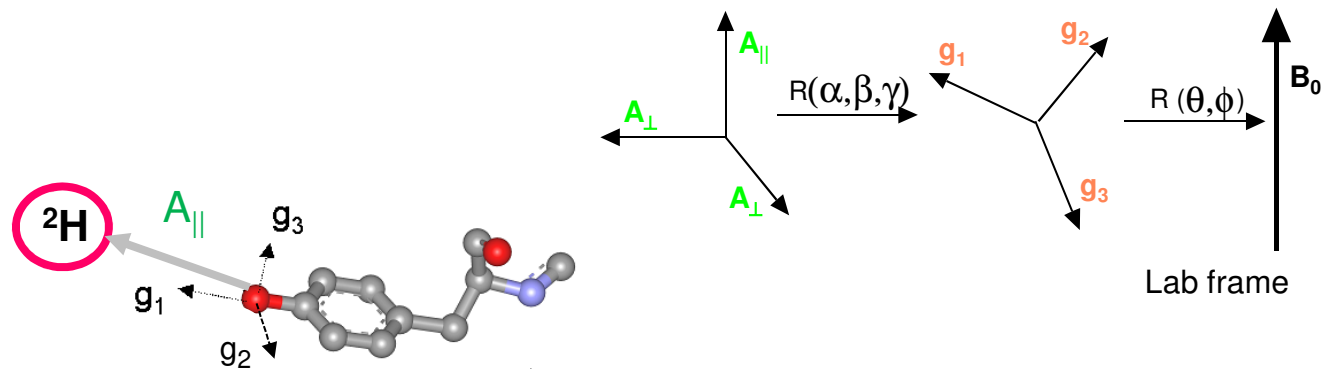


# Example for Orientation Selection



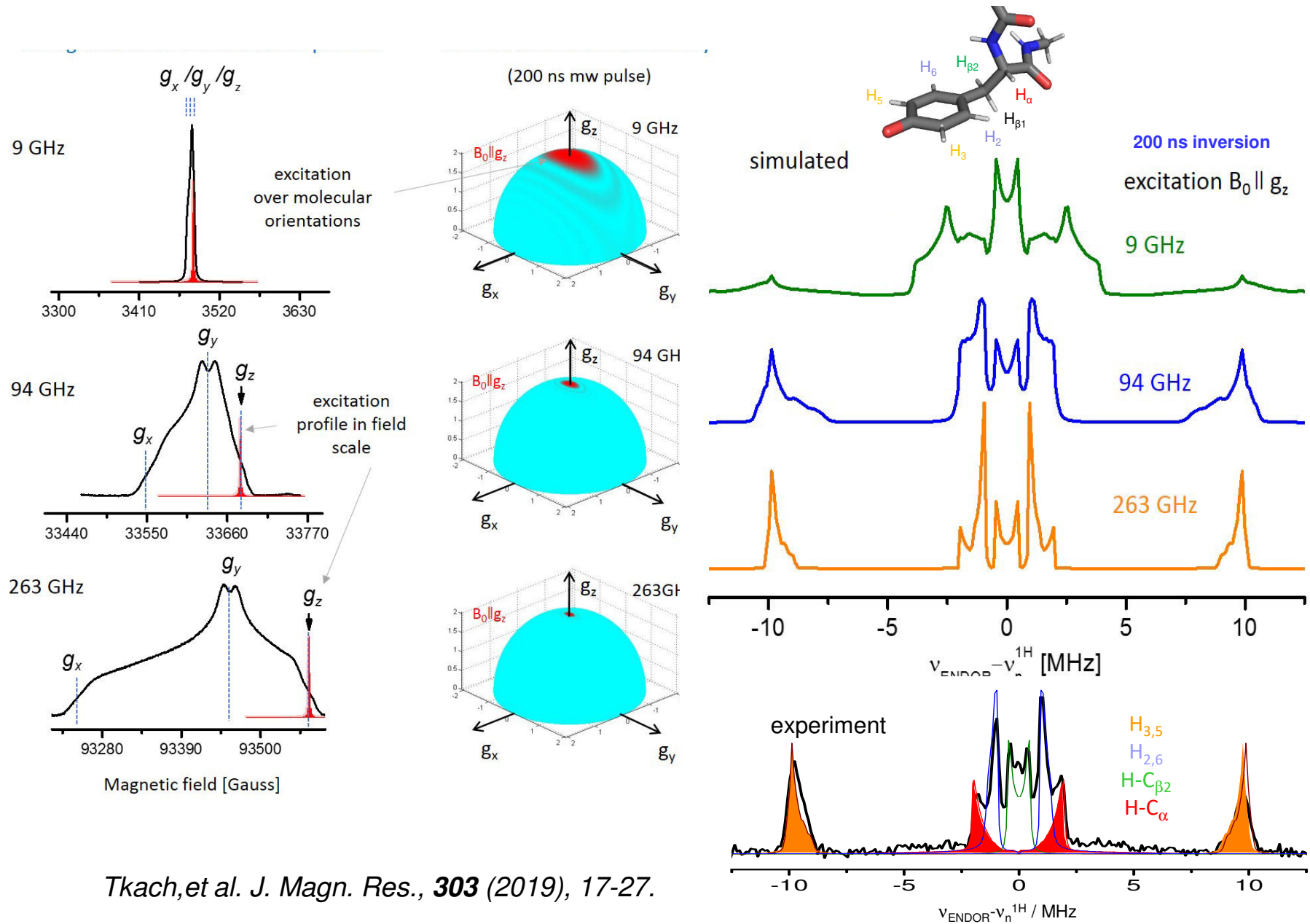
Example:

Tyrosyl radical, hydrogen bond  
 $\text{H}_2\text{O} \rightarrow \text{D}_2\text{O}$  exchange  
140 GHz  $^2\text{H}$  ENDOR



*Bar et al. JACS 2001*

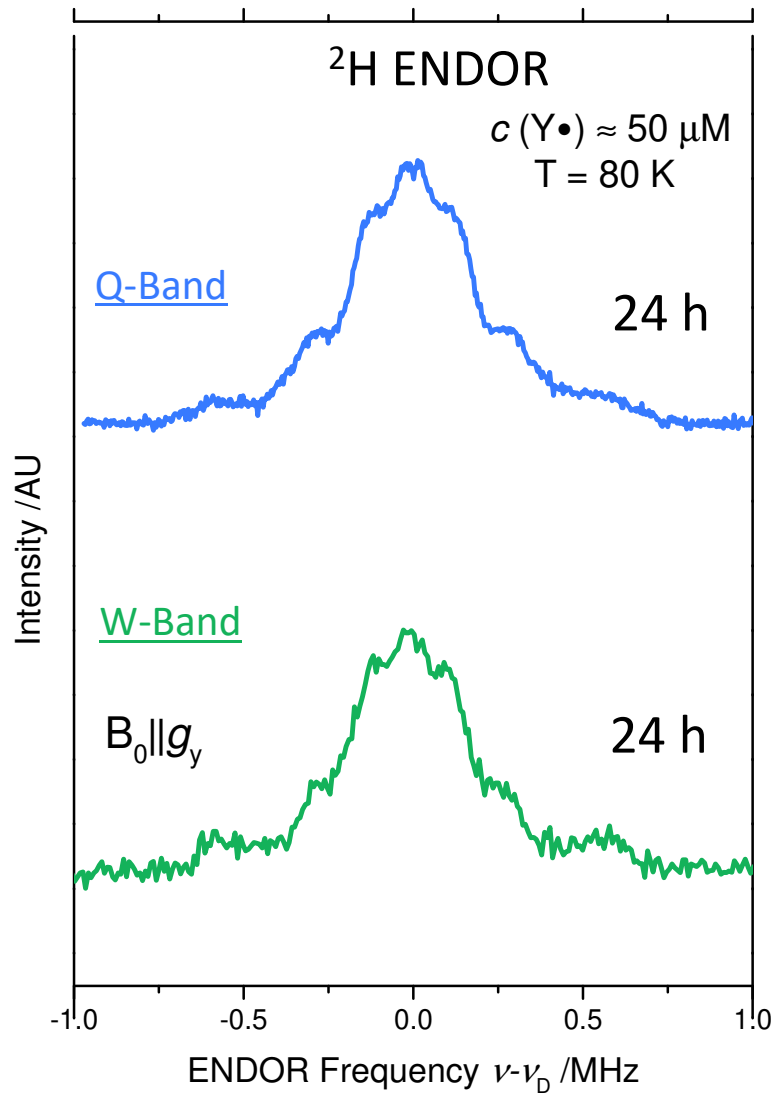
# Enhanced Resolution via Orientation Selection



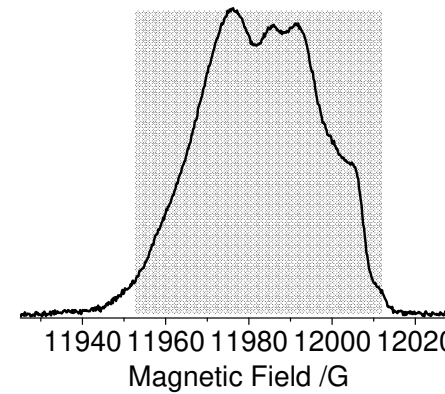
Tkach, et al. *J. Magn. Res.*, **303** (2019), 17-27.

# Choice of Frequency

## Comparison Q band and W band

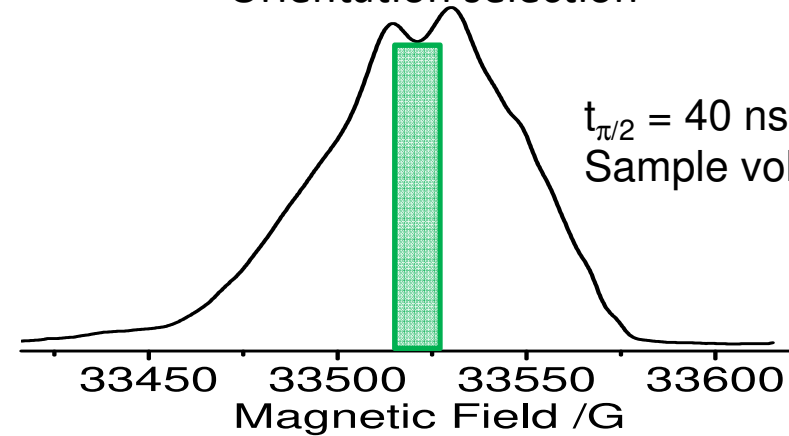


Excite (almost) full EPR spectrum (organic radicals)



$t_{\pi/2} = 6 - 10 \text{ ns}$   
 Sample volume:  $10 \mu\text{L}$

Orientation selection



$t_{\pi/2} = 40 \text{ ns}$   
 Sample volume:  $2 \mu\text{L}$

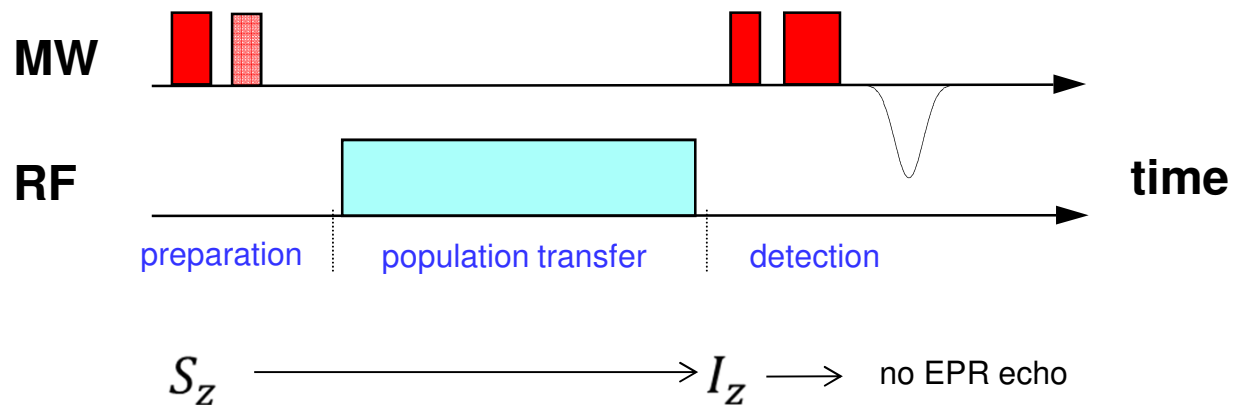
S/N decreases in W-band only by a factor of 3

# Pulse Techniques to Record ENDOR Spectra

Also CW ENDOR (see References)

General principles and scheme:

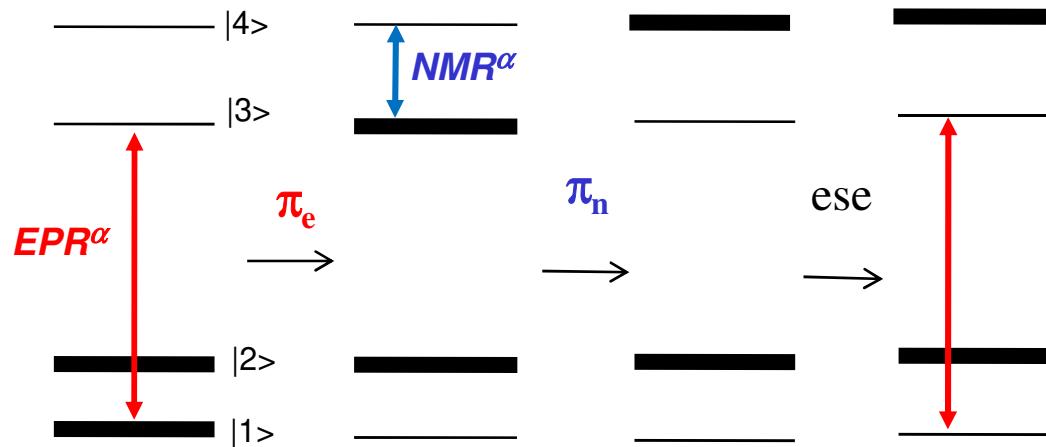
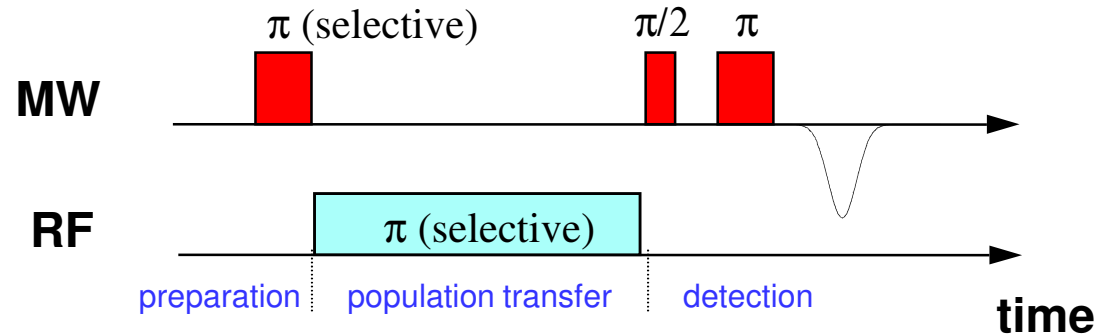
population/polarization transfer between allowed EPR and NMR transitions



- Usually, no need of very strong MW pulses
- Resolution is limited by RF pulse,  $T_{1e}$ , electronic  $T_M$ , spectral diffusion
- Sensitivity is limited by  $T_{1e}$ ,  $T_{1n}$  (repetition time), hf anisotropy

# Davies ENDOR

Davies, Phys. Rev. Lett. A, 47,1-2 (1974)



- Selective  $\pi$ -pulses invert populations of EPR and NMR/ENDOR transitions.
- EPR echo intensity is recorded as a function of the NMR/ENDOR frequency.
- Very good performance for: large couplings  $A \gtrsim 3-4$  MHz, Orientation selection

# Appendix: Description with Product Operators

C. Gemperle & A. Schweiger, Chem. Rev. 1991, Vol. 91, p. 1481

$\mathcal{H} = H/\hbar$  Hamiltonian in frequency units

$\mathcal{H}_0 = \Omega S_z + \Delta\omega_n I_z + a I_z S_z$  Doubly rotating frame Hamiltonian

$\mathcal{H}_1^\alpha = \omega_1 S_x I^\alpha$  Selective MW pulse on  $\alpha$  nuclear spin manifold

$\mathcal{H}_1^\beta = \omega_2 S^\alpha I_x$  Selective RF pulse on  $\alpha$  electron spin manifold

$\sigma(t) = \sum_n b_n(t) B_n$  Reduced density operator as a linear combination of product operators

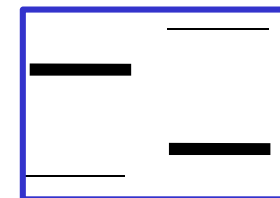
$\dot{\sigma}(t) = -i[\mathcal{H}(t), \sigma(t)]$  Liouville-von Neumann equation for time evolution of  $\sigma$

$\sigma(t + t_1 + t_2) = e^{-i\mathcal{H}_2 t_2} e^{-i\mathcal{H}_1 t_1} \sigma(t) e^{+i\mathcal{H}_1 t_1} e^{+i\mathcal{H}_2 t_2}$  Solution for time-independent Hamiltonians

$\sigma(0) \xrightarrow{H^{(1)}\tau_1} \sigma(\tau_1) \xrightarrow{H^{(1)}\tau_2} \dots \longrightarrow B_n \xrightarrow{\varphi B_m} B_n \cos \varphi - i[B_m, B_n] \sin \varphi$

**Davies ENDOR preparation:**

$$-S_z = -S_z I^\alpha - S_z I^\beta \xrightarrow{\pi S_x I^\alpha} S_z I^\alpha - S_z I^\beta = \underbrace{2S_z I_z}_{\text{circled}} = \sigma_{prep}^{sel}$$



**Echo intensity as a function of RF pulse with angle  $\beta_2$ :**

Electron-nuclear two-spin order

$$\sigma_{prep}^{sel} \xrightarrow{\beta_2 S^\alpha I_x} \xrightarrow{(\pi/2) S_x I^\alpha} \xrightarrow{H_0 \tau} \xrightarrow{\pi S_x I^\alpha} S_y I^\alpha \left[ \frac{1}{2} (1 + \cos \beta_2) \right]$$



# Davies ENDOR Efficiency

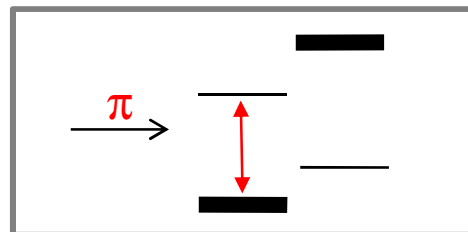
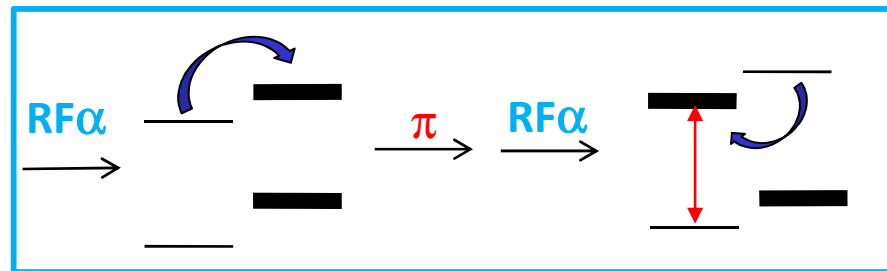
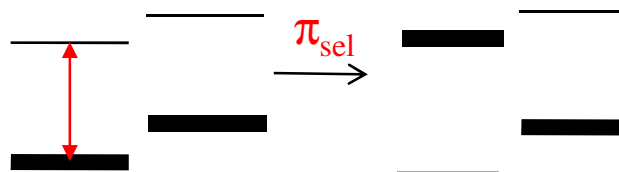
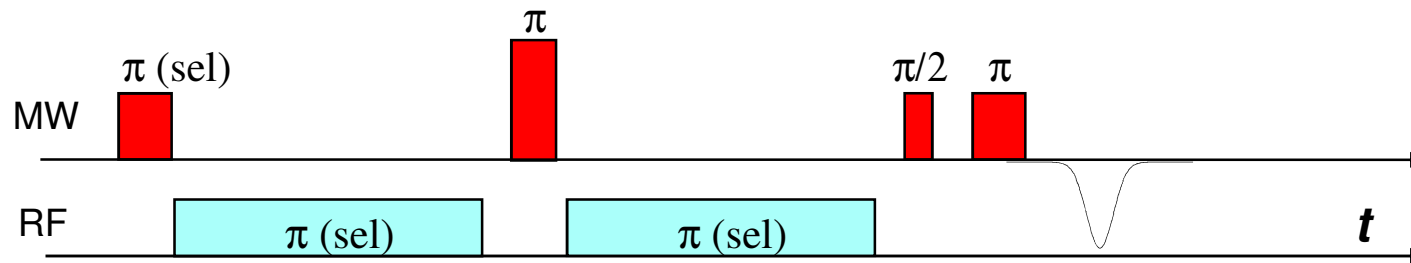
ENDOR efficiency:

$$F_{ENDOR} = \frac{1}{2} \left| \frac{I_{echo}(rf \text{ off}) - I_{echo}(rf \text{ on})}{I_{echo}(rf \text{ off})} \right| \quad 1 > F_{ENDOR} > 0$$

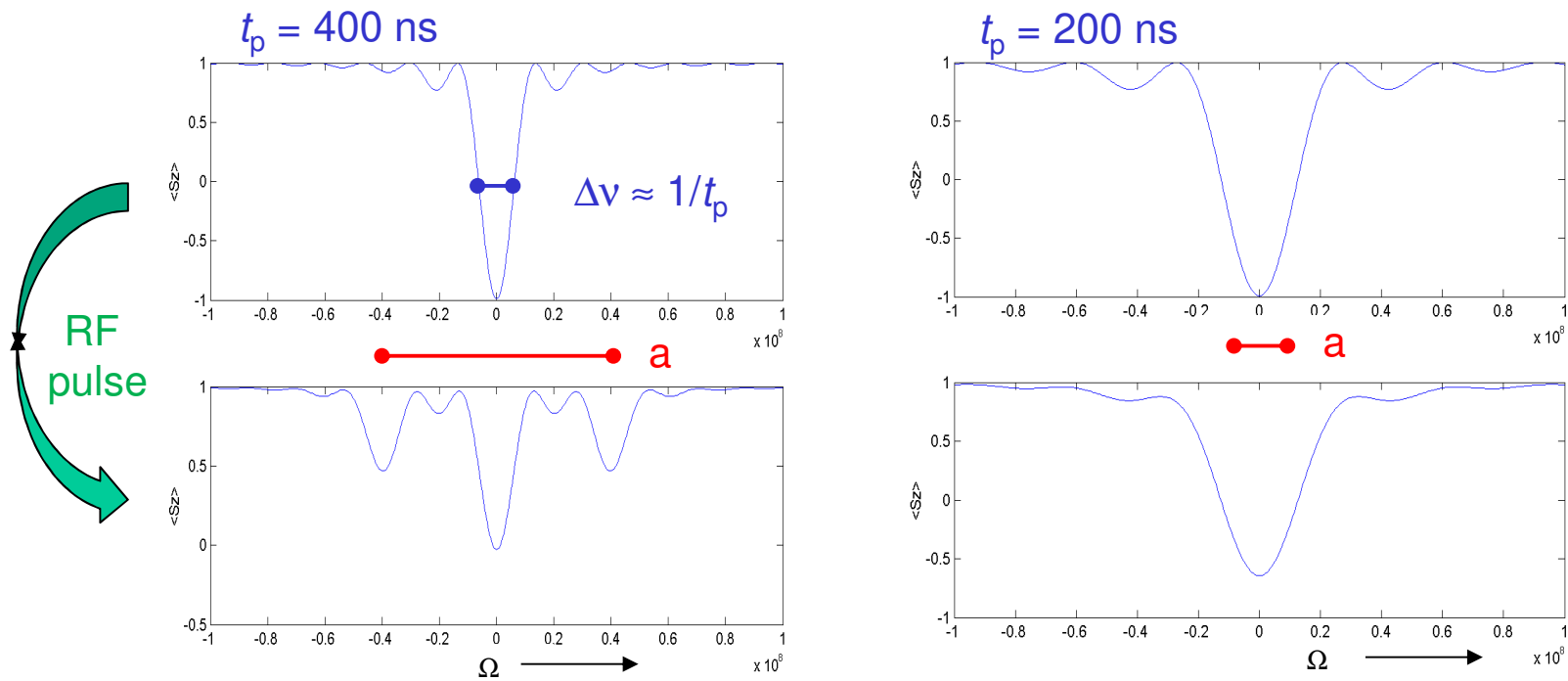
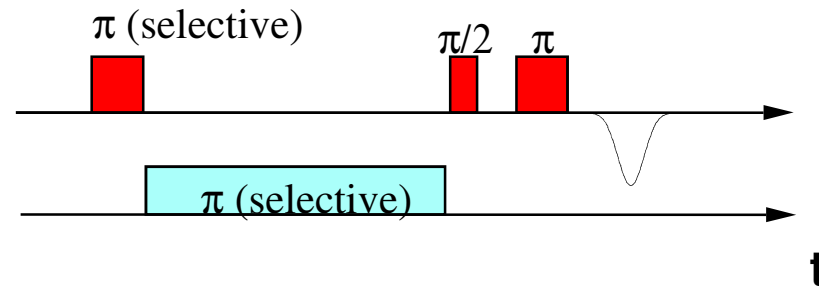


Maximal Davies ENDOR efficiency: 0.5

Davies ENDOR with optimized efficiency ( $F = 1$ ):

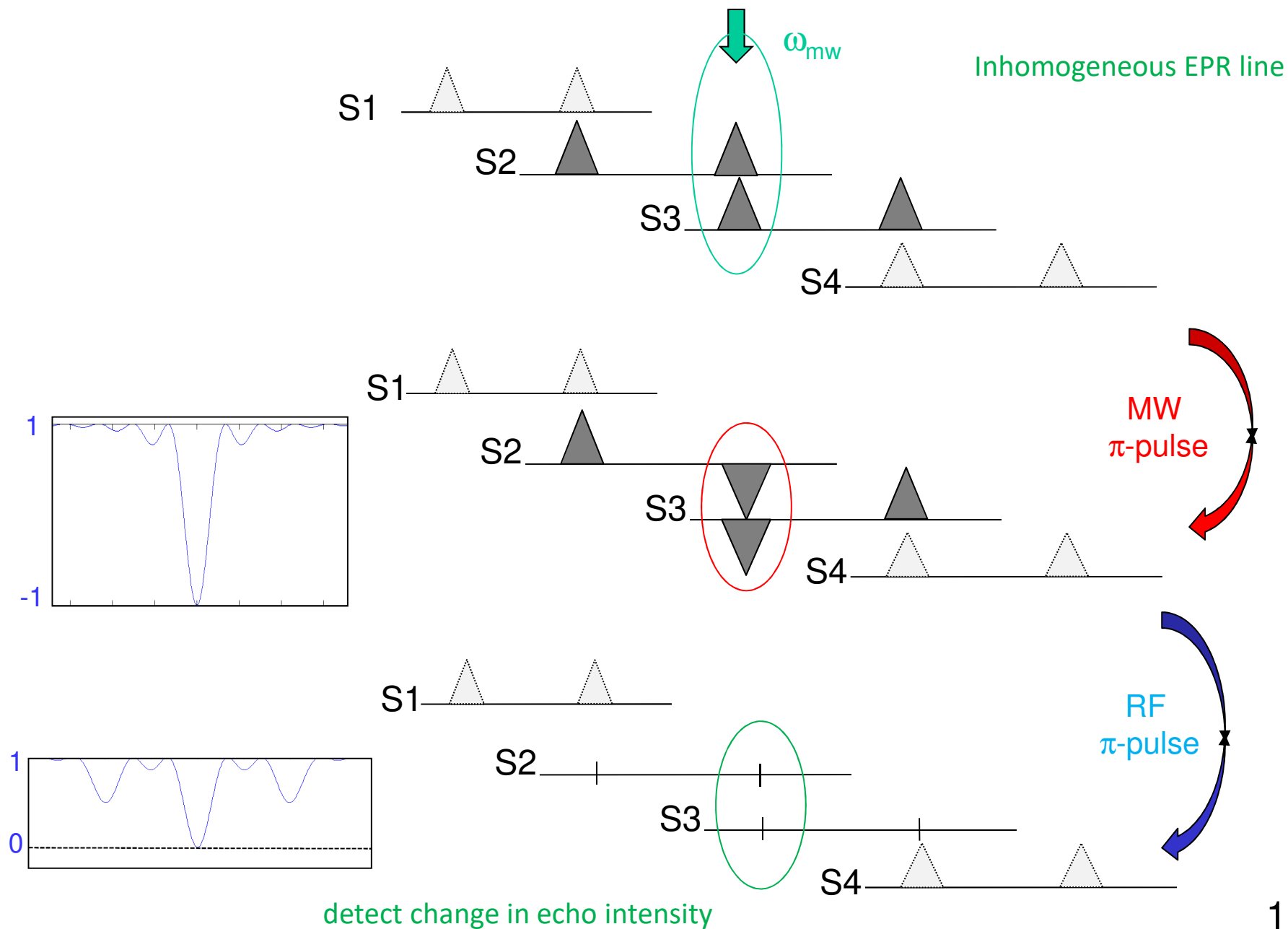


# Effect of Preparation Pulse in Powders



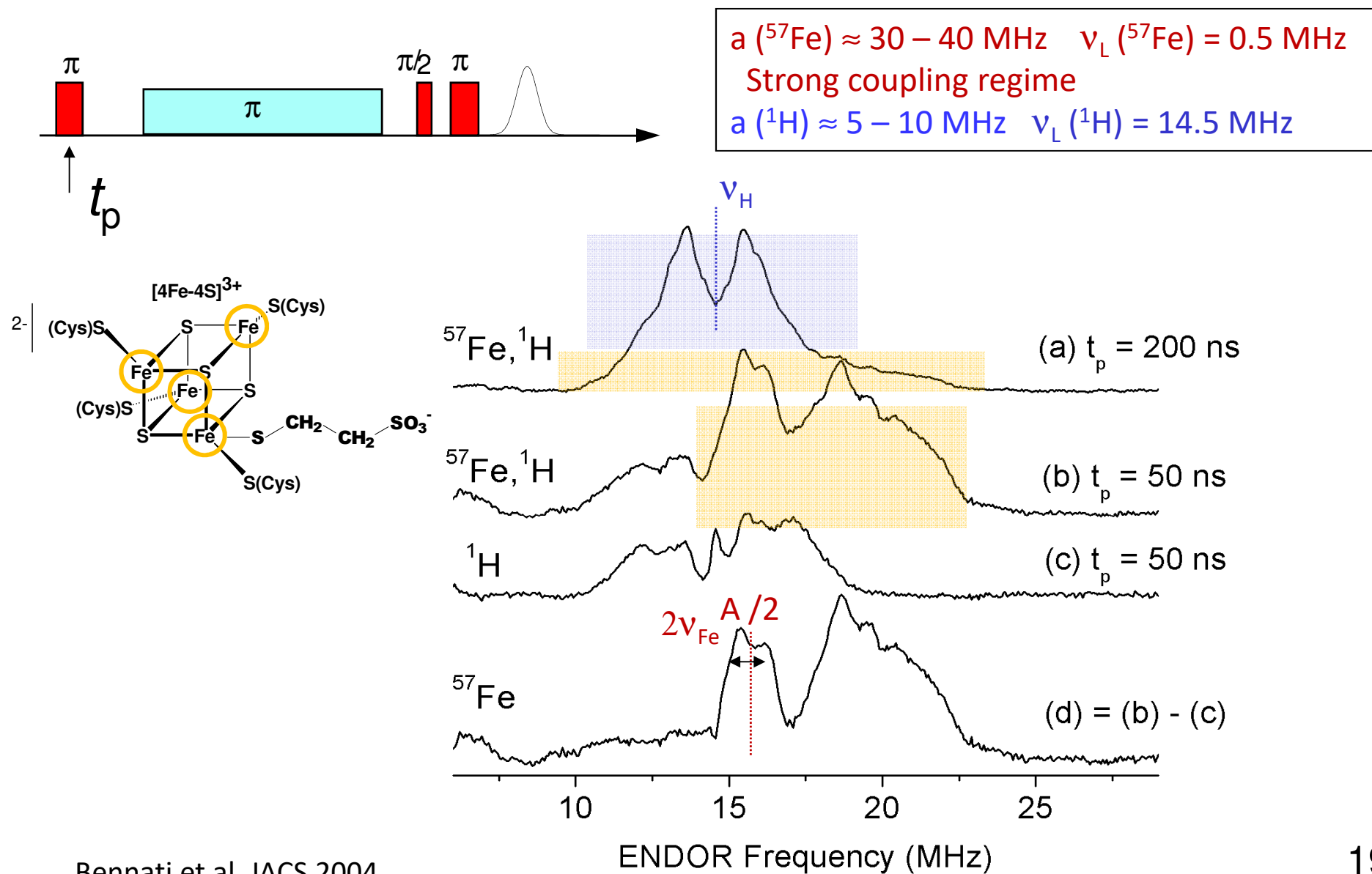
Top: Calculated  $\langle S_z \rangle$  after a  $\pi$ -pulse of 400 ns and 200 ns (left and right, respectively).  
 Bottom:  $\langle S_z \rangle$  after a RF  $\pi$ -pulse with frequency  $A = 6.3$  MHz (left) and 1.6 MHz (right).

# Simple Explanation: Four Spin Packets



# Example: Hyperfine Selective ENDOR

Separate overlapping ENDOR lines

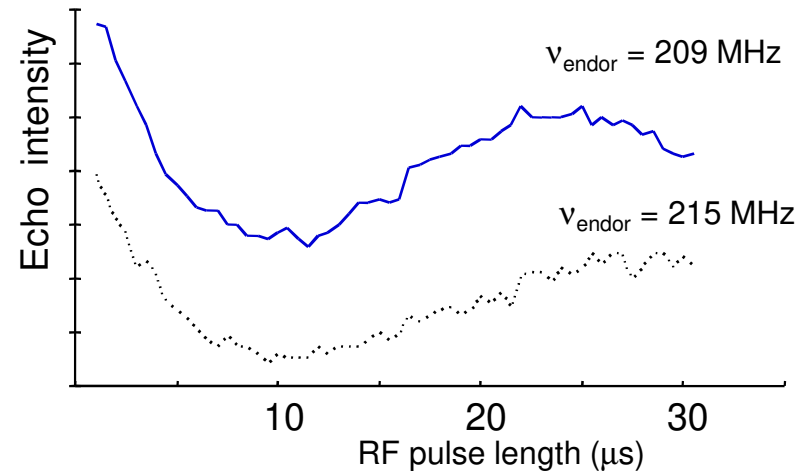
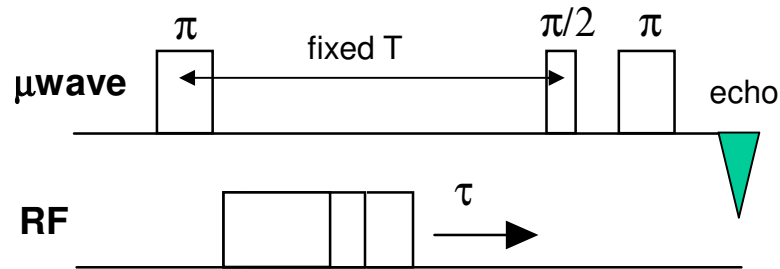


# Optimizing RF Pulse Length

Pulse length determines resolution (line width  $\Delta = 1/t_{p,RF}$ ) of spectrum

$$\beta_2 = \omega_2 t_p = \gamma_2 B_2 t_p$$

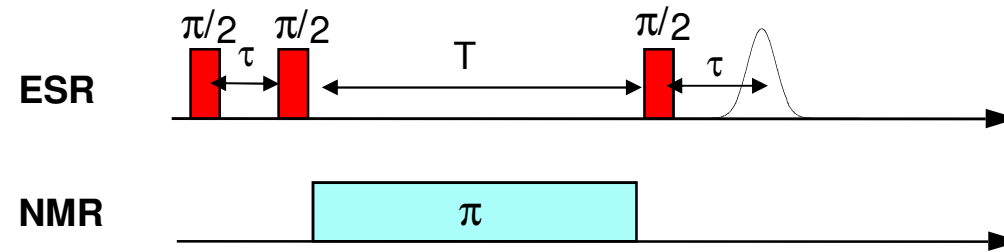
Pulse length/ $B_2$  depends on RF circuit, RF-amplifier and on effective RF penetration in the resonator (if coil is placed outside).



$^1\text{H}$  Rabi oscillation recorded on two different ENDOR lines of BDPA at 5 Tesla ( $\nu_{\text{proton}} = 211$  MHz).  $P_{\text{RF}} = 400$  W.

# Mims ENDOR

Mims, W.B.: Proc. R. Soc. Lond. A 283, 452-457 (1965)



# Appendix: Mims ENDOR with Product Operators

Echo intensity without RF pulse:

$$\sigma_{prep}^{nonsel} \xrightarrow{H_0\tau} \xrightarrow{(\pi/2)S_x} \xrightarrow{H_0\tau}$$

$$-S_y \left\{ \cos^2 \left[ \left( \frac{a}{2} \right) \tau \right] \cos^2 [\Omega \cdot \tau] + \sin^2 \left[ \left( \frac{a}{2} \right) \tau \right] \sin^2 [\Omega \cdot \tau] \right\} = \sigma_{echo}^{rf-off}$$

Echo intensity with RF pulse:

$$\sigma_{prep}^{nonsel} \xrightarrow{\beta_2 S^\alpha I_x} \xrightarrow{(\pi/2)S_x} \xrightarrow{H_0\tau}$$

$$-S_y \left\{ \cos^2 \left[ \left( \frac{a}{2} \right) \tau \right] \cos^2 [\Omega \cdot \tau] + \sin^2 \left[ \left( \frac{a}{2} \right) \tau \right] \sin^2 [\Omega \cdot \tau] \left[ \frac{1}{2} (1 + \cos \beta_2) \right] \right\} = \sigma_{echo}^{rf-on}$$

for  $\beta_2 = \pi$ , this term goes to zero:

Echo intensity for  $\beta_2 = \pi$ :

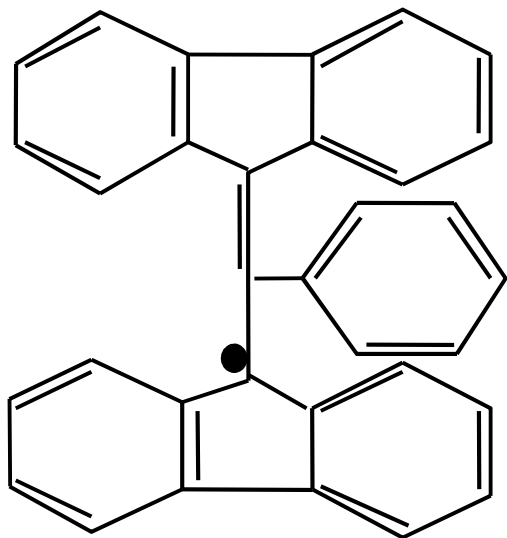
$$\sigma_{echo}^{rf-\pi} = -S_y \left\{ \cos^2 \left[ \left( \frac{a}{2} \right) \tau \right] \cos^2 [\Omega \cdot \tau] \right\} \xrightarrow{\text{Average over EPR resonance offsets } \Omega} -S_y \left\{ \frac{1}{2} \cos^2 \left[ \left( \frac{a}{2} \right) \tau \right] \right\}$$

$$= \frac{1}{4} (1 + \cos(a\tau))$$

ENDOR efficiency for  $\beta_2 = \pi$ :

$$F_{Mims} = \frac{1}{2} \sin^2 \left( \frac{a}{2} \tau \right) \quad \text{Blind spots for } \tau = 2n\pi/a, \quad n = 1, 2, \dots$$

## Examples of Spectra with BDPA



**BDPA**

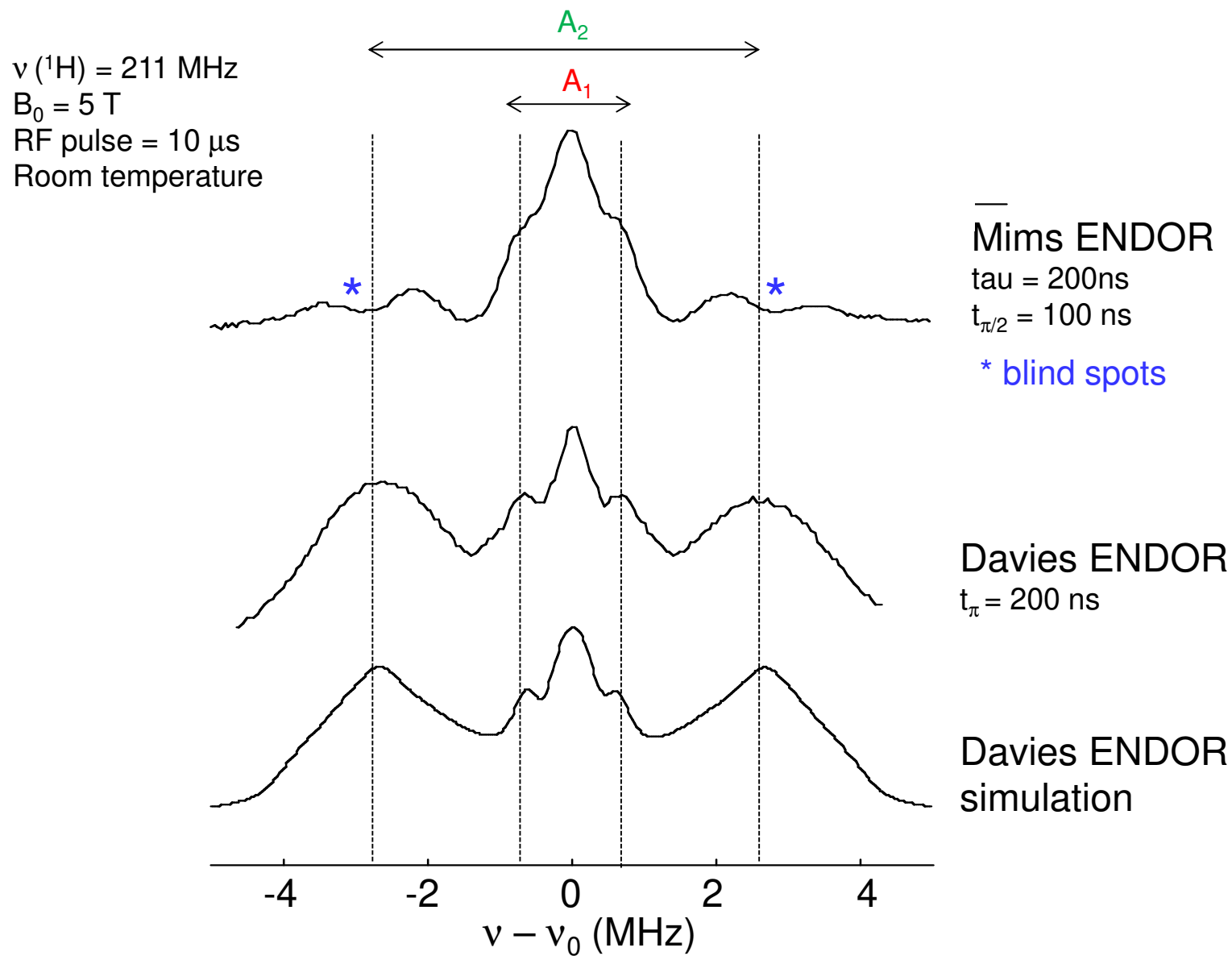
(Bis-Diphenylene-Phenyl-Allyl)

BDPA is a stable organic radical with an alternating spin density localized on the diphenylene rings. Two hyperfine couplings result from two sets of eight magnetically equivalent ring protons, yielding a pair of doublets in the ENDOR spectrum. The EPR line at 5 Tesla is nearly Gaussian with a width of about 10 Gauss (almost isotropic  $g$ )

$$A_1 = \begin{bmatrix} 7.7 & & \\ & 5.3 & \\ & & 2.0 \end{bmatrix} \text{ MHz} \quad A_2 = \begin{bmatrix} 1.0 & & \\ & 1.0 & \\ & & 1.26 \end{bmatrix} \text{ MHz}$$

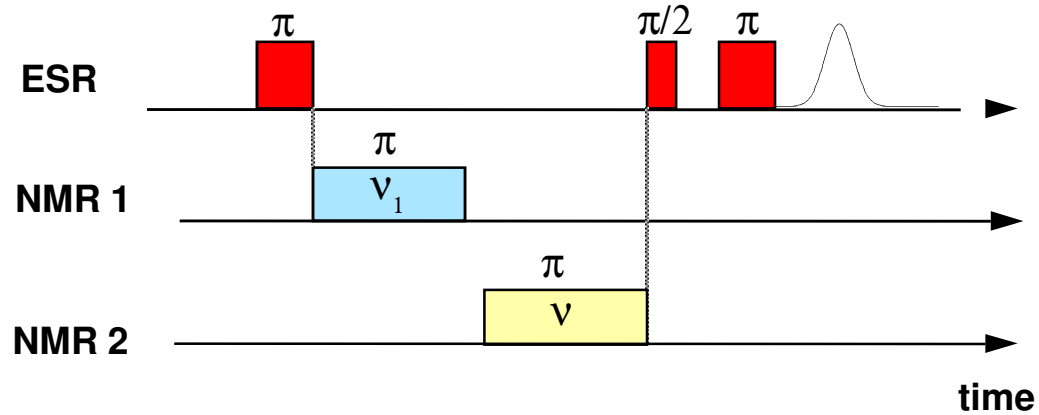


# Comparison of $^1\text{H}$ Davies and Mims Spectra



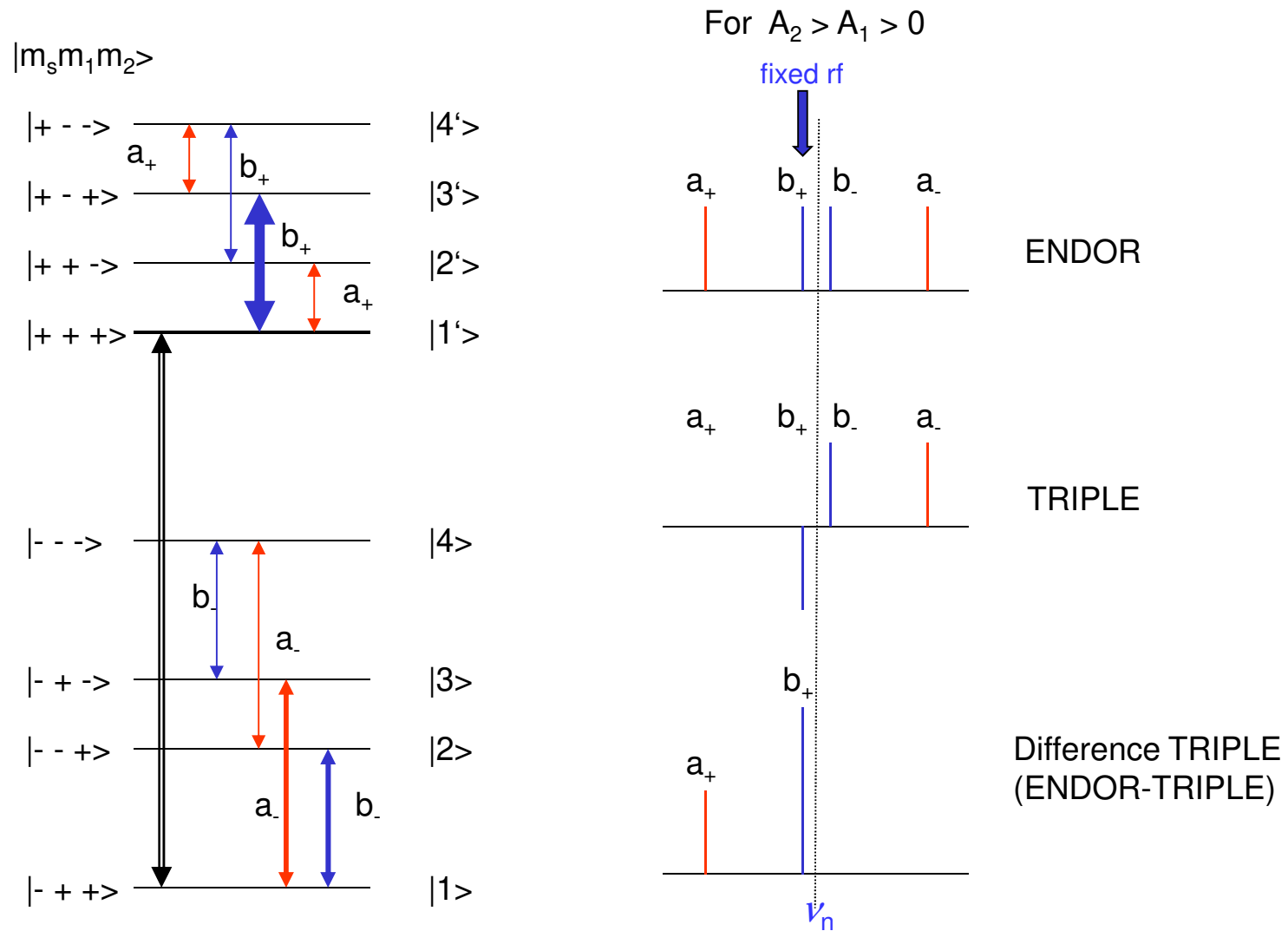
# Pulse TRIPLE Spectroscopy

Measures relative sign of hf coupling



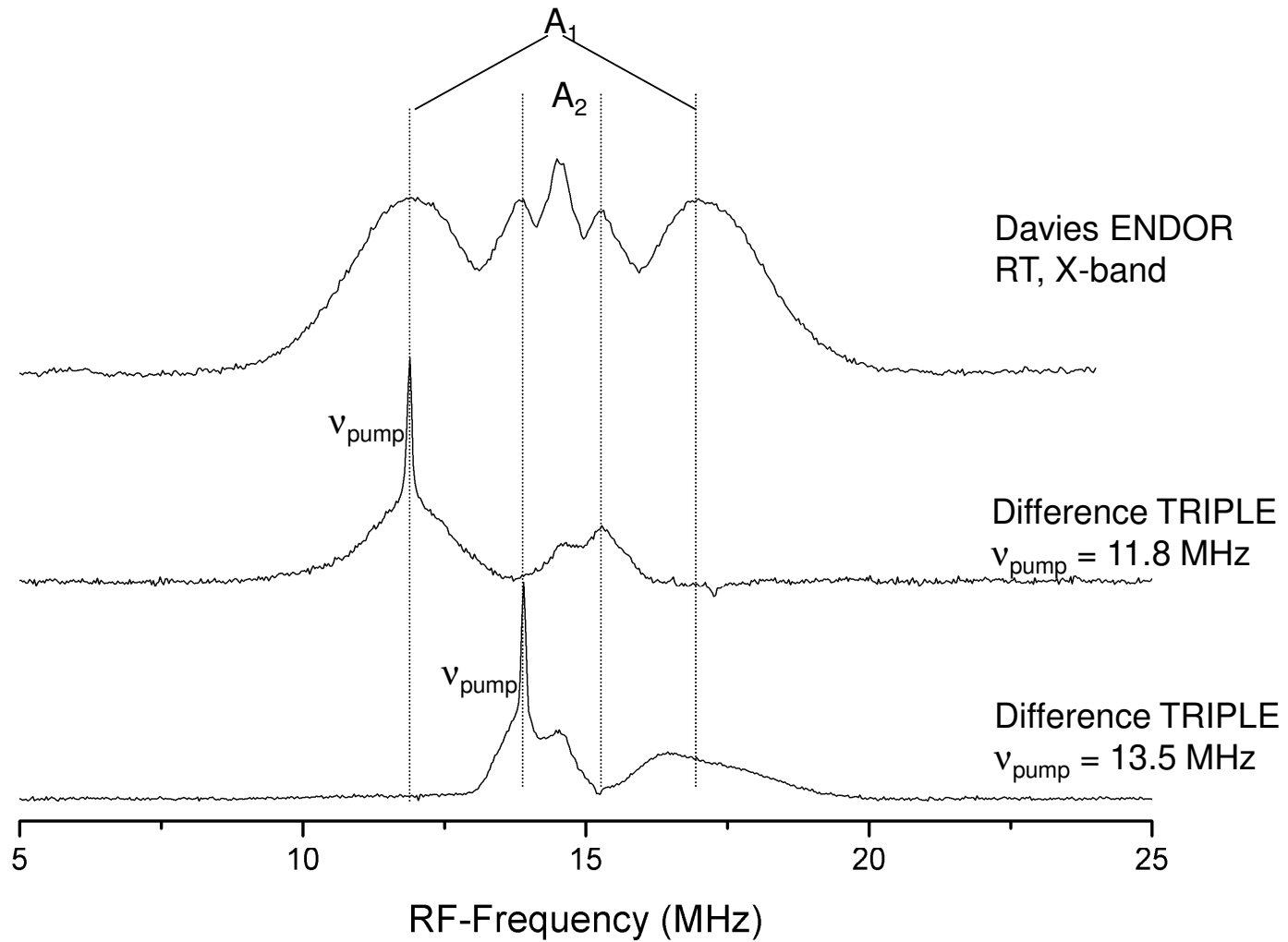
TRIPLE spectroscopy allows for correlation of nuclear sublevels and detection of the relative sign of the hyperfine coupling.

# Energy Levels for a $S = \frac{1}{2}$ , $I_1 = \frac{1}{2}$ , $I_2 = \frac{1}{2}$ System



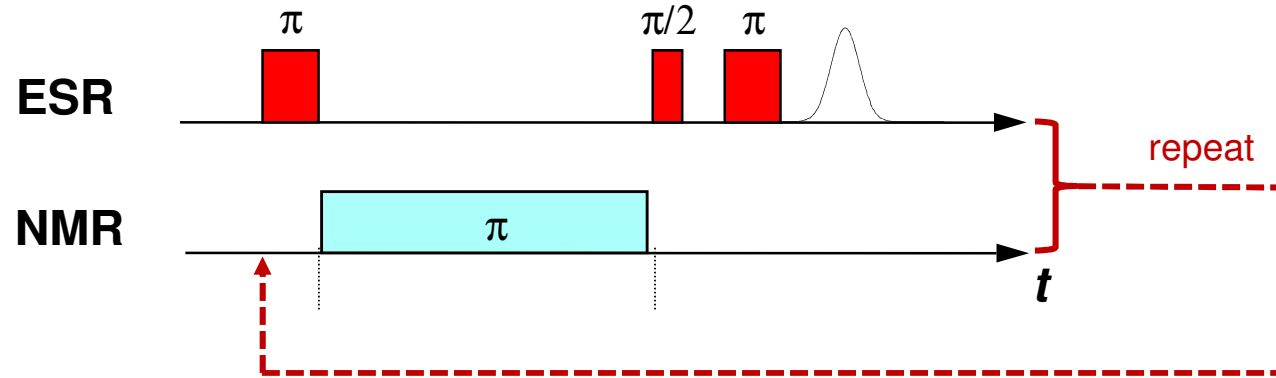
For two hyperfine couplings of same sign, *Difference Triple* gives two ENDOR lines on the same side with respect to the Larmor frequency

# Example: Pulse TRIPLE on $^1\text{H}$ BDPA



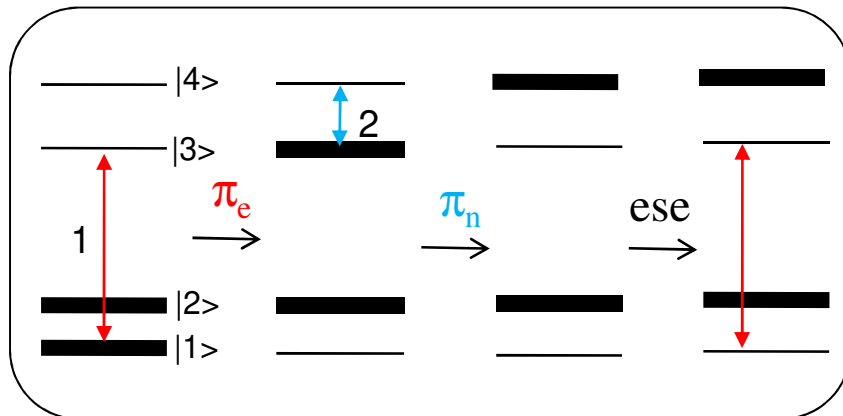
In BDPA the two hyperfine couplings have **opposite** signs

# Relaxation Effects in ENDOR



$S = 1/2$

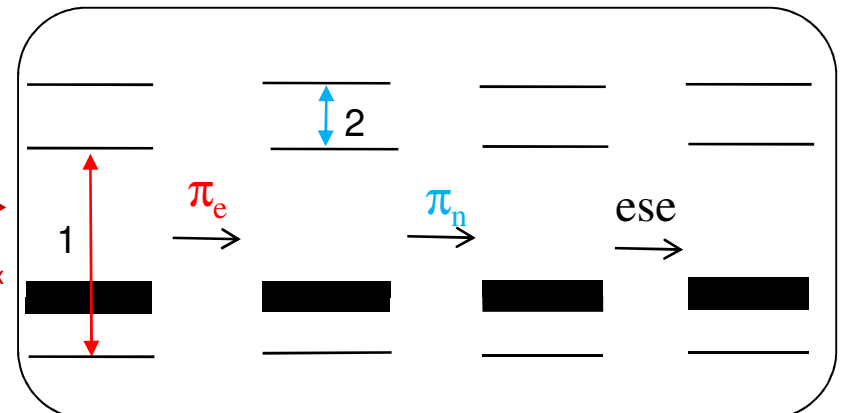
$I = 1/2$



1st shot  
 $F_{\text{endor}} = 50\%$

At very low T:

$t_r \approx T_{1e}$   
 $t_r \ll T_{1n}, T_{1x}$

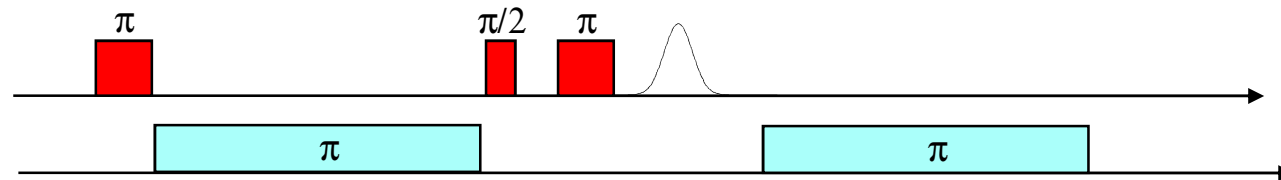


2nd and subsequent shots  
 $F_{\text{endor}} \approx 0\%$

# Methods to Overcome Saturation

**Random RF acquisition:** use **random** rf acquisition and **1 shot/point** if feasible by your hardware. Option is standard in Bruker spectrometers. This might be sufficient to overcome saturation when detecting  $^1\text{H}$  ( $T > 10\text{ K}$ ). *Experimental  $T_{1\rho}$  values not easily accessible.*

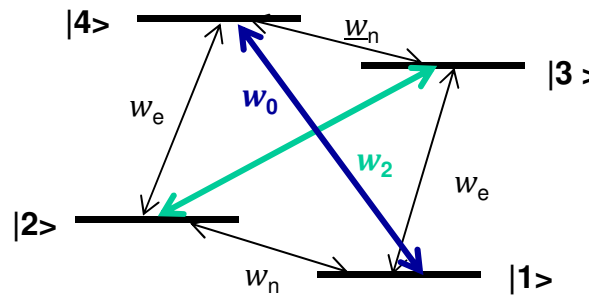
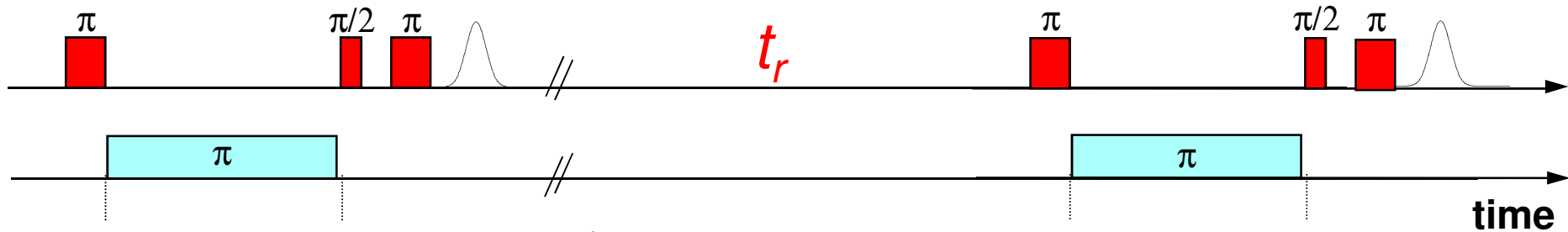
**TIDY ENDOR:** one additional RF pulse is added at end of the Davies or Mims sequence (Tyryshkin, Morton, Lyon et al JCP 2006). Best performance with narrow lines, but less efficient in powders.



**CP-ENDOR:** sequence based on cross-polarization produces a non-equal polarization of ENDOR lines and attenuates saturation. (Rizzato, Kaminker, Vega, Bennati Molecular Physics 2013, Rizzato & Bennati PCCP 2014)

# Appendix: Relaxation in ENDOR

- ENDOR deals with populations, only  $T_{1e}$ ,  $T_{1n}$  and  $T_{1x}$  are considered.  $T_2$  just lets coherences decay
- Relaxation is neglected during the mw and also during rf pulses



$w_{ij}$  : transition probability  $i \rightarrow j$   
 high T approximation  $w_{ij} \approx w_{ji}$

- Take populations  $N_1, N_2, N_3, N_4$  at end of the sequence and let them evolve during repetition time. The result will give the initial population for the next sequence.
- $\rightarrow$  Solve master equations for  $N_1, N_2, N_3, N_4$

# General Solution of Master Equation (Solids)

Two-spin system, high T approximation,  
Rizzato & Bennati PCCP 2014

$$\frac{d}{dt} \mathbf{n}(t) = -\mathbf{\Gamma} \mathbf{n}(t) \quad \text{Master equation}$$

- Matrix  $\mathbf{\Gamma}$  contains the rates  $w_e, w_n, w_0, w_2$ . We set  $w_0 \approx w_2 = w_x$  (solid state case)
- Replace population vector  $\mathbf{n} = (N_1, N_2, N_3, N_4)^T$  with new variables containing population differences  $n_{ij}$  that generate the EPR and ENDOR signals  $n_s$  and  $n_l$ . 3 independent variables:

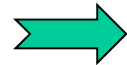
$$n_l = (N_1 - N_2) + (N_3 - N_4) = n_{I12} + n_{I34}$$

$$n_s = (N_1 - N_3) + (N_2 - N_4) = n_{S\alpha} + n_{S\beta}$$

$$n_\Delta = (N_1 - N_2) - (N_3 - N_4) = n_{I12} - n_{I34}$$

**General solution:**

$$\mathbf{n}(t) = e^{-\mathbf{\Gamma}t} \mathbf{n}(0)$$



$$\begin{aligned} n_I(t) &= c_1 e^{\lambda_1 t} + c_2 e^{\lambda_2 t} + n_{BI} \\ n_S(t) &= c_3 e^{\lambda_1 t} + c_4 e^{\lambda_2 t} + n_{BS} \\ n_\Delta(t) &= n_{0\Delta} e^{\lambda_3 t} \end{aligned}$$

$n_{BS}, n_{BI}$  Boltzmann population differences  
of EPR and ENDOR lines

$n_\Delta$  Difference in polarization of ENDOR lines

Diagonalization of  $\mathbf{\Gamma}$  leads to:

$$\lambda_1 = \frac{1}{T_{1n}} = 2w_n + 2w_x$$

$$\lambda_2 = \frac{1}{T_{1e}} = 2w_e + 2w_x$$

$$\lambda_3 = \frac{1}{T_{1\Delta}} = 2w_e + 2w_n$$

**For ENDOR lines**  
 $n_{I,12}$  and  $n_{I,34}$ :

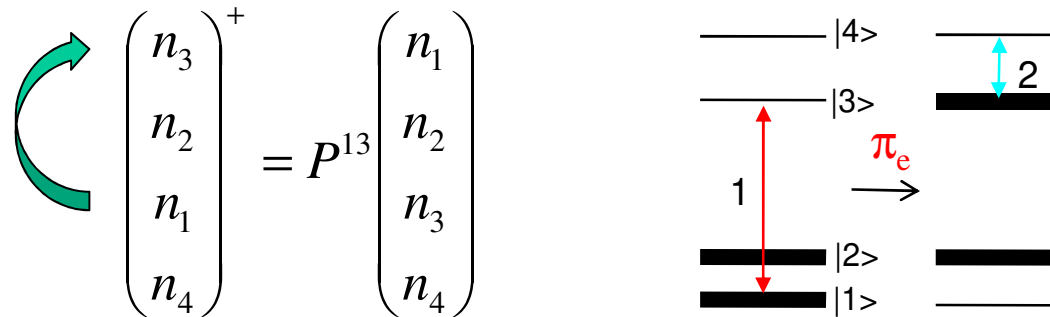
$$\begin{aligned} n_{I,12}(t) &= \frac{1}{4} (2e^{-t\lambda_1} (n_{0,12} + n_{0,34}) + 2e^{-t\lambda_3} n_{0,\Delta}) \\ n_{I,34}(t) &= \frac{1}{4} (2e^{-t\lambda_1} (n_{0,12} + n_{0,34}) - 2e^{-t\lambda_3} n_{0,\Delta}) \end{aligned}$$



# Formalism to Include Relaxation in Davies ENDOR

Vega, Goldfarb et al, JMR Vol. 148, p. 388 (2001)

- **Goal: compute intensity of ENDOR line under the effect of relaxation**
- Operators  $P$  represent effect of selective MW or RF  $\pi$  pulses on populations:



- Relaxation during the mixing time (if  $t_{mix}$  long) **after first mw  $\pi$  pulse**:

$$\mathbf{n}(t_{mix}) = e^{-\Gamma t_{mix}} P^{13} \mathbf{n}(0)$$

- Relaxation during the mixing time **after first mw  $\pi$  pulse and rf  $\pi$  pulse** :

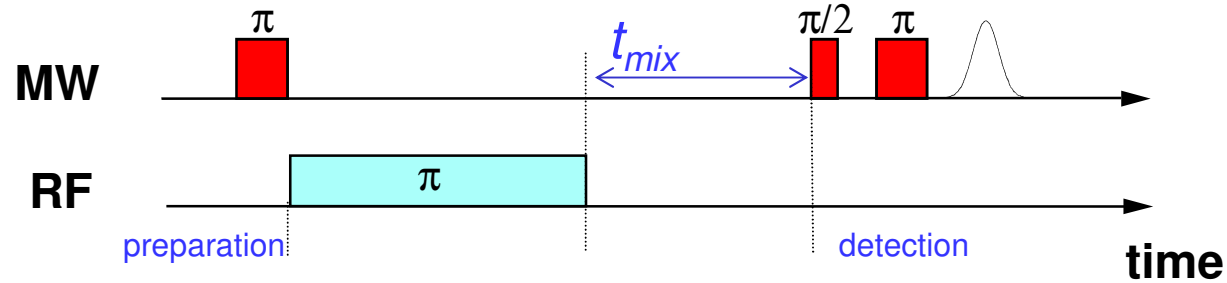
$$\mathbf{n}(t_{mix}) = e^{-\Gamma t_{mix}} P_{RF}^{34} P^{13} \mathbf{n}(0)$$

- Effect of sequence repetition: after first shot if  $t_R \ll T_{1n}$ , initial state is not  $\mathbf{n}(0)$ : can be solved numerically (must take into account also echo detection)

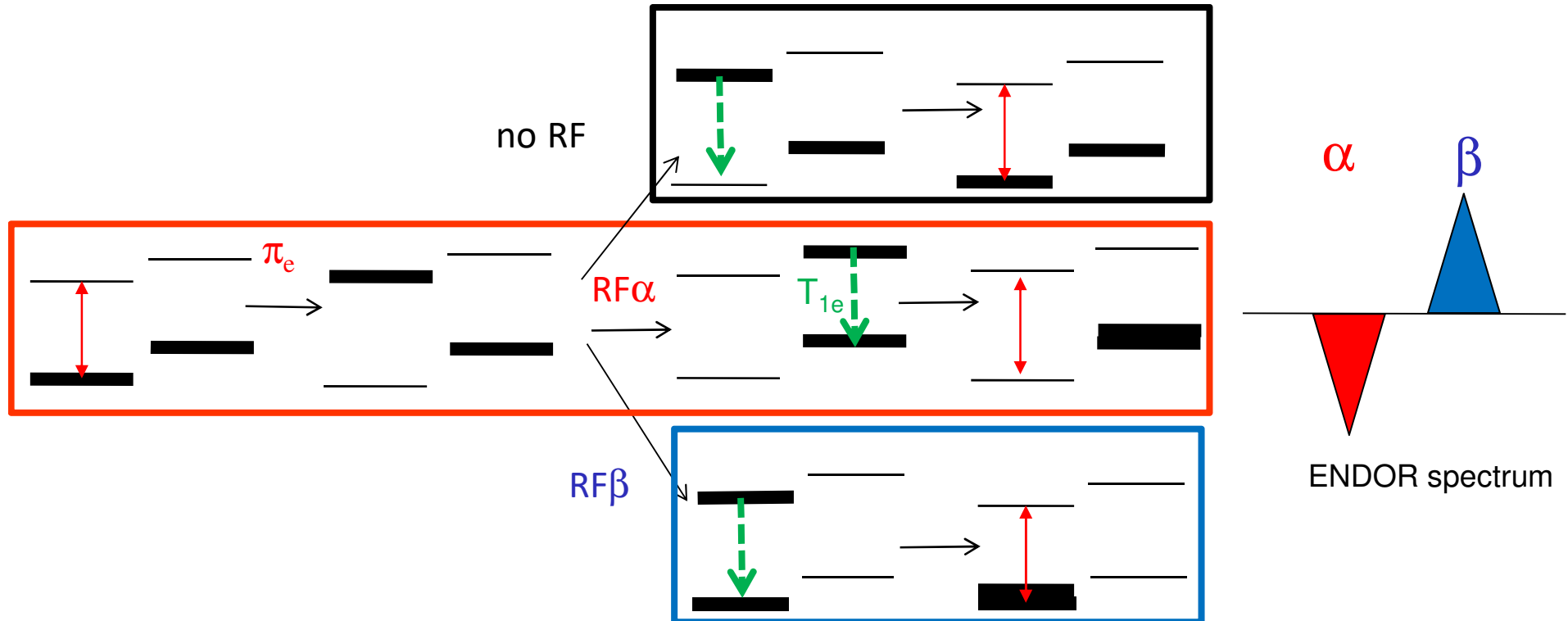
$$\mathbf{n}(t_R, 1^{st} \text{ shot}) = e^{-\Gamma t_R} P_{EPRdet} P_{RF}^{34} P^{13} \mathbf{n}(0) \quad \mathbf{n}(t_R, 2^{nd} \text{ shot}) = e^{-\Gamma t_R} P_{EPRdet} P_{RF}^{34} P^{13} \mathbf{n}(t_R, 1^{st} \text{ shot})$$

# Relaxation Effects in Variable Mixing Time (VMT) ENDOR

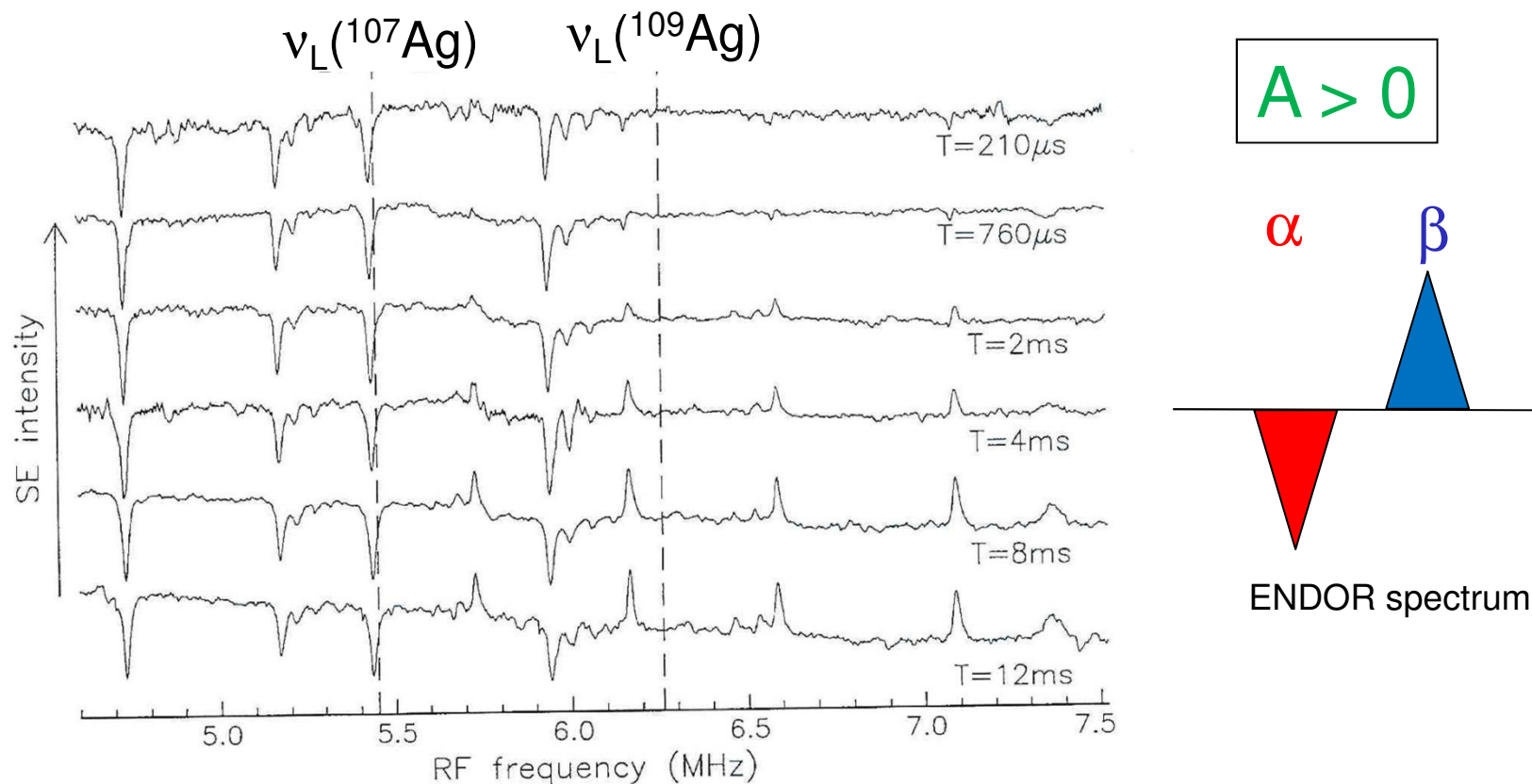
Sign of the hyperfine coupling



At low T and high EPR frequencies:

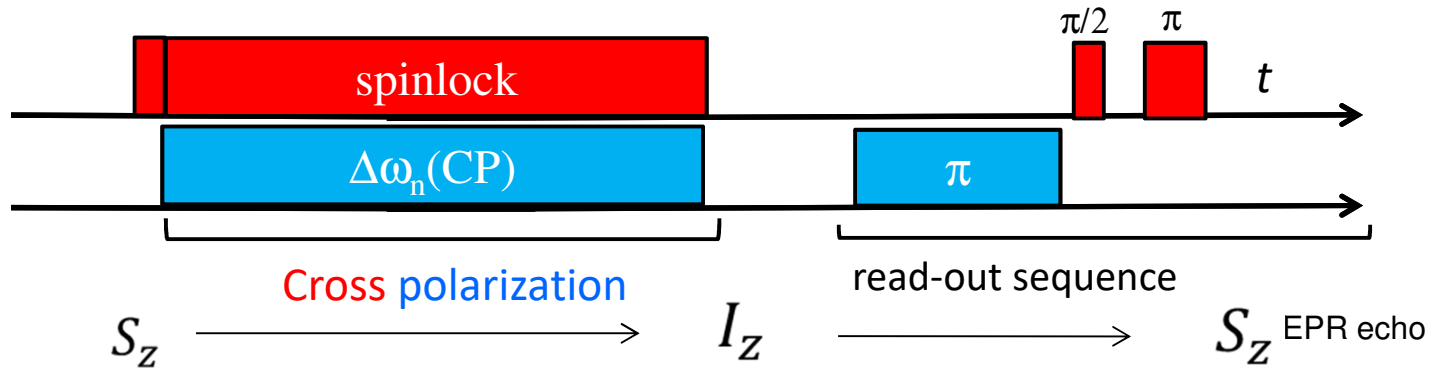


# VMT ENDOR: Sign of the Hyperfine Coupling



**FIG. 6.** The effect of spin–lattice relaxation on the intensity of the SE echo in the case of Mims-type ENDOR experiments. The spectra contain  $^{107}\text{Ag}$  and  $^{109}\text{Ag}$  ENDOR transitions of silver ions surrounding the central  $\text{AgCl}_6$  unit of the STH complex in  $\text{AgCl}$  and have been recorded at 1.2 K and at 95 GHz with the magnetic field parallel to the  $D_{4h}$  distortion axis of the complex. The interval between the  $\pi/2$  MW preparation pulses  $\tau = 0.35\ \mu\text{s}$  and the RF pulse length is taken to be  $10\ \mu\text{s}$  shorter than the values of  $T$  listed. In addition we have indicated the values of the Zeeman frequencies of  $^{107}\text{Ag}$  and  $^{109}\text{Ag}$  by  $\nu_z(^{107}\text{Ag})$  and  $\nu_z(^{109}\text{Ag})$ , respectively.

# Principle of Cross Polarization/CP-ENDOR

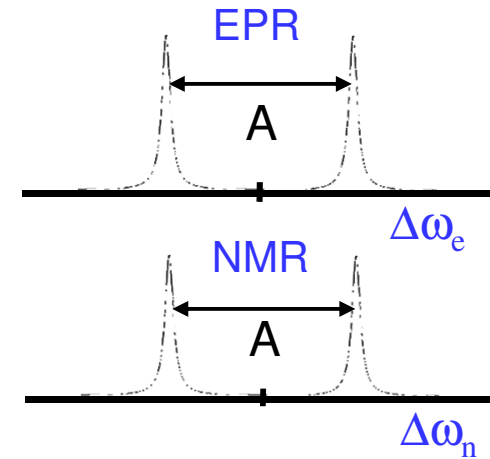


# Polarize Nuclei by eNCP

(Electron-Nuclear Cross Polarization)

Hamiltonian for  $S=1/2$ ,  $I = 1/2$  in doubly rotating frame:

$$\mathcal{H} = \underbrace{\Omega S_z + \omega_{1e} S_x}_{\text{Electron}} + \underbrace{\omega_{1n} I_x + \Delta\omega_n I_z}_{\text{Nucleus}} + \underbrace{A I_z S_z}_{\text{Coupling}}$$



Characteristics of the electron-nuclear case:

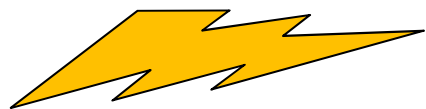
$$\omega_{1e} / 2\pi \sim 1-10\text{MHz}$$

$$\omega_{1n} / 2\pi \sim 10-100\text{kHz}$$

$$A \sim 0-100\text{MHz}$$

Weis, Bennati, Rosay Griffin, *JCP* 1999  
 Weis & Griffin *SSNMR* 2006  
 Glaser et al. *AMR* 2008  
 Rizzato, Kaminker, Vega, Bennati  
*Molecular Physics* 2013

Consequence: NMR Hartmann-Hahn condition cannot be fulfilled:



$$\omega_{1e} \neq \omega_{1n}$$

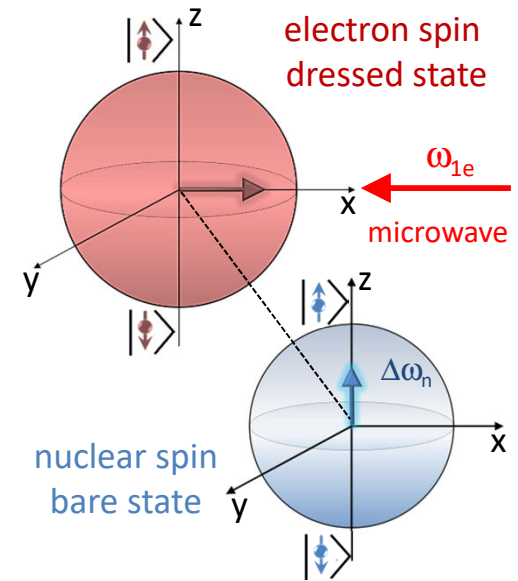
# Description of eNCP

Easiest case:  $A \ll \omega_{1e}$  ;  $\Delta\omega_e = 0$

Rotate Hamiltonian into the direction of the effective microwave field, new Hamiltonian is called ***tilted or dressed state*** Hamiltonian:

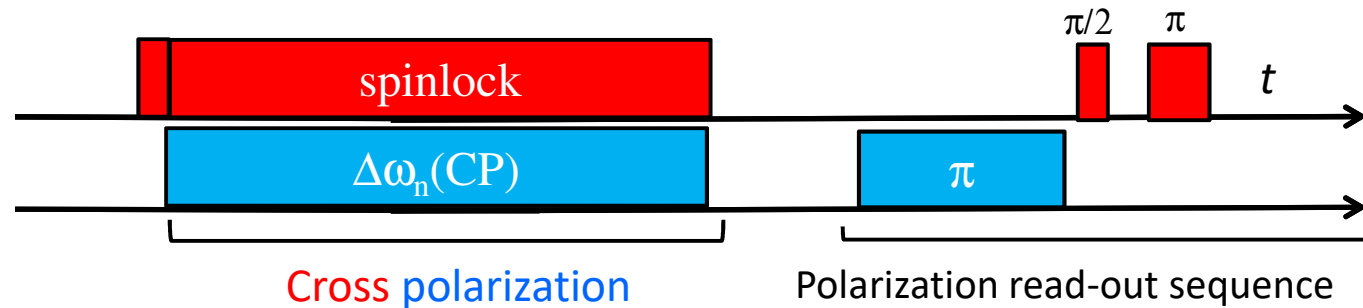
$$\mathcal{H}_{\text{tilted}} \approx \omega_{1e} S_z^* + \Delta\omega_n I_z + \omega_{1n} (I_x^{2-3} - I_x^{1-4})$$

Rizzato, Vega et al, *Molecular Physics* 2013



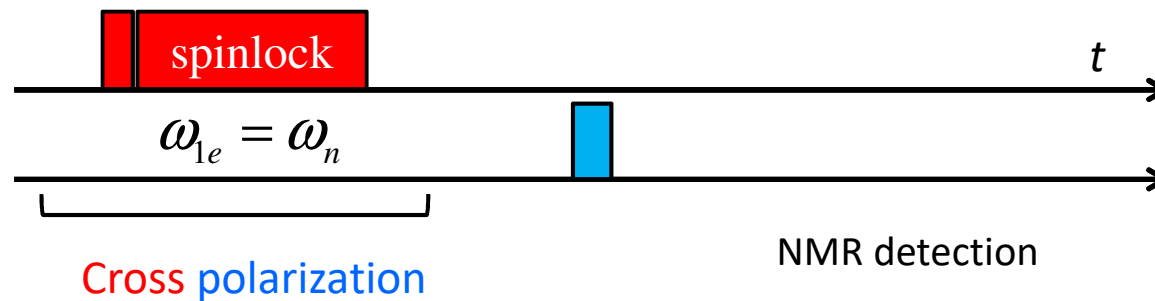
# Cross polarization (CP): from ENDOR to DNP

ENDOR



Rizzato et al, Molecular Physics 2013, PCCP 2014

Pulsed DNP



For DNP CP at NOVEL condition has been reported:

Henstra et al. JMR 1988, Can & Griffin et al. JCP 2015, Jain et al. JCP 2017

# Bibliography & Further Reading about ENDOR

A. Grupp & M. Mehring, *Pulse ENDOR spectroscopy in solids*, in *Modern pulsed and continuous wave electron spin resonance* ed. by L. Kevan and M.K. Bowman, Wiley, New York (1990) p.195

C. Gemperle, A. Schweiger, Pulse electron-nuclear double resonance methodology, *Chem. Rev.* **91**, p. 1481 (1991)

B. Epel , A. Pöpl, P. Manikandan, S. Vega, D. Goldfarb, Effects of spin relaxation in ENDOR spectra recorded at high magnetic fields and low temperatures, *J. Magn. Reson.* **148**, p. 388 (2001)

A. Schweiger and G. Jeschke, *Principles of pulse electron paramagnetic resonance* Oxford University Press, 2001.

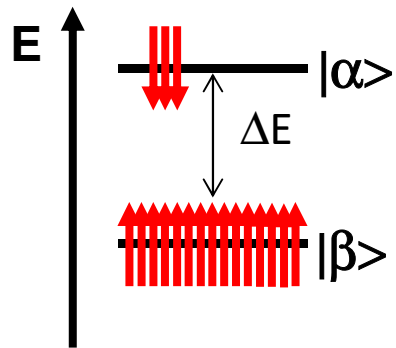
M. Bennati, D. Murphy, *EPR spectra in the solid state*, in *EPR: a practitioner's toolkit*, Edited by M. Brustolon and E. Giamello, John Wiley New York (2009)

A.M. Tyryshkin, J. J. L. Morton, A. Ardavan, S. A. Lyon, *J. Chem. Phys.* **124**, 234508 (2006)

R. Rizzato, I. Kaminker, S. Vega, M. Bennati, Cross-polarization edited ENDOR, *Mol. Phys.* **111**, 2809-2823 (2013)

*EPR Spectroscopy, Fundamental and Methods*, ed. by D. Goldfarb and S. Stoll, John Wiley & Sons (2018)





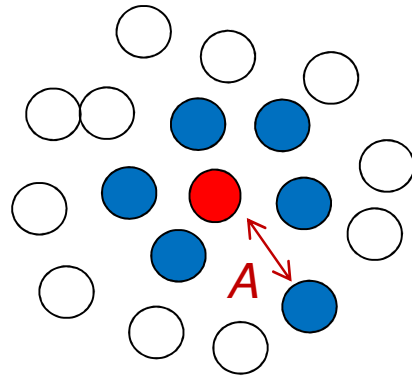
# Electron-Nuclear Polarization Transfer

Hyperfine Coupling  $A$

ENDOR

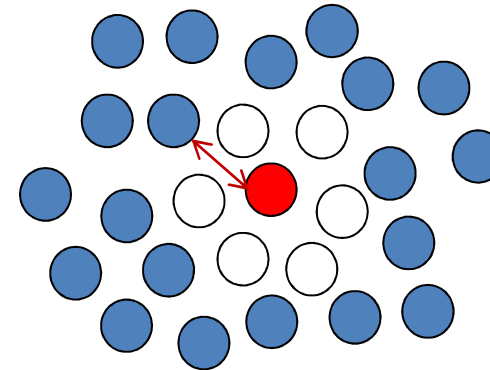
$A \geq 10 \text{ kHz}$

- e spin
- nuclei



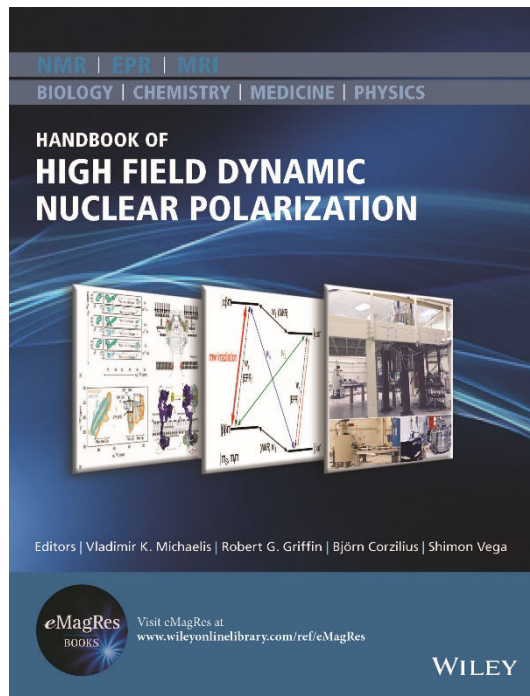
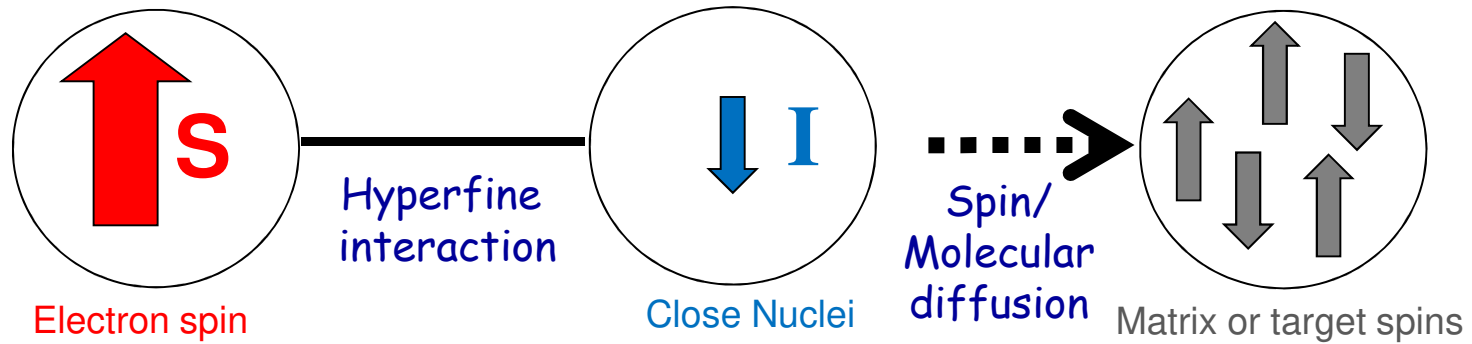
DNP/NMR

$A < 10 \text{ kHz}$  (bulk nuclei)



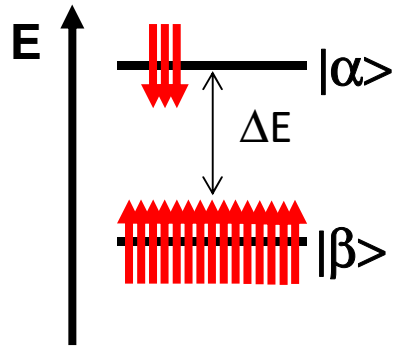
# Dynamic Nuclear Polarization

Goal: enhance NMR signals

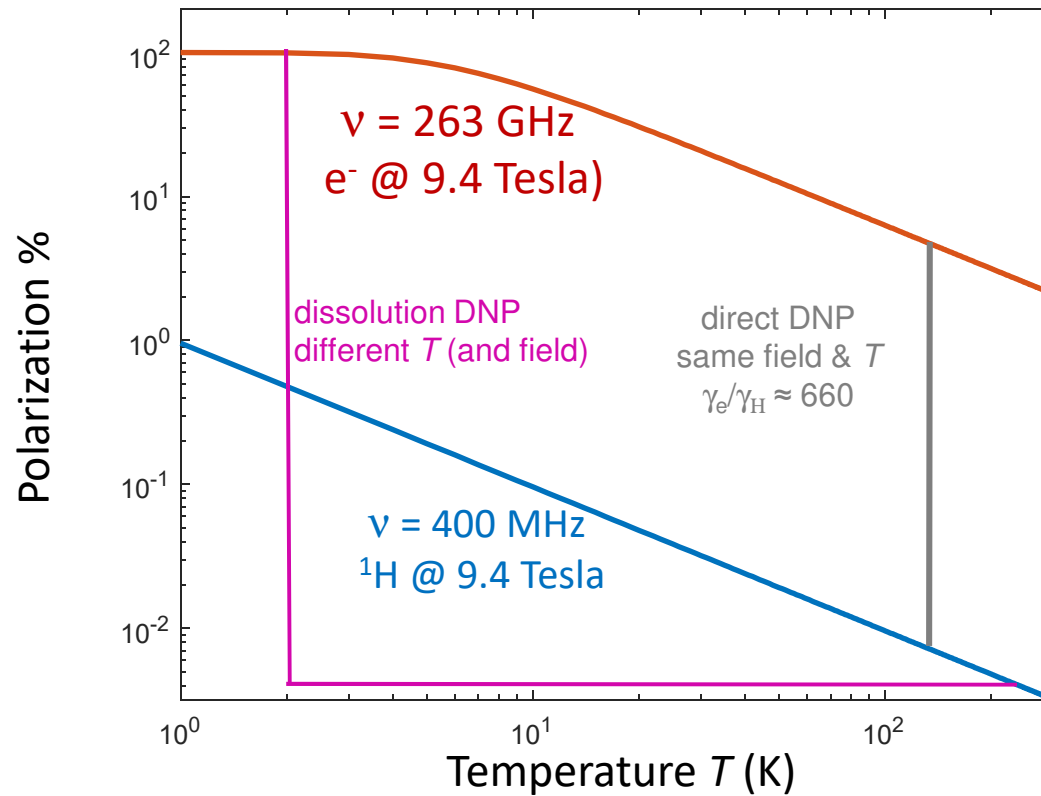


*Overhauser DNP*  
*MAS DNP*  
*Dissolution DNP*

# Spin Polarization

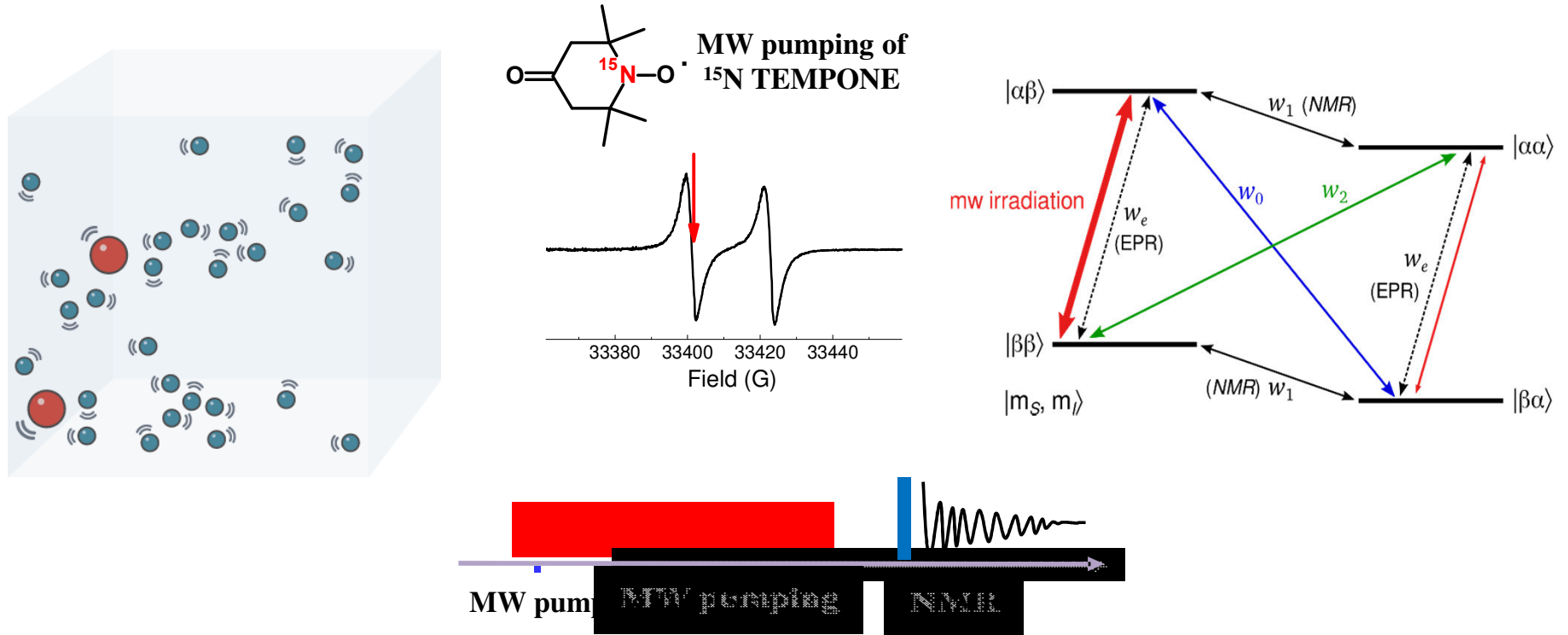


$$P_0 = \frac{N_\alpha - N_\beta}{N_\alpha + N_\beta} = \tanh[\Delta E / 2kT] \approx \frac{\Delta E}{2kT}$$



# Overhauser DNP in Metals and Liquids

*first discovered mechanism in 1953*



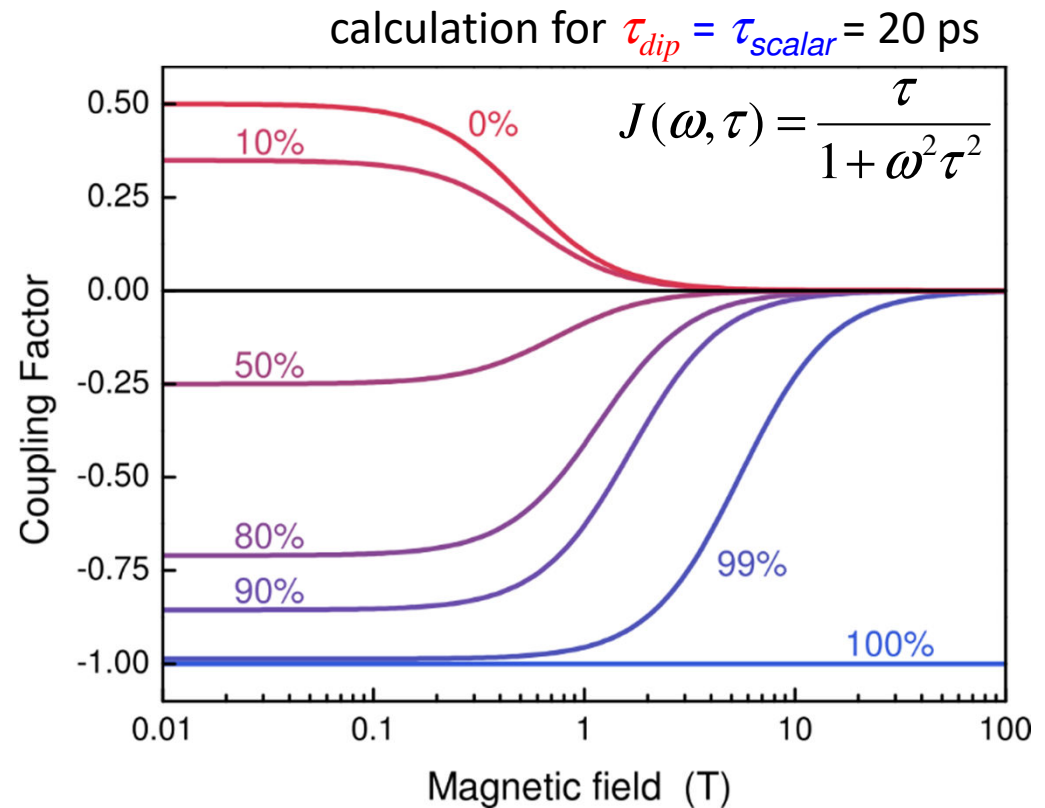
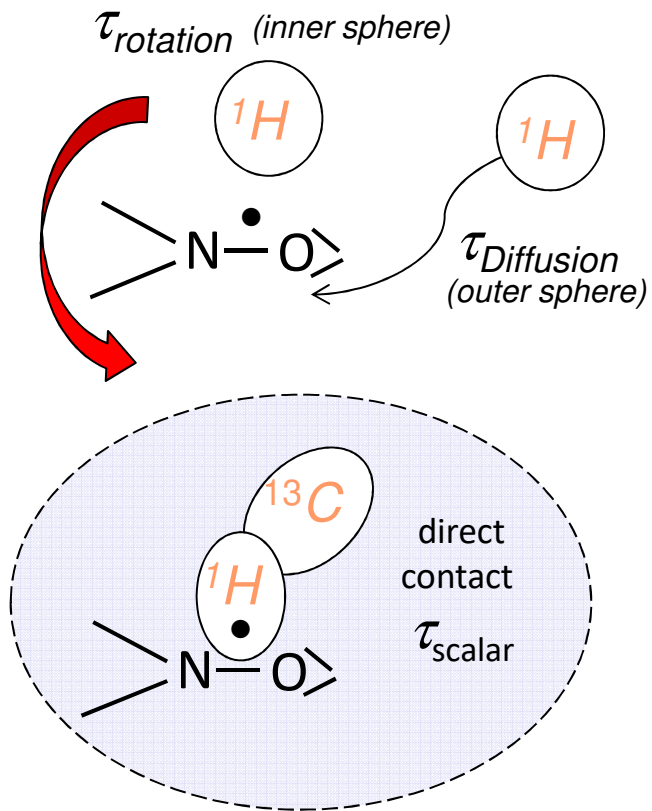
..... driven by relaxation due to motion that modulates hf interaction

$$\varepsilon = \frac{\langle I_z \rangle}{\langle I_0 \rangle} = 1 - \xi \cdot f \cdot s_{eff} \cdot \frac{|\gamma_e|}{\gamma_I}$$

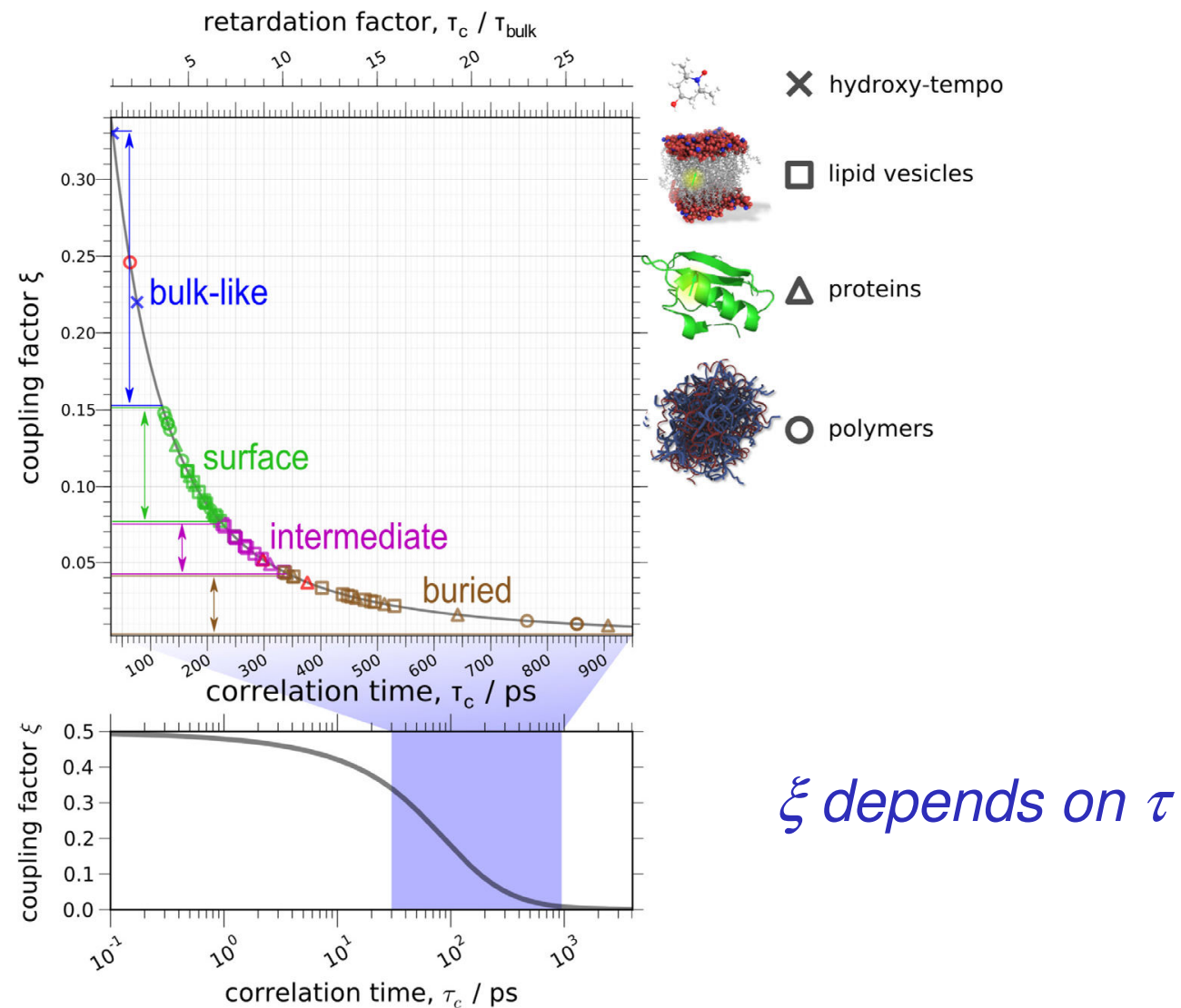
*Hausser & Stehlik 1968*

# DNP Induced by Scalar and Dipolar Relaxation

$$\xi = \frac{w_2^{dip} - w_0^{dip} - w_0^{scalar}}{w_0^{dip} + 2w_1^{dip} + w_2^{dip} + w_0^{scalar}}$$

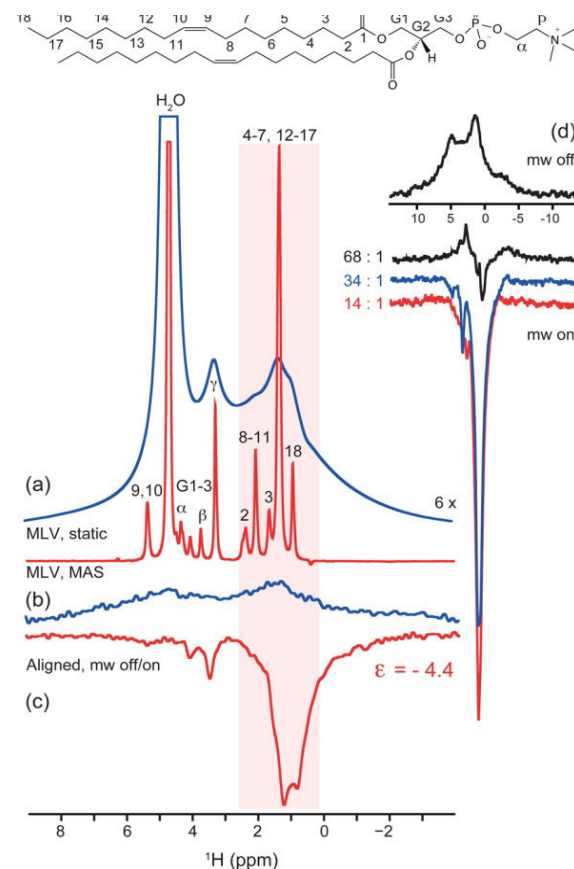
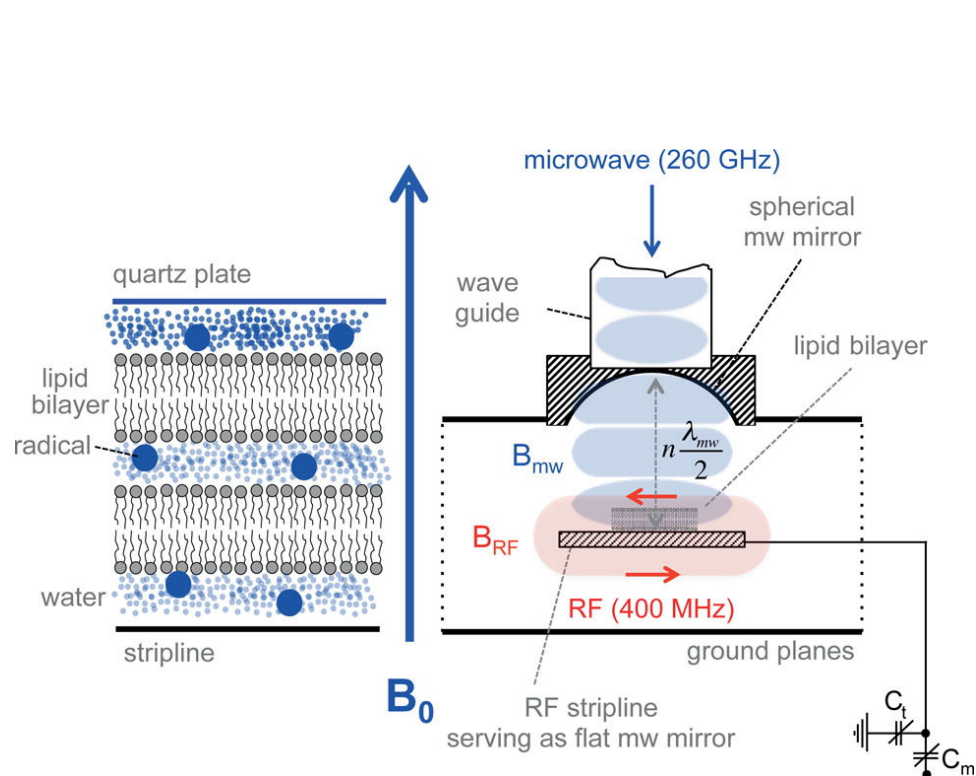


# Water Coupling Factors for Hydration Dynamics



J. Franck, A. Pavlova, J. Scott, S. Han, Prog. NMR Spectr. 2013

# 260 GHz/ 9 T $^1\text{H}$ DNP on Aligned Lipid Bilayers

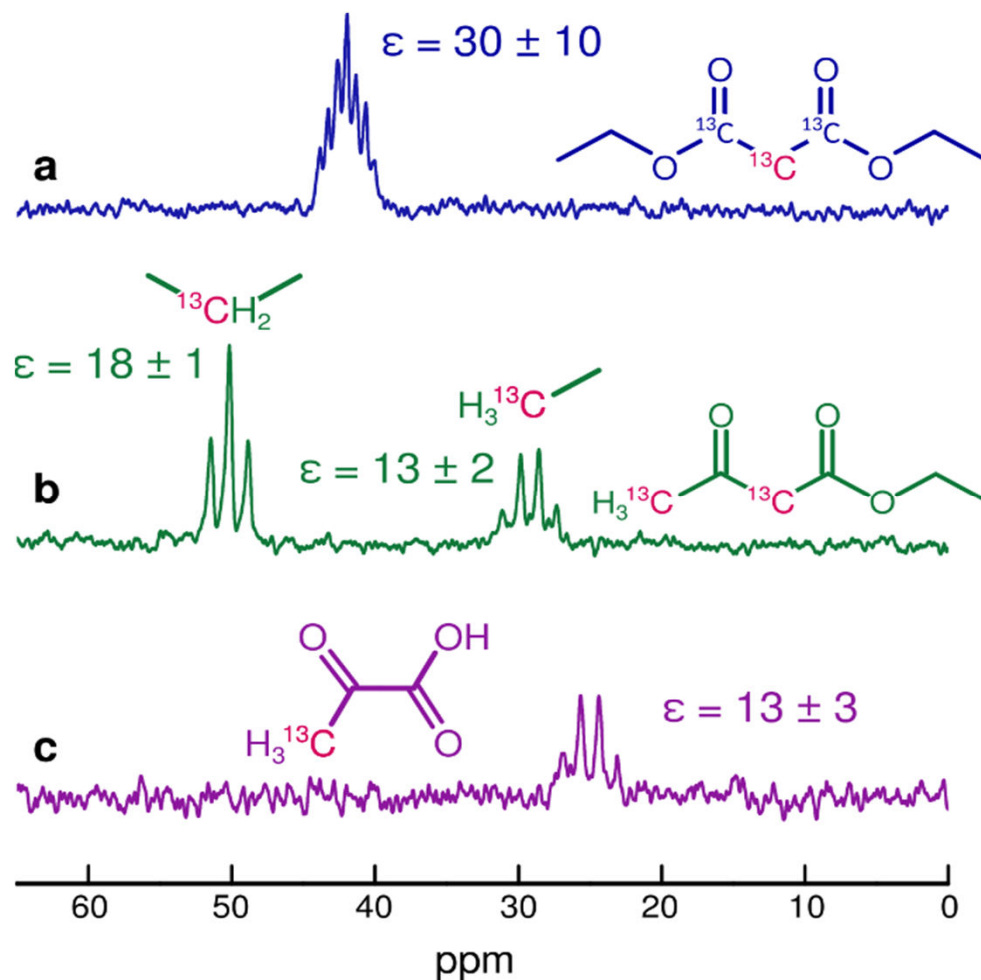
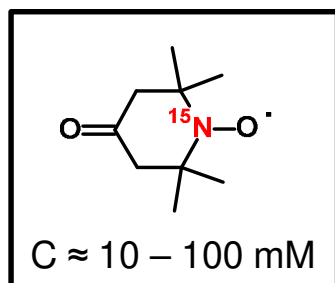


A thin stack of lipid bilayers is placed on a metal stripline, which creates the B1-RF field at the surface and produces the FID. The stripline together with an opposite spherical mirror forms a microwave resonator.

(a)  $^1\text{H}$  NMR spectra of DOPC vesicles (static and MAS at 600 MHz). (b)  $^1\text{H}$  NMR spectrum of DOPC aligned as shown in Figure 1, doped with TEMPOL (DOPC:TEMPOL 34:1), and hydrated with D<sub>2</sub>O (DOPC:D<sub>2</sub>O 1:27), resulting in a stack of approximately 2000 bilayers of 20  $\mu\text{m}$  thickness. (c) Upon microwave irradiation (5.6 W), an enhancement of  $-4.4$  was observed for the acyl chain proton resonances. (d) The enhancement increases with the amount of TEMPOL present.

*O. Jakdetchai; V. Denysenkov; J. Becker-Baldus; B. Dutagaci; T. F. Prisner; C. Glaubitz;*  
*J. Am. Chem. Soc.* **2014**, *136*, 15533-15536.

# High-resolution $^{13}\text{C}$ -DNP/NMR at 9.4 T/400 MHz

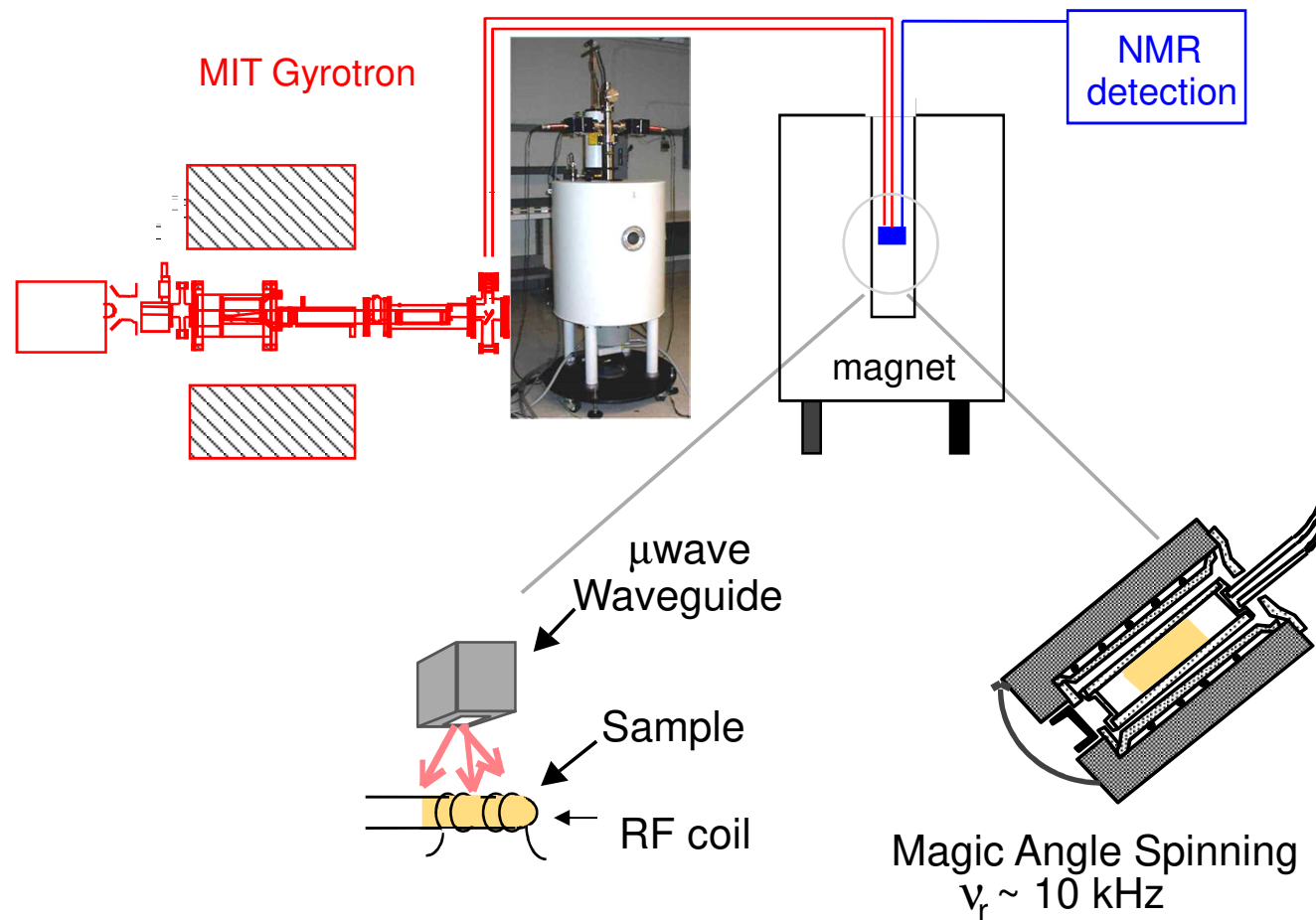


➤ *About a factor of 900 shorter acquisition time !*

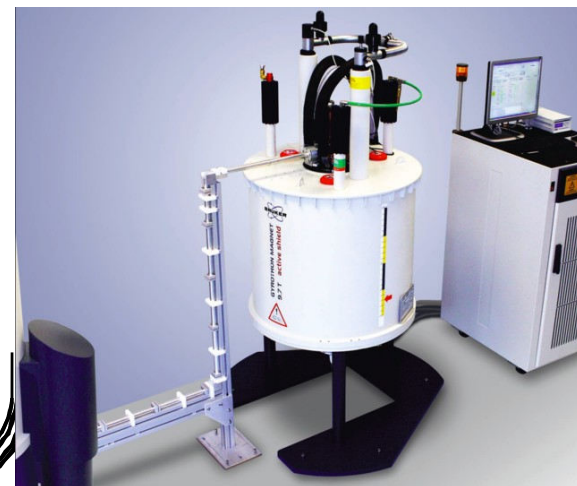
T. Orlando, R. Dervisoglu, M. Levien, I. Tkach, T. Prisner, L. Andreas, V. Denisenkov & M. Bennati,  
*Angewandte Chemie* 2019, 58, 1402-1406



# MAS Solid State DNP



Bruker 263 GHz gyrotron

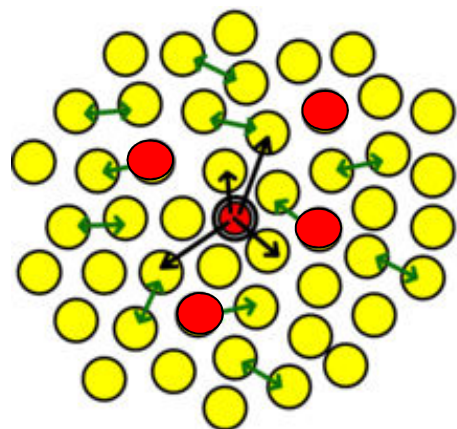


*Rosay et al., PCCP 2010*

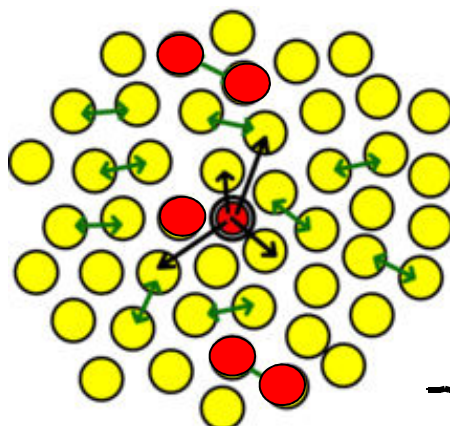
Hall, Griffin et al., Science, 1995

# Cross Effect/Thermal Mixing

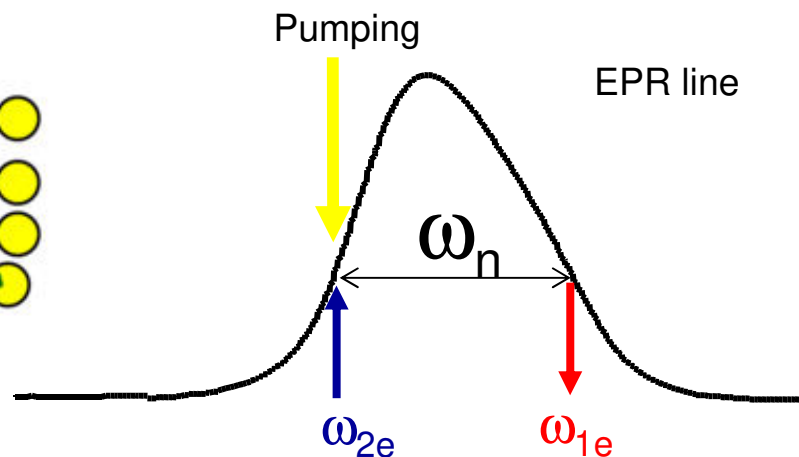
interacting paramagnetic centers



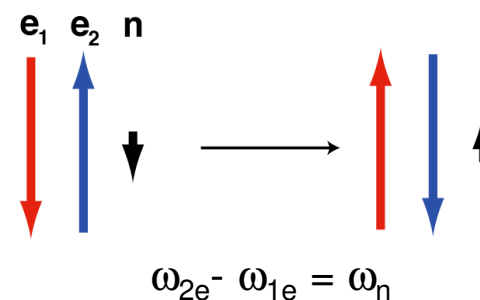
TM case



CE case



*Energy conserving three particle mechanism:*



$$\omega_{2e^-} - \omega_{1e} = \omega_n$$

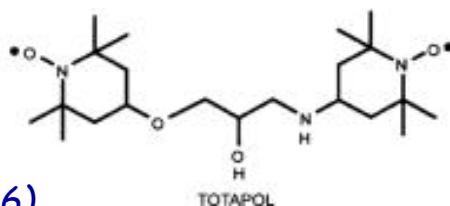
C.F. Hwang and D.A. Hill, PRL 18, 110-112 (1967)

Wind et al., Adv. Opt. Magn. Res. (1985)

*probability*  $\propto \omega_n^{-1}$

*Recent review:*  
S. Vega et al., Handbook of DNP, Chapter 2

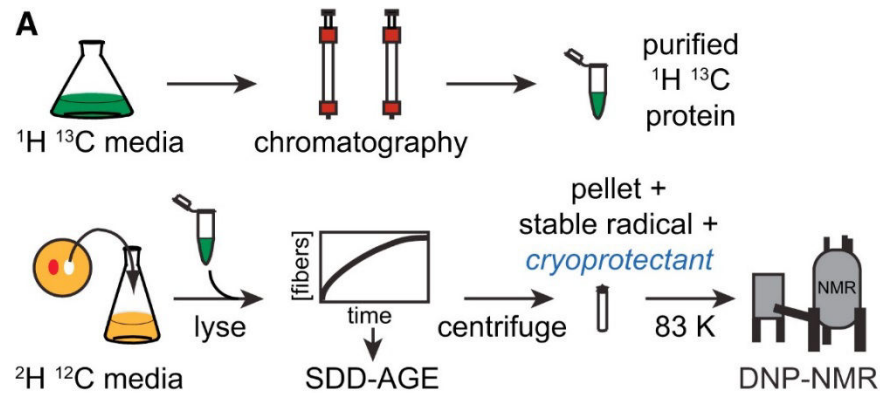
*Tailored bi-radicals for cross-effect:*  
EPR resonance differs exactly by  $\omega_n$



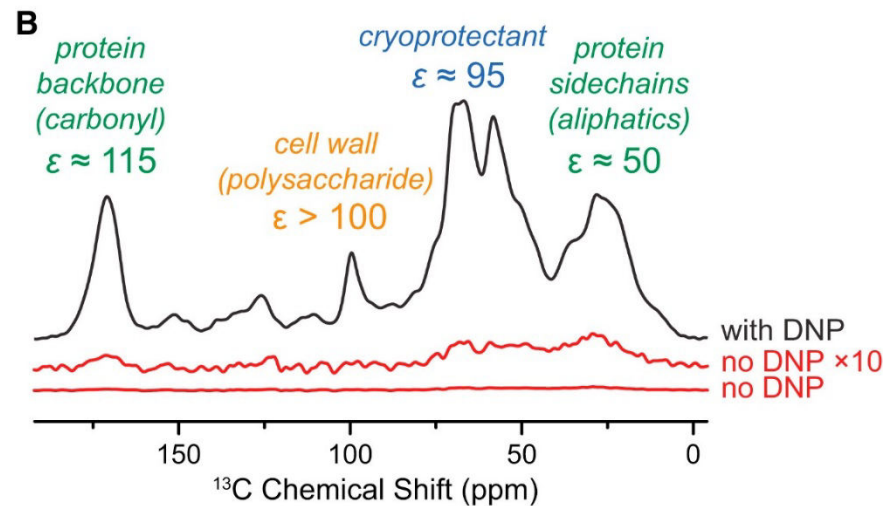
(Griffin et al. 2006)

# Dynamic Nuclear Polarization of Cellular Lysates

*K. Frederick et al, Cell Vol. 163, p. 620-628*



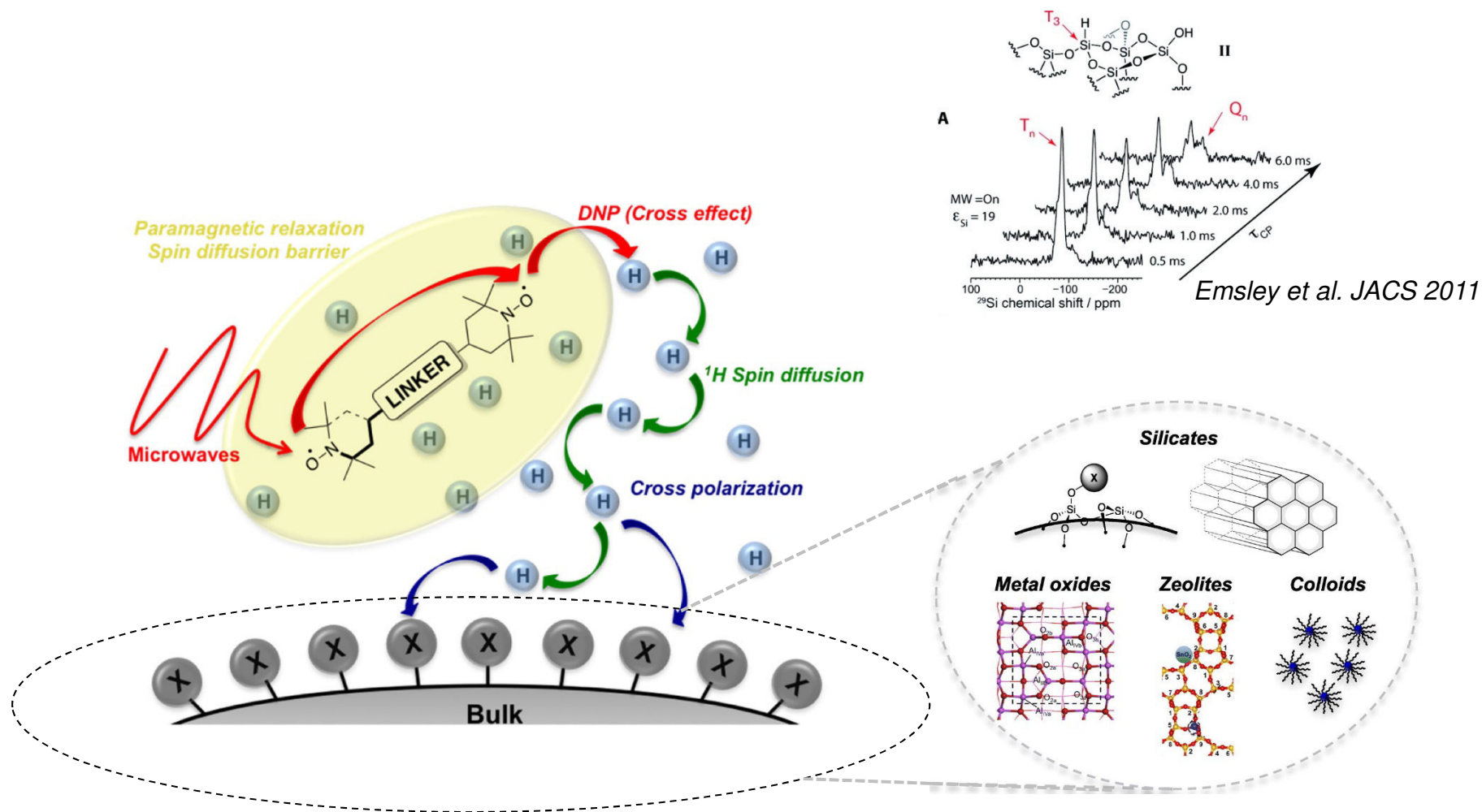
(A) Preparation of samples for DNP MAS NMR of proteins at endogenous levels in biological environments. The protein of interest is expressed on isotopically enriched media and purified. The cellular background comes from cells grown on media containing  $\text{D}_2\text{O}$ . The cells are lysed and the isotopically labeled protein is added exogenously to whole lysate. The mixture is pelleted, the pellet is resuspended in a matrix containing stable radical and cryoprotectant, and the mixture is frozen for analysis by DNP MAS NMR.



(B) One-dimensional  $^{13}\text{C}$  spectra both with (black) and without DNP enhancement by microwaves (red). Dynamic nuclear polarization gave large signal enhancements ( $\epsilon$ ) for uniformly  $^{13}\text{C}$ -labeled of the prion region of Sup35 protein (amyloid fibrils) in a deuterated matrix of cellular lysates containing a 60:30:10 (v/v) mixture of *d8*-glycerol: $\text{D}_2\text{O}$ : $\text{H}_2\text{O}$  and 10 mM TOTAPOL at 211 MHz/140 GHz with  $\omega/2\pi = 4.3$  kHz and a sample temperature of 83 K.

# DNP Surface Enhanced (DENS) NMR Spectroscopy

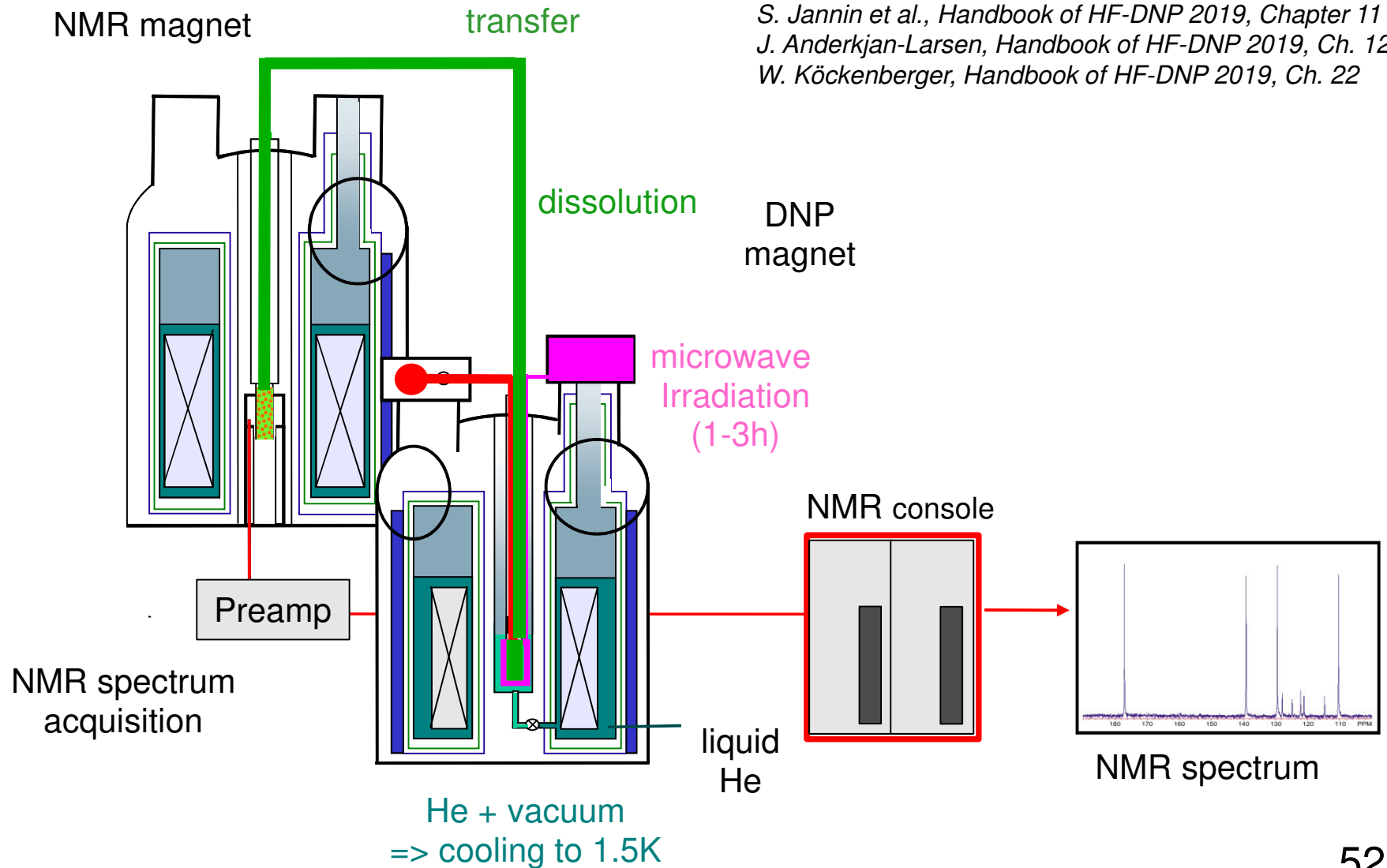
*C. Coperet et al, Curr. Opin. Coll. Inter. Sci. 2018, p. 63*



See also, A. Lesage et al, Handbook of HF-DNP 2019, Chapter 18

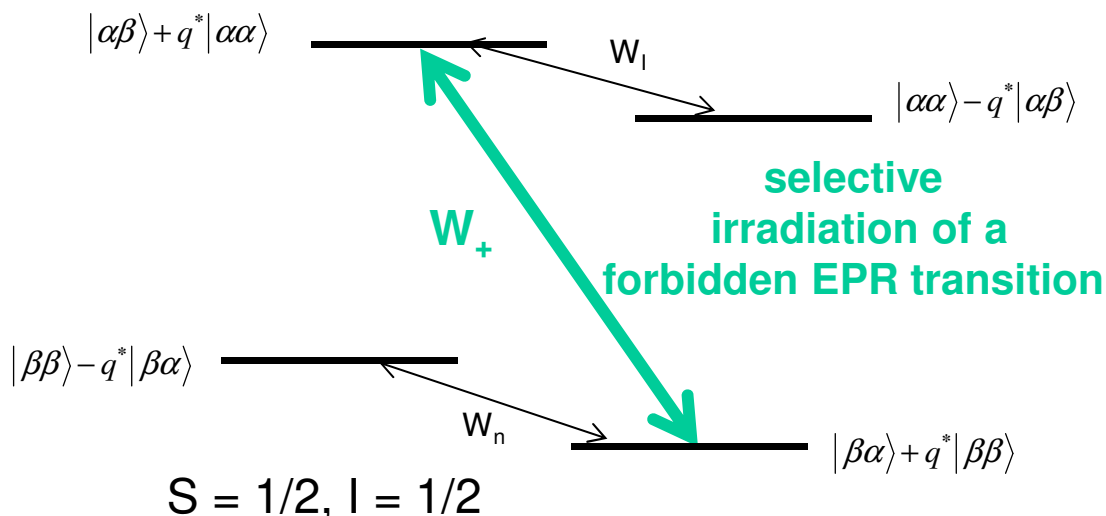
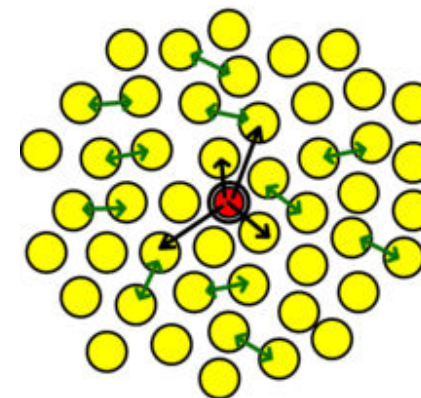
# Dissolution DNP

*Polarize the sample at 1.5 K and transfer rapidly into another magnet*



# Solid Effect

Isolated paramagnetic centers



Mixing coefficients  
from anisotropic hfc:

$$q_{ij} = -\frac{3}{4} \frac{\gamma_e \gamma_n \hbar}{\omega_n r_{ij}^3} \sin\theta_{ij} \cos\theta_{ij} e^{-i\phi_{ij}}$$

$$W^\pm = 2|q_{ij}|^2 \pi \gamma_e^2 B_1^2 g(\omega_e - \omega \pm \omega_n)$$

$$\varepsilon = \frac{\langle I_z \rangle}{I_0} = 1 + \frac{W^+}{W^+ + W_n} \left( \frac{|\gamma_e|}{\gamma_n} - 1 \right) \approx \frac{|\gamma_e|}{\gamma_n}$$

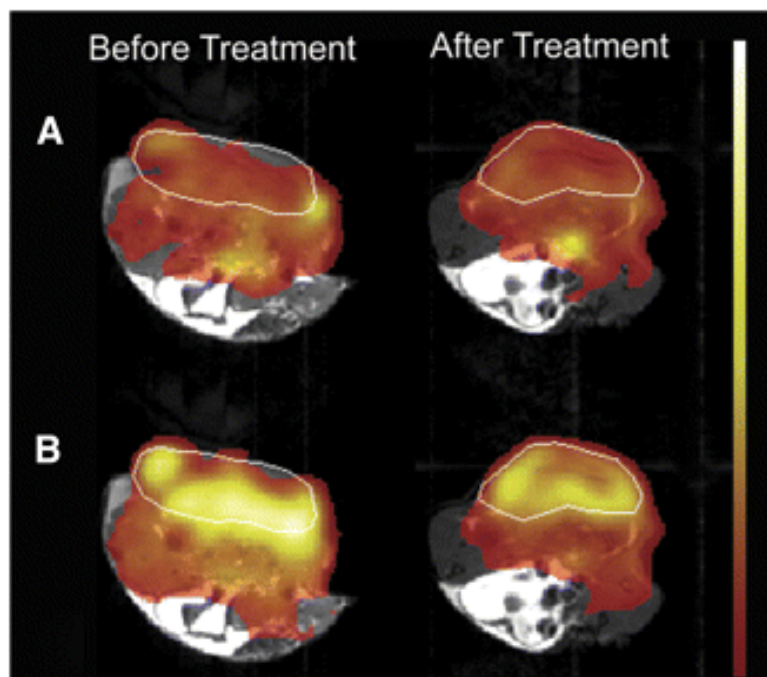
*R. Wind et al. 1985*

*Recent theoretical treatment for multispin  
system including relaxation:*

*S. Vega et al., JCP 2011*

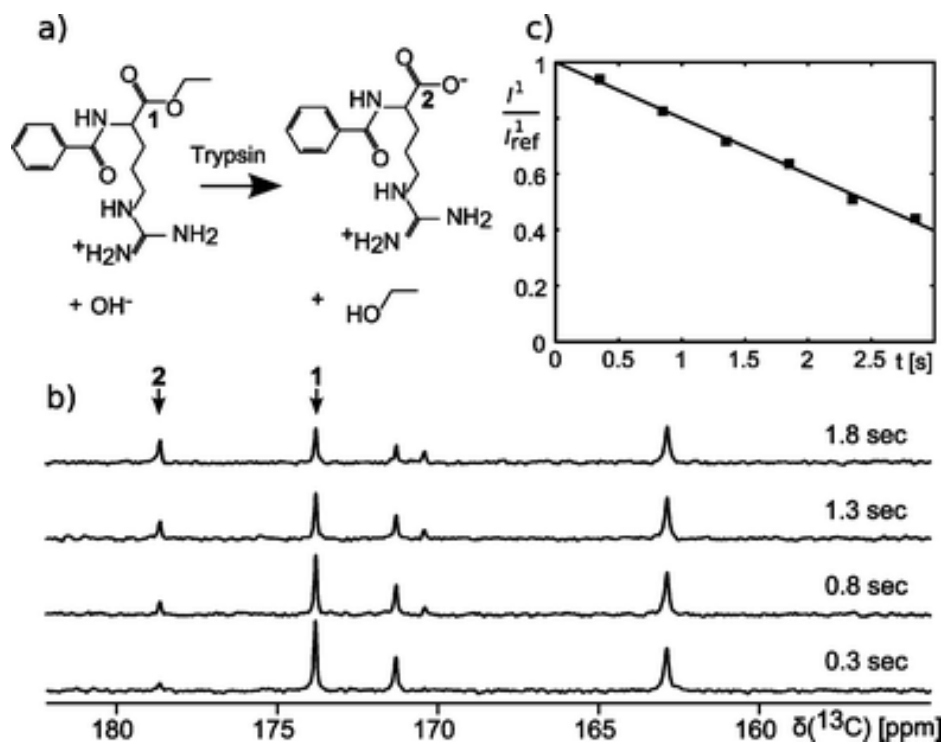
# Application Areas of Dissolution DNP

## <sup>13</sup>C-pyruvate, MRI



*In vivo tumor biochemistry with <sup>13</sup>C-DNP MRI*  
Gallagher et al. *J. Nucl. Med.* 2011

## Enzymology



*Time-resolved <sup>13</sup>C DNP-NMR follows enzymatic reactions*  
Hilty et al. *Org. Biomol. Chem.* 2011